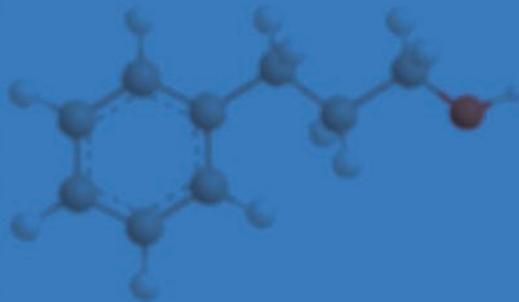


Tai Hyun Park
Editor

Bioelectronic Nose

Integration of Biotechnology
and Nanotechnology



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Preface

We have five senses: vision, hearing, touch, smell, and taste. Although some other senses including balance, pain, itch, and temperature senses have been reported, the five senses remain major classical senses. Among these five senses, three of them: vision, hearing, and touch, recognize physical stimuli, and the other two senses recognize chemical stimuli. The science and technology concerned with vision and hearing have been advanced enormously, while the understanding of the chemical senses, especially the sense of smell, has been very limited.

The stimulus energy for the sense of vision and hearing is light and sound, respectively. The camera, video camera, and recorder create recorded signals which can be even delivered to remote places. Using this technology we can watch the Olympic game on TV at home. The sense of touch has been also integrated with information technology in the form of the tablet PC.

On the contrary, there is no such a device which can capture smell or taste. The sense of smell is even more complicate and mysterious than the sense of taste. Electronic noses have been intended to mimic the signal processing of the sense of smell; however, elemental receptor materials of the conventional electronic noses are totally different from human olfactory receptors. If we consider that the sense of smell is a chemical sense, the same receptor materials as those in the human nose should be employed to accurately realize the human sense of smell.

In the last two decades, much has been learned about the smell sensing mechanism in biological systems. With knowledge about the biological olfactory system and the techniques for the expression of biological receptor proteins, we are able to utilize biological materials and systems to mimic the biological olfactory system. In addition to the advances in biological and biotechnological area, nanotechnology has progressed to a great degree. The “bioelectronic nose”, the device which has a similar function to the human smell sensing system, can be realized by combining the olfactory cells or receptors with nanotechnology.

The bioelectronic nose is a good example of the integration of biotechnology and nanotechnology. This book combines contributions from basic biological sciences of the olfactory system, biotechnology for the production of olfactory biological elements, and nanotechnology for the development of various sensing devices. The purpose of this book is to provide the reader with a concept, basic sciences, fundamental technologies, applications, and perspectives of the bioelectronics nose.

The editor is very grateful to all the contributing authors, who are leading experts in their research areas. Especially valuable suggestions from Hiroaki Matsunami and Edith Pajot-Augy are much appreciated. Proofreading assistance for the consistent format of this book was kindly provided by Hyun Seok Song, Jong Hyun Lim, and Hwi Jin Ko. The editor thanks Peter Butler and Sophie Lim at Springer for their advice and assistance in the publication process.

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Chapter 1

Concept of Bioelectronic Nose

Jong Hyun Lim and Tai Hyun Park

Abstract Sense of smell is an important sense to recognize environmental conditions and dangerous situations. Following the identification of the olfactory mechanism in the early 1990s, extensive studies to develop electronic devices that mimic the function of animal noses have been conducted. Most devices have been composed of an array of several sensors that react to chemical compounds. The odor is characterized by analyzing the response patterns generated by the sensor array. However, such devices have limitations in terms of sensitivity and selectivity. Hence, a novel concept for sensor devices functionalized with odor-recognizing biomolecules was suggested. Sensors which use biomolecules as a primary sensing material are commonly called bioelectronic noses. A bioelectronic nose generally consists of primary and secondary transducers. The primary transducer is a biological recognition element such as olfactory receptors and odorant-binding proteins. The secondary transducer is a highly sensitive optical or electrical sensor platform that converts biological events into measurable signals. In this chapter, the basic concept and principles of bioelectronic noses are described. In addition, specific characteristics of bioelectronic noses and the current issues are presented.

1.1 History of Artificial Smelling Methods

1.1.1 *Trained Animal*

Sense of smell is the most mysterious sense among five senses, and its biological mechanism was revealed relatively later than with other senses. This sense has been instinctually used for the perception of dangerous situations or subtle changes in the environment. For instance, fire can easily be recognized through the smell of smoke, and the spoilage of food can be determined by their putrid odors. However, humans have insensitive noses. Non-human vertebrates such as dogs and mice have more types and numbers of olfactory sensory neurons (OSNs) in their noses [1–3].

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This makes them more sensitively smell something out than human beings. In airports, the scene of trained dogs sniffing for explosives and drugs is quite natural.

Many animal trainers and scientists have attempted to train animals for the various purposes. Dogs have been trained to detect illegal narcotics and explosives, and their reliability has been examined by many scientists [4, 5]. Trained dogs are able to find narcotics and explosives with very high accuracy [6, 7]. Therefore, they are commonly used in various places such as customs. More recently, dogs have been trained for the diagnosis of diseases. Various types of intractable diseases such as lung, bladder, and breast cancers require early diagnosis. These diseases cause tiny changes in body or urine odors. Although people cannot perceive such small changes, dogs can [8–10]. Mice and rats are also good odor detectors [11–14]. They can recognize compounds which are regarded as odorless, such as carbon dioxide [15]. For these advantages, animals are still trained as alternatives to the human nose.

Even though animals can smell with high sensitivity and reliability, they have significant limitations as odor detectors. For instance, training and maintenance require high costs. Also, various external parameters such as illness and disorders can affect their smelling abilities. Most of all, after finishing their smelling work, they require rest for a long time before working again, because their ability is easily and rapidly lost. This olfactory adaptation is a severe problem with the exploitation of animal noses [16, 17].

1.1.2 Electronic Nose

1.1.2.1 Principle of Electronic Nose

Electronic noses were first suggested in 1982 [18]. A novel smelling device was constructed using semiconductor-based transducers, and it was demonstrated that the sensor was able to reproducibly discriminate various odors. Following this achievement, many studies have been performed to find new and more advanced materials for smelling devices [19–23].

Important studies supporting the scientific background of electronic noses have been reported. In the early 1990s, Buck and Axel revealed the olfactory mechanism [24, 25]. In the nasal cavity, there are numerous OSNs, which are the primary odor-sensing cells. Each OSN expresses a single type of olfactory receptor (OR) on its surface membrane. ORs have an excellent selectivity capable of precisely discriminating ligand molecules among a mixture of analogous compounds [26]. Once a certain odorants bind with specific ORs, a signal cascade is activated and olfactory signals are generated [27]. The generated signals are transmitted to the brain, and a person becomes aware of the characteristics of odors using the combination of activated OSNs [28].

The odor-discriminating mechanism of the natural olfactory system is very similar to that of electronic noses. Electronic noses are commonly composed of an array of several sensors. Each sensor produces specific responses by reacting to chemical

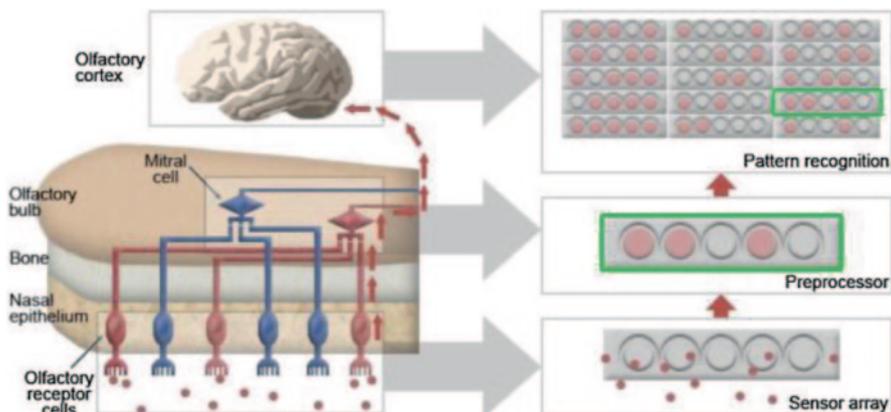


Fig. 1.1 Artificial nose devices mimic the human olfactory system. The electronic and bioelectronic nose systems simulate each stage of the human olfactory system, resulting in the recognition of volatile molecules by pattern analysis. (Reprinted from Ref. [30] with permission from Elsevier)

compounds. Thus, an electronic nose can generate ‘odor fingerprints,’ which are unique patterns of odorants [29]. Odorants can be identified by analyzing the response pattern. This similarity is depicted in Fig. 1.1. The stimulation of odorants generates specific response patterns from olfactory cells in the natural olfactory system or sensor arrays in the electronic nose [30]. The generated patterns are analyzed as a specific characteristic of odors in the brain or electric devices. Both human and electronic noses recognize odors through this process.

1.1.2.2 Characteristics of Electronic Nose

Many compounds have been used as a sensor material for the development of electronic noses. Metal oxide (MOx)-based sensors have mostly been developed [31–35]. The surface of MOx is modified with diverse chemical compounds. An array of MOx allows the sensor to generate specific response patterns. Conducting polymers or field-effect transistors have also been applied to electronic nose systems [36–39]. Such devices have been utilized in many fields requiring the detection of toxic molecules such as amines, alcohols, and sulfur compounds. Also, surface acoustic wave transducers with an array of polymer layers have been extensively used for the development of electronic noses [40–43].

However, electronic noses have critical limitations for application in practical fields. First, the sensitivity is insufficient. It was reported that people can detect odorants at concentrations lower than the ppt level, whereas the sensitivity of electronic noses has mainly been in the ppm or ppb range. Electronic noses could not specifically distinguish one odorant within a mixture of odorants. Moreover, electronic noses cannot fundamentally mimic the human biological olfaction due to the absence of odorant-recognizing biomolecules.

1.1.3 Bioelectronic Nose

In the late 1990s, a novel and more advanced concept of sensor devices was suggested [44]. The challenge was to use ORs as a sensing material in order to mimic a human or animal olfactory system. This new device is called a ‘bioelectronic nose’. The bioelectronic nose is based on OR proteins or cells expressing ORs on their surface membrane. ORs are odorant-recognition elements, and are combined with sensor devices that convert biological signals into electrical or optical signals.

Since ORs provide odorant-discriminating ability, the bioelectronic nose can closely mimic a human or animal olfactory system. The concept of odorant analysis using a bioelectronic nose fundamentally differs from the odor-discriminating strategy of electronic noses based exclusively on pattern recognition using sensor arrays. When the ORs are utilized as a primary sensing material, the sensors can precisely distinguish a target molecule among a mixture of various compounds. In addition, sensors based on ORs are more sensitive than electronic noses. The limit of detection reaches the femto-molar range in liquid conditions and the ppt range in gaseous conditions, which is similar to that of a human nose [45, 46]. By virtue of these excellent characteristics, the bioelectronic nose is now receiving great attention from diverse fields such as disease diagnosis, food safety assessment, and environmental monitoring.

1.2 Concept of Bioelectronic Nose

1.2.1 Biological Recognition Element

A bioelectronic nose consists of two main parts: an odorant-recognition element and a signal transducer, as shown in Fig. 1.2 [47]. For the odorant-recognition, cells expressing ORs in their surface membrane, OR proteins, and nanovesicles have generally been used. In the human nose, approximately 390 different types of functional ORs exist [48]. However, humans can discriminate thousands of types of odors. This asymmetry originates from the excellent odorant-recognition ability of ORs, which are capable of distinguishing between their specific ligands and partial ligands, as well as irrelevant molecules [27, 28]. A single odorant activates various types of ORs, and one OR is activated by several odorants. Thus, numerous combinations of activated ORs can be generated. These combinations are recognized as a unique property of an odor in the brain [49].

A bioelectronic nose utilizes this odor-discriminating ability of ORs. Thus, they can detect specific odors with great selectivity. For instance, the odor from decomposed seafood can be easily distinguished among other odors from various spoiled foods when a bioelectronic nose is functionalized with receptors that can selectively detect the odor of spoiled seafood [50]. However, problems still remain to be overcome. ORs have a seven-transmembrane structure with a high hydrophobicity in

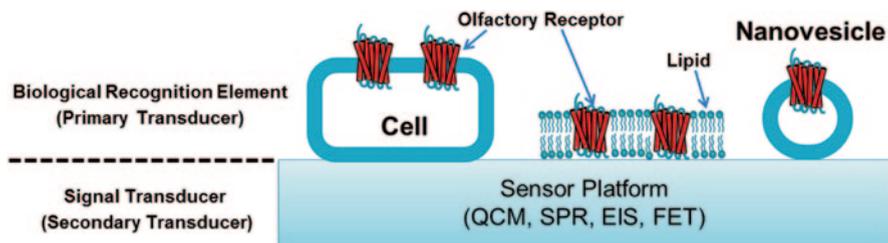


Fig. 1.2 Composition of a bioelectronic nose. The bioelectronic noses consist of a biological recognition element and a signal transducer. Cells expressing ORs, OR proteins, or nanovesicles are generally used as a biological recognition element. Various sensor platforms are used for the conversion of biological signals into measurable signals. The abbreviations used are: *QCM* quartz crystal microbalance, *SPR* surface plasmon resonance, *EIS* electrochemical impedance spectroscopy, *FET* field-effect transistor

the transmembrane region, which makes the expression of ORs in a heterologous system very difficult [51]. Several attempts have been made to achieve functional expression in various heterologous systems. Among various systems, human embryonic kidney (HEK)-293 cells are broadly used because they allow for a relatively high expression level [52–54]. The identification of membrane-targeting tags and accessory proteins assisting the membrane expression, such as rho-tag and receptor-transporting protein 1S (RTP1S), facilitated the high-level expression of ORs in mammalian cells [55–57]. Insect cells, such as SF9 cells, have also been used for the functional expression of ORs [58, 59]. *Saccharomyces cerevisiae* and *Escherichia coli* are also good OR expression systems [60, 61]. These systems allow for mass production and efficient purification processes. Thus, they are effectively being used to produce OR proteins for bioelectronic noses. Detailed information about the mechanism of olfaction and the production of ORs is described in Chaps 2 through 9.

1.2.1.1 Receptor-Based Bioelectronic Nose

The type of the bioelectronic nose can be classified on the basis of the biological recognition elements. The bioelectronic noses can be functionalized with OR proteins. The receptor proteins can be obtained from all types of expression systems, such as mammalian cells, *Saccharomyces cerevisiae*, and *E. coli*. Then, the proteins are combined with diverse types of secondary transducers, such as quartz-crystal microbalances (QCMs) [62–66], surface plasmon resonances (SPR) [67–69], and field-effect transistors (FETs) [45, 46, 70–72]. The binding event between ORs and odorants induces a mass change in ORs. This change is converted into measurable signals through QCMs. The binding can also be monitored using SPR-based devices by measuring the change in optical properties that occurs on a metal surface coated with ORs. The sensing mechanism of an FET-based sensor is based on the change in charge of the ORs. When OR binds with odorants, its conformation

changes. By the conformational change of the OR, the net charge of the OR protein subsequently changes [45, 73]. The change in charge acts as a gate potential to FET devices, and the odorants are detected.

Receptor-based bioelectronic noses have many advantages as a practical sensor, although additional studies on protein quality control are still required due to the complex structure of ORs. Most of all, they have excellent selectivity of ORs, because the whole protein is used. Therefore, the sensor can precisely discriminate its ligand from other analogous compounds [45]. In addition, the mass production of ORs is possible, and storage is relatively easy [61]. Furthermore, OR proteins expressed in *E. coli* were reported to still be active in dry conditions, which allowed for a biosensor that detects gaseous odorants to be developed [46].

1.2.1.2 Cell-Based Bioelectronic Nose

Bioelectronic noses based on cells which express ORs on their surface are classified as cell-based bioelectronic noses. The cells can generate cellular signals. The binding event between ORs and odorants triggers the olfactory signaling cascade, and positive ions flow into the cells from the outside [52, 74]. The electrical potential of the cells consequently changes. The potential change can be measured by various sensing methods, such as fluorescent dyes, SPRs, and planar microelectrodes [74–78].

One of the most important characteristics of cell-based bioelectronic noses is that the cells containing ORs produce the olfactory signals, which may be identical to the signals generated by OSNs. Because the isolation and *in vitro* culture of OSNs are very difficult, the practical use of OSNs is realistically impossible. Therefore, as an alternative to OSNs, OR-containing cells have been effectively utilized. The function of each OR has not yet been fully identified, and has to be elucidated to understand the mystery of the sense of smell. Cell-based bioelectronic noses can be effectively used for the identification of the unrevealed function of ORs.

1.2.1.3 Nanovesicle-Based Bioelectronic Nose

The concept of using nanovesicles lies between those of cells and protein. Nanovesicles can generate cellular signals similar to those produced by cells. They are produced from the cell surface by treatment with a chemical compound that destabilizes the cellular membrane [79]. When nanovesicles are isolated from cells, all membrane proteins and cytosolic components for the signal transduction are still contained in the nanovesicles. Therefore, the nanovesicle can have cell-like properties. In addition, nanovesicles have advantages as a protein-like material in terms of long-time storage and mass production.

The nanovesicle is especially suitable to be combined with nano-materials by virtue of its small size. Therefore, nanovesicles have been effectively used for the functionalization of FETs. The nanovesicle-based bioelectronic nose was first

developed in 2012 [80]. Jin et al. demonstrated that the odorants can be selectively and sensitively detected through the olfactory signaling pathway in nanovesicles. Nanovesicle-based sensors have been applied in diverse fields, such as in the assessment of food quality and the diagnosis of diseases [81, 82].

1.2.2 *Signal Transducer*

The biological signals generated from ORs are mainly divided into three types: conformational changes in olfactory receptors, dissociation of the α -subunit of G proteins from activated ORs, and ion influx caused by the signal transduction in cells. These biological signals should be converted into signals that can be measured. Devices for this role are called signal transducers or secondary transducers [47].

1.2.2.1 **Quartz Crystal Microbalance**

Quartz crystal microbalances (QCMs) have been used for the development of receptor-based bioelectronic noses [62–66]. The functionalized surface of quartz crystals is coated with olfactory receptors. The whole mass of OR increases by the binding between ORs and odorants. QCM can specifically detect this change. The adsorption of specific molecules onto the surface of quartz crystals coated with ORs results in the reduction of the resonance frequency of the quartz crystal. Odorants can be detected using this principle.

Research on QCM-based bioelectronic noses using ORs isolated from bullfrogs was reported in 1999 [66]. The specific recognition of odorants could be monitored in real-time using the QCM device. It was demonstrated that the ORs overexpressed in *E. coli* and in mammalian cells could also be utilized for the functionalization of QCM chips [62, 65]. These studies represent that the piezoelectric method can be used for the development of highly sensitive and selective bioelectronic noses. However, QCM devices have an issue that must be overcome. The oscillation frequency of quartz crystals can be easily affected by various external factors, such as electromagnetic fields and pressure. Hence, non-specific noise signals are frequently generated [83, 84].

1.2.2.2 **Surface Plasmon Resonance**

Surface plasmon resonance (SPR) has been broadly used to measure the association and dissociation of analytes on a surface. The SPR is an optical phenomenon that occurs when p-polarized light hits a prism covered with a metal surface [85]. At a specific incident angle, the intensity of the reflected light is reduced due to resonance energy transfer. This resonance angle is affected by the adsorption of specific molecules onto the metal surface. When the surface of SPR is coated with ORs, the binding of odorants to ORs influences the resonance angle.

In olfactory signaling, the activated ORs induce the dissociation of the α -subunit of G proteins. In order to utilize this event, ORs and $G\alpha_{\text{olf}}$ proteins were immobilized onto the chip of SPRs and can be used to analyze the association and dissociation of ligands [68, 69]. The activation of ORs could be monitored by measuring the release of $G\alpha$ subunits. SPRs have also been utilized for the development of cell-based bioelectronic noses [75, 78]. The cells were cultured on SPR chips. Then, the inflow of ions into the cells was measured. The influx of calcium ions that occurs by the olfactory signaling affects the resonance angle of the SPR chip, and the odorants could be detected. Detailed information about the SPR-based bioelectronic noses is described in Chap. 11.

1.2.2.3 Electrochemical Impedance Spectroscopy

Electrochemical impedance spectroscopy (EIS) is an effective technique to characterize electrodes functionalized with biomolecules. EIS technique commonly requires counter, reference, and working electrodes. For bioelectronic noses, the surface of the working electrode was immobilized with ORs. The binding of odorants to ORs was measured by recoding the experimental impedance spectrum. Using this principle, EIS-based bioelectronic noses have been successfully developed [86, 87].

1.2.2.4 Planar Microelectrode

Planar microelectrodes have also been used to develop cell-based bioelectronic noses [77]. The cells expressing olfactory receptors are cultured in a chip patterned with planar microelectrodes. After treatment with specific odorants, positive ions flow into the cells. The influx of ions is subsequently transduced into electrical signals through planar electrodes. In addition, the signals could be amplified by electrical stimulation [76]. These results demonstrated the possibility of developing cell-based bioelectronic noses using planar microelectrodes.

1.2.2.5 Field-Effect Transistor

Nanomaterial-based field-effect transistors (FETs) have been used for the development of bioelectronic noses. FET sensors react to the event of changes in charge that occur near the sensing channels and generate highly sensitive responses. In order to improve selectivity, FETs are generally functionalized with diverse types of biomaterials such as OR proteins [45, 46, 70–72], nanovesicles [80, 81], and OR-derived peptides [50]. The binding event between OR and odorant induces changes in charge of the ORs. This change is subsequently converted into highly sensitive electrical signals through nanomaterials [45]. Nanomaterials with semiconducting properties have often been utilized to fabricate the sensing channels of FETs, such as single-walled carbon nanotubes (CNTs), conducting polymer nanotubes

(CPNTs), and graphene. Such sensors were able to detect target odorants in the femto-molar range.

Carbon Nanotubes and Graphene Carbon-based nanomaterials such as CNTs and graphene have been used for bioelectronic noses. CNTs and graphene-based FETs have excellent properties in terms of sensitivity. The limit of detection of graphene-based bioelectronic noses has reached 40 aM [72]. They can be fabricated through a self-assembly process, which means that large-scale production is possible [88]. In 2009, Kim et al. first reported a CNT-based bioelectronic nose [45]. They demonstrated the sensitivity and selectivity of the CNT-based sensor. Following this research, many studies to improve the activity of the sensor and for application to various fields are now being conducted [50, 71, 80, 81, 89, 90]. Detailed information about the CNTs and graphene-based bioelectronic noses is described in Chapter 12.

Conducting Polymer Conducting polymers are also very effective sensing elements. In 2009, polypyrrole nanotubes were first used for the development of a bioelectronic nose [70]. Polypyrrole nanotubes were able to convert the conformational change of ORs into highly sensitive electrical signals. There are many advantages in using conducting polymers as a secondary transducer. The immobilization of biomaterials becomes easier because functional groups such as carboxyl or amine group can be easily incorporated during the polymerization process. Moreover, the conformation of conducting polymers can be effectively modified to enhance the conducting properties of materials. For instance, conducting polymer nanoparticles, rather than nanotubes, have also been utilized to fabricate biosensors [91]. Lastly, conducting polymer is very stable against non-specific influences, which means conducting polymer-based sensors are suitable for practical applications requiring the detection of analytes from real samples [92]. Detailed information about the polymer-based bioelectronic noses is described in Chapter 13.

1.3 Characteristics of Bioelectronic Nose

1.3.1 Sensitivity

The sensitivity toward a specific ligand is one of the most important characteristics of sensors. Artificial olfactory sensors need to be just as sensitive as the human nose. Otherwise, direct sniffing may be more advantageous in terms of sensitivity than using complex equipment. Because the smelling ability of a person is quite excellent, it is a challenge to achieve better sensitivity.

In bioelectronic noses, olfactory receptors assist the accumulation of odorants very close to the secondary transducers and produce primary biological signals. Thus, odorants can be more sensitively detected compared to the sensors without ORs. The sensitivity of bioelectronic noses is dependent on the type of secondary

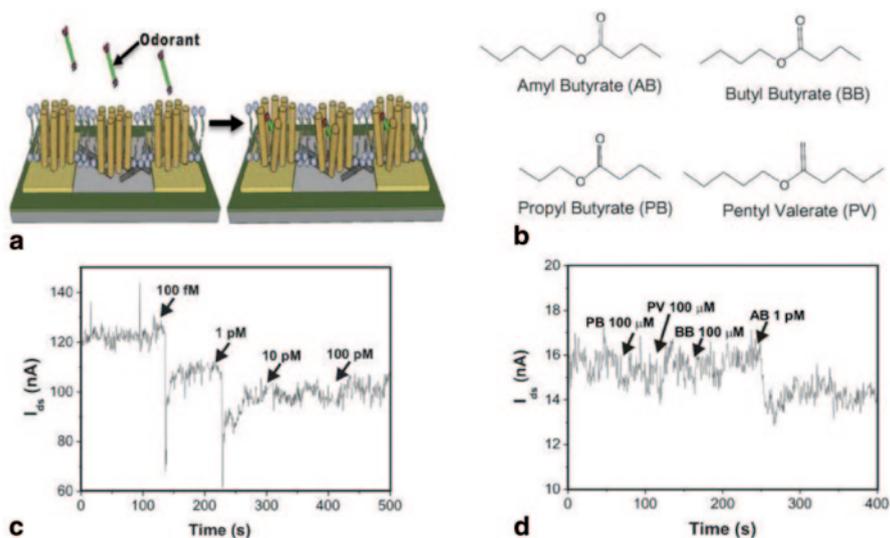


Fig. 1.3 (a) Plausible mechanism of odorant binding on a single-walled carbon nanotube field-effect transistor (swCNT-FET) olfactory sensor. (b) Structures of odorant molecules. Human olfactory receptor, hOR2AG1 is known to be activated by amyl butyrate (AB). Note that the molecules have the same active groups with a slight difference in their alkyl chain length. (c) A real-time conductance measurement obtained from the hOR2AG1-functionalized swCNT-FET sensor after the introduction of AB at various concentrations. Arrows indicate the points of AB injections. (d) The selectivity of hOR2AG1-functionalized swCNT-FET sensors. Arrows indicate the points of adding each odorant. (Reprinted from Ref. [45] with permission from John Wiley & Sons Inc.)

transducers. Nanomaterial-based secondary transducers generally show extremely high sensitivity. Kim et al. first reported on an ultrasensitive bioelectronic nose with a detection limit in the femtomolar range by functionalizing CNT-FETs with human ORs (Fig. 1.3) [45]. The binding of odorants to ORs induces the conformational change of ORs, and this conformational change results in changes in the electrical charge. This consequently affects the current of FET sensors (Fig. 1.3a). The sensor could selectively detect 100 fM of its specific odorants (Figs. 1.3c and d). When conducting polymer nanotubes were used as a secondary transducer, the sensitivity was even more improved [70]. The sensitivity of a nanovesicle-based sensor is also very high, because the nanovesicles effectively induce the accumulation of charged ions close to the sensing channel. The sensitivity of nanovesicle-based bioelectronic noses reached 1 fM [80, 81].

Recently, 40 aM detection was possible using human ORs and graphene-based FET platforms [72]. Graphene was treated with oxygen and ammonia plasma to control the bandgap of graphene. Then, OR was conjugated with oxygen plasma-treated (OR-OG) and ammonia plasma-treated (OR-NG) graphenes. Once the conformation of OR changes to an active form, the charge of OR also changes (Fig. 1.4a). The odorants were detected by measuring the change in charge using a graphene-FET. The sensor was able to detect 0.04 fM of amyl butyrate (AB), a specific ligand of the OR used (Fig. 1.4b).

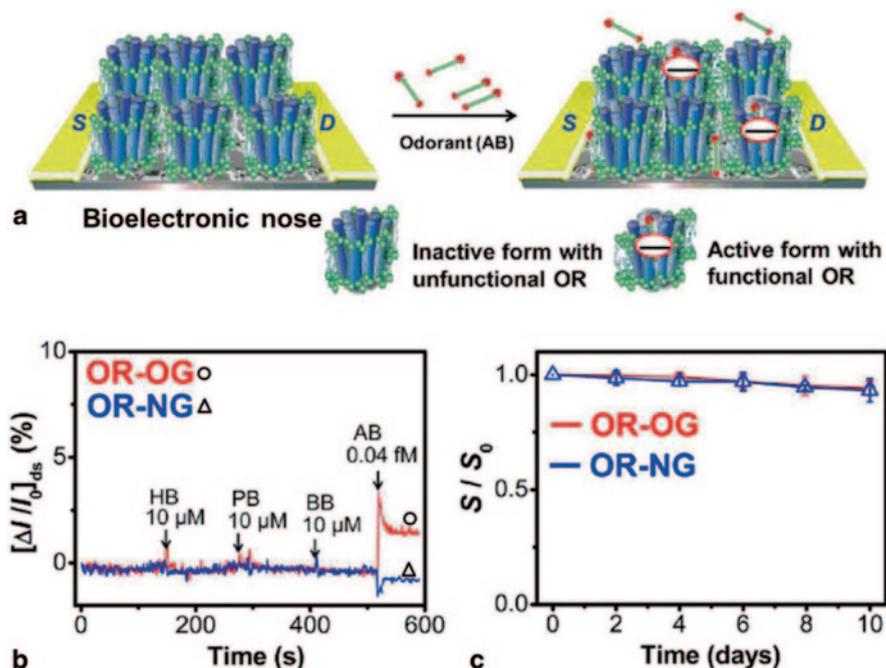


Fig. 1.4 (a) Plausible binding mechanism of bioelectronic nose through active and inactive olfactory receptor (OR) forms. (b) Selective responses of oxygen plasma-treated graphene with OR (OR-OG) and ammonia plasma-treated graphene with OR (OR-NG) upon addition of nontargets (hexyl butyrate, HB; propyl butyrate, PB; butyl butyrate, BB) and target (amyl butyrate, AB) odorant. This indicates that OR-OG has the atomic-resolution detection of odorant molecules. (c) Storage test of the OR-OG and OR-NG. (Reprinted from Ref. [72] with permission from Copyright (2012) American Chemical Society)

In the case of gas-sensing bioelectronic noses, the most sensitive sensor was reported by Lee et al. in 2012. The sensor was fabricated with human ORs and CPNT-FETs, and was able to detect 0.02 parts-per-trillion (ppt) of gaseous odorants [46]. This sensitivity was comparable to that of a human expert.

1.3.2 Selectivity

The advantage of biosensors is commonly regarded to be selectivity, the ability to specifically detect target molecules. Bioelectronic noses are able to selectively detect their ligand molecules among a mixture of other compounds. The selectivity is derived from the characteristics of ORs. Compared to other biomolecules, ORs have a higher capability to discriminate small chemicals. They can even distinguish the difference of one carbon atom [45]. The selectivity of a bioelectronic nose is presented in Fig. 1.3d. The sensor precisely recognizes amyl butyrate, a specific

ligand of the OR used, from other analogous compounds such as butyl butyrate, propyl butyrate, and pentyl valerate. The only difference between amyl butyrate and the analogous molecules is their alkyl chain length. The selectivity of cell-based or nanovesicle-based bioelectronic noses is also excellent because they generate responses through the original olfactory signaling pathway, as well as utilize the membrane-integrated intact OR proteins [80].

Due to the excellent selectivity of bioelectronic noses, they can be utilized for interesting purposes such as the determination of food quality. In 2012, it was demonstrated that the bioelectronic nose was able to determine the freshness of foods by selectively detecting the specific odorants generated from the decomposition of fatty acids [81]. Sankaran et al. reported that the unique odor from meats contaminated by *salmonella* could be detected using QCMs and peptide receptors derived from an odorant-binding protein (OBP) [64]. In addition, the odor from spoiled seafood was distinguished among odors from other spoiled foods by using peptide receptors derived from a canine olfactory receptor, as shown in Fig. 1.5 [50]. Numerous compounds are contained in real food samples. However, the bioelectronic nose selectively recognizes target odorants. For the determination of seafood quality, the sensor requires to selectively detect trimethylamine, an indicator of seafood decomposition [93]. Although the seafood sample had not been pretreated, the sensor reproducibly and repeatedly detected the trimethylamine contained in spoiled oyster samples (Fig. 1.5a). Also, the degree of spoilage of the three spoiled seafood samples was quantitatively determined (Figs. 1.5b and c). Furthermore, the sensor was able to distinguish spoiled seafood from other types of spoiled food samples and fresh seafood samples (Fig. 1.5d). This selectivity is a specific characteristic of the bioelectronic nose, which was functionalized with a highly selective OR.

1.3.3 Human-like Behavior

Since the bioelectronic nose utilizes the original ORs, it shows human-like behaviors. Lee et al. functionalized CPNT-based FETs with human ORs, and demonstrated that the sensor was able to recognize odorants with human nose-like characteristics [46]. First, they compared sensor responses generated by the OR-based bioelectronic nose to the normalized cell responses generated by the cellular signal transduction (Fig. 1.6a). The recognition pattern by the sensor against several odorants is very similar to that by the cellular signals. Because the signals generated from the cells represent the natural odor response of the human nose, it was verified that the sensor was able to recognize the odorants with human nose-like characteristics. The antagonism of ORs was also demonstrated. ORs can modulate more complex reactions such as antagonism [94]. The binding between OR proteins and agonists, a specific ligand, is interrupted by antagonists. The methylcinnamaldehyde (MCA) and hydrocinnamaldehyde (HCA) are the antagonists of the OR that were used [95]. The response intensities measured by the bioelectronic nose decreased with an increase in concentrations of MCA and HCA (Fig. 1.6b). This result clearly represents

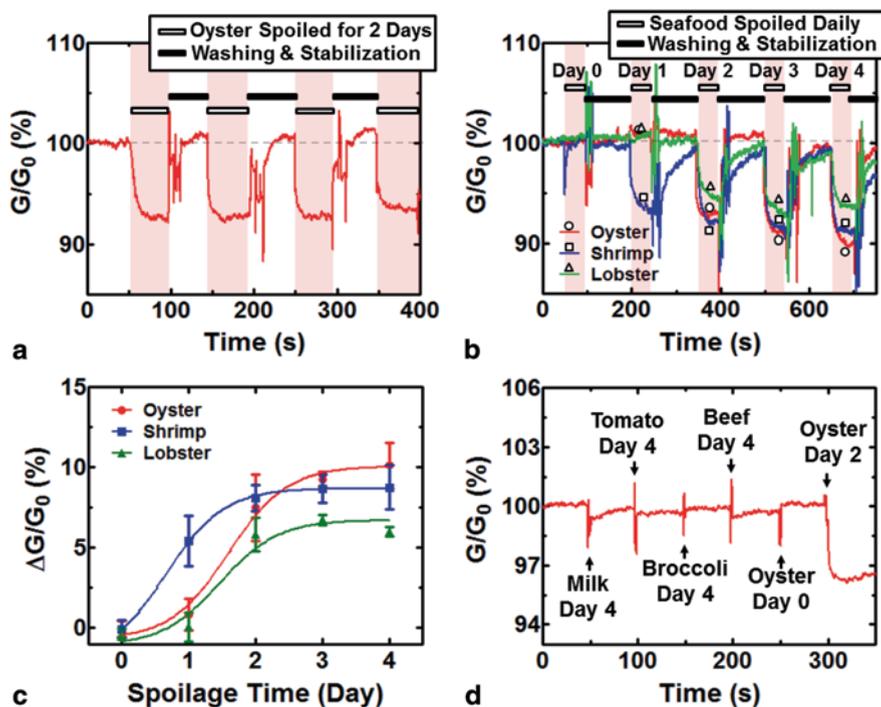


Fig. 1.5 Detection of trimethylamine (TMA) from spoiled seafood using peptide receptor-based bioelectronic noses. (a) A real-time measurement data exhibiting the conductance change generated by repeated treatments with a spoiled oyster sample, which was produced by storing the oyster sample at 25 °C for 2 days. Consistent and prompt responses were generated by the four treatments. The gray dotted line represents the initial base line. (b) Real-time measurements of conductance changes generated by treatments with oyster (red line), shrimp (blue line), and lobster (green line) samples spoiled for different periods of time. Significant decreases in the conductance were observed for the 2 day-spoiled oyster, 1 day-spoiled shrimp, and 2 day-spoiled lobster and the responses increased with an increase in the spoilage time. (c) Response patterns versus the degree of spoilage of the three spoiled seafood samples (oyster, shrimp, and lobster). The generated responses tended to increase with the degree of spoilage. (d) Real-time recognition and distinction of spoiled oyster from other types of spoiled foods (milk, tomato, broccoli, and beef) and fresh oyster. The sample solutions of milk, tomato, broccoli, and beef spoiled for 4 days and the fresh oyster had no significant effect on the conductance. However, the injection of the oyster sample that had been spoiled for 2 days caused a sharp decrease in conductance. For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article. (Reprinted from Ref. [50] with permission from Elsevier)

that the antagonism can occur in the bioelectronic nose, and that the function of the OR remained entirely in the sensor system.

Human-like bioelectronic tongues have also been developed using human taste receptor [90, 92]. The structure of bitter taste receptors is very similar to that of ORs. Thus, a bioelectronic tongue can be fabricated through the same process as that of the bioelectronic nose. The bitter taste receptor was produced from *E. coli*,

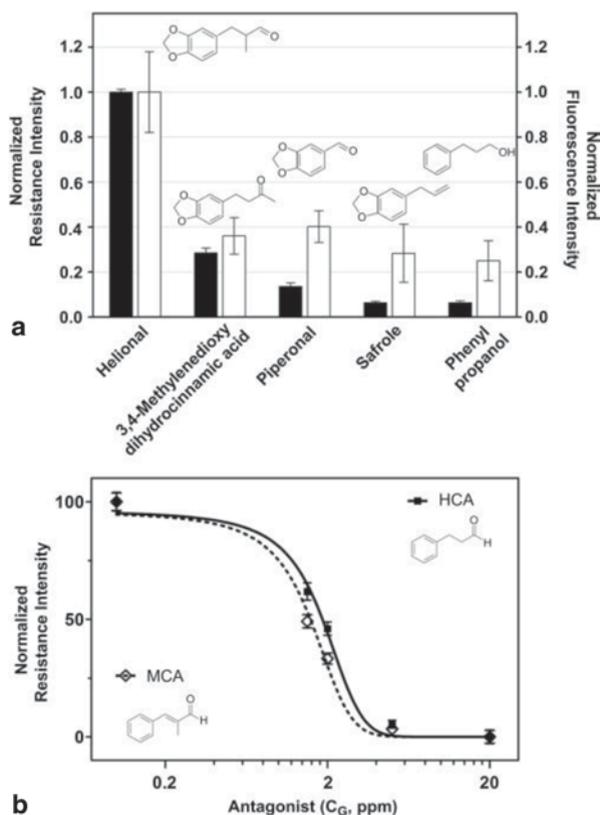


Fig. 1.6 Biological characterization of bioelectronic nose. **(a)** Relative specificity of bioelectronic nose. Magnitudes of different odorants normalized to the helional response measured by two different assays. Y-axis represents responses as a percentage of the response to helional. Helional is known to specifically bind to hOR3A1, the OR that was used for this experiment. Black-filled bar and white-filled bar represent the normalized response of the bioelectronic nose and the normalized value of the cellular signal transduction response, respectively. For the cellular signal transduction response, intracellular calcium was measured in the HEK-293 cells expressing hOR3A1 through a fluorescence cellular assay. Note that other odorant molecules used in this experiment have a similar structure with only subtle differences. **(b)** Inhibition of odorant-induced bioelectronic nose responses by antagonists, methylcinnamaldehyde (MCA) and hydrocinnamaldehyde (HCA). Each point represents the mean \pm SEM of five to six experiments. Helional (2 ppm) was mixed with each antagonist at the appropriate concentrations in the liquid phase, and the odorant cocktail was then evaporated in the bubbler through N_2 gas purging. (Reprinted from Ref. [46] with permission from Elsevier)

and used for the functionalization of nanomaterial-based FETs. When human bitter taste receptor was used as a primary sensing element, the sensor also showed human tongue-like behaviors. The sensor was able to not only selectively discriminate bitter substances, but also quantitatively measure the bitterness of vegetables [92]. Human sweet taste receptor has a more complex structure than olfactory or bitter taste

receptors. The sweet taste receptor exists in a heterodimer form consisting of two different receptor molecules [96]. Thus, a nanovesicle-based approach, rather than a receptor-based sensor, is necessary for the development of a sweet taste biosensor. Like a human tongue, it generated more sensitive responses to artificial sweeteners such as aspartame and saccharin than natural sugars (unpublished results). These human-like behaviors are unique characteristics that electronic devices can never have if biological receptors are not utilized.

1.3.4 Stability

The stability of sensors is the most serious bottleneck in the practical application of biosensors. Proteins are functional only if they maintain their own three-dimensional structures. OR protein is a transmembrane protein that requires a lipid membrane for proper structure and function [53]. Thus, ORs easily lose their functions by many external factors, such as heat and physical forces, which can affect the structure of OR proteins.

Interesting results on the stability of OR-based bioelectronic noses have been reported. Song et al. reported a method for the expression and purification of whole OR proteins using an *E. coli* expression system [61]. It was regarded that OR proteins are difficult to be overexpressed and purified in *E. coli* due to its strong hydrophobicity and complicated structure. However, full-length of OR could be overexpressed by the assistance of fusion protein tag and the selection of an appropriate expression vector. ORs produced in *E. coli* were able to be stored in a freezer without any loss in their activities for more than 1 year [70]. This means that the storage lifetime of the OR-based bioelectronic noses may be more than 1 year under proper storage conditions, because sensor platforms, a secondary transducer, generally have a much better stability than biomolecules. In addition, the whole OR protein-based sensor kept in practical conditions also showed a good stability. Although the sensor was stored at 25 °C for 10 weeks, the sensitivity of the sensor maintained more than 60% [46]. Also, the sensor fabricated with OR and graphene-FET showed excellent stability (Fig. 1.4c) [72].

In order to achieve better stability, peptide fragments derived from OR proteins or OBPs have been used instead of whole proteins for the functionalization of secondary transducers [50, 64]. Small peptides commonly do not require a tertiary structure and lipid membrane. Thus, the stability and repeatability of the biosensor can be improved.

1.4 Current Trends in Bioelectronic Noses

Bioelectronic noses cannot yet be extensively used in all areas where a person can smell. This is because the functions of all ORs have not yet been fully revealed. Three hundred and ninety types of human ORs react to different odorants. Moreover,

numerous odorants can affect ORs. Thus, incalculable combinations between ORs and odorants exist, and the relationship should be investigated further [97]. Following the further investigation of the specific function of ORs, the application area of bioelectronic noses will continue to grow.

Another current issue is the detection of gaseous odorants. In actual olfaction, the binding event between ORs and odorants occurs in the nasal mucus, an aqueous solution [27]. Although most odorants are hydrophobic and well-vaporized, odorants can dissolve well in mucus due to OBPs naturally existing in mucus [98, 99]. Thus, studies on OBPs are currently being conducted. Also, if ORs are active in dry conditions, the problem can be easily solved. Since most proteins are functional in aqueous solutions, it is challenging to make active ORs in dry conditions using nanodiscs or amphipols [100].

The most interesting thing in bioelectronic noses is that OR-based sensors can mimic the olfactory system. Types of odors have not yet been fundamentally and scientifically classified. Thus, there is no other choice but to recognize specific odors based on personal experience. However, bioelectronic noses will offer a way to classify odors. Each odor has a unique response pattern with activated ORs [28, 97]. A sensor functionalized with a multi-array of all types of ORs can represent whole response patterns *in vitro*. This means that bioelectronic noses can classify the types of odor without the help of the human nose. Thus, multi-array sensor platforms are being developed to fundamentally understand the characteristics of odors.

Other G protein-coupled receptors (GPCRs) such as taste receptors and hormone receptors can be utilized for the development of biosensor systems through the same strategy as that of the bioelectronic noses [89–92]. Most GPCRs, regardless of their GPCR classes, can be produced in mammalian cells or *E. coli*, similar to the expression of ORs. The hybridization between receptors and secondary transducers has facilitated the development of highly sensitive and selective biosensor systems. A bioelectronic tongue fabricated with human bitter taste receptors and FETs was able to selectively detect bitter compounds at concentrations as low as 1 fM [90, 92]. Moreover, target tastants were efficiently detected in a mixture and a real food sample [92]. Human parathyroid hormone receptors (hPTHrRs) were also expressed in *E. coli* and used for the functionalization of conducting polymer nanoparticle-based FETs [91]. hPTHrR is a class B GPCR, while OR is a class A GPCR [101]. Even though class B GPCRs have larger size and more complicated structure compared to class A GPCRs, functional hPTHrRs were successfully over-expressed in *E. coli*. The biosensor based on hPTHrRs sensitively and selectively detected hPTHs, as shown in Fig. 1.7. In this case, the secondary sensing material was conducting polymer nanoparticles, and the particle size had been modulated to improve the sensitivity. The small nanoparticles (20-nm diameter) detected hPTH more sensitively than the larger nanoparticles (60 or 100-nm diameter) (Figs. 1.7a and b). Moreover, the sensor had excellent selectivity capable of discriminating hPTH among other hormones, such as GLP-1, glucagon, and secretin (Figs. 1.7c and d). All these results indicate that the devices functionalized with GPCRs will allow us to develop not only bioelectronic noses and tongues, but also other biosensors for disease diagnosis.

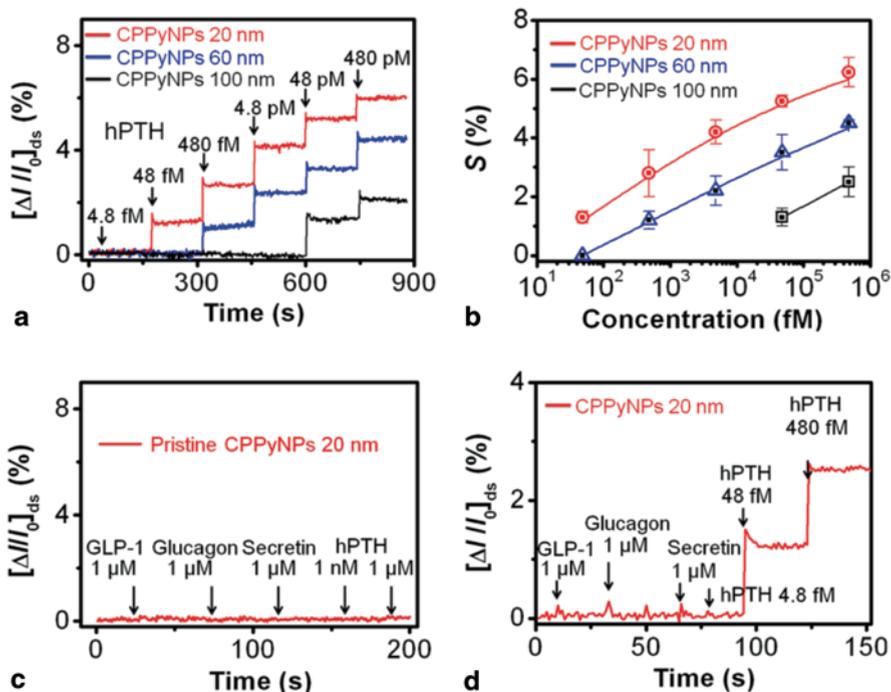


Fig. 1.7 (a) Real-time responses with normalized current changes ($\Delta I/I_0 = (I - I_0)/I_0$, where I_0 is the initial current and I is the instantaneous current) and (b) calibration curves of human parathyroid hormone receptors and conducting polypyrrol nanoparticle (hPTH-CPPyNP)-based hormone sensors toward various human parathyroid hormone (hPTH) concentrations (S indicates the normalized current change). (c) Real-time responses of the pristine CPPyNP (20 nm) sensor without hPTH measured at $V_{SD} = 10$ mV. Normalized I_{SD} changes upon addition of target (hPTH) and nontarget (GLP-1, glucagon, and secretin) analytes. (d) Selective responses of the hormone sensor using hPTH-CPPyNP (20 nm) toward nontarget (GLP-1, glucagon, and secretin) and target analytes. (Reprinted from Ref. [91] with permission from Copyright (2012) American Chemical Society)

Detailed information about bioelectronic noses is described in this book. There are not only basic explanations of concepts ranging from the mechanism of olfaction to the principles of sensor platforms, but also various applications that were recently attempted. Readers can find information about the latest technical progress on the development of bioelectronic noses and current issues on practical applications.

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Chapter 2

Mechanisms of Olfaction

Ruchira Sharma and Hiroaki Matsunami

Abstract Molecular mechanisms of olfaction have been intensively studied in the last quarter century. Receptors by which olfactory stimuli are detected are vastly different between different animal species and even between different olfactory organs of the same species. This chapter includes a description of the anatomy of the mammalian olfactory system and an overview of the receptors. The signaling mechanism and expression pattern of these receptors is discussed along with how the brain decodes olfactory information gathered from the environment and then translates these signals into behaviors. This chapter also contains brief comparison of the fish, insect and nematode olfactory receptors.

2.1 Importance of Olfaction

A sense of smell is crucial for the survival of any species, and in our own experience, we detect dangers like fire or spoiled food with our noses. The hedonistic pleasure derived from eating and drinking is also heavily reliant on the sense of smell. Olfaction is an important tool used in so many facets of animal life and is often the deciding factor between surviving an encounter with a predator, passing on genes to offspring and finding a source of food. Although Santiago Cajal described the peripheral olfactory system in 1891, very little was uncovered about the mechanisms of odor detection and differentiation in the next 100 years, and the olfactory system continues to elude our understanding. The method by which sensory inputs are converted to behavioral output is still a mystery.

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Phylogenetically, chemosensation such as olfaction and gustation are one of the oldest senses and are important for the simplest to the most complex organisms. Our taste system only allows us to detect and distinguish a limited number of taste modalities: sweet, sour, salty, bitter and umami. In contrast, our sense of smell can detect and distinguish among tens of thousands of volatiles, such as flavors offered to our palate from food. The important role of olfaction in our eating experience was most notably demonstrated in a study where a majority of participants who ate either a piece of apple or boiled potato while wearing a blindfold and a nose plug failed to make the correct identification when asked what they had eaten [2].

Certain odorants released by predators evoke innate avoidance behaviors in animals that have never encountered that predator before. One example of such a phenomenon is trimethylthiazoline (TMT), a chemical found in the feces of the red fox (*Vulpes vulpes*), which causes laboratory bred mice, who have never experienced an external environment, to freeze and display other anxious behaviors [3]. Mating and maternal behavior are both heavily reliant on olfaction as manipulating the olfactory system has been shown to change social behaviors in both vertebrates and invertebrates [4–9]. In fruit flies, the male-specific transcription factor *fruitless* is required for the appropriate functioning of the components of the olfactory system, and in its absence male flies have been shown to court other male flies or to abolish all courtship behaviors. Female rats whose olfactory bulbs are surgically removed have been shown to lose their normal nursing behaviors as well as other maternal behaviors like retrieving pups that have been taken out of their nest, and male rats lose their aggression to non-conspecific male intruders [10–12].

In humans, olfactory dysfunction has been linked to a number of neurodegenerative diseases, primary amongst which are idiopathic Parkinson's disease and Alzheimer's [13, 14]. Our sense of smell is also linked to our limbic system. Hence, there is a strong, but as of yet elusive link between memory, emotion and certain smells that invoke them. Further study of this sensory modality is of vital importance for us to better understand many aspects of our own nature and behavior.

2.2 The Vertebrate Olfactory System

The peripheral olfactory system is comprised of the main olfactory epithelium and the accessory olfactory system, which contains the vomeronasal organ (VNO), the Grueneberg ganglion and the septal organ of Maser in rodents (Fig. 2.1). The main olfactory epithelium's primary function is the detection of volatile odorants in the air [15] whereas the VNO detects semiochemicals such as pheromones [16–18]. The Grueneberg ganglion is implicated in the detection of stress signals from conspecifics [19–21] and the septal organ contains neurons expressing a subset of odorant receptors (ORs) that are also expressed in the ventral domain of the olfactory epithelium [22, 23].

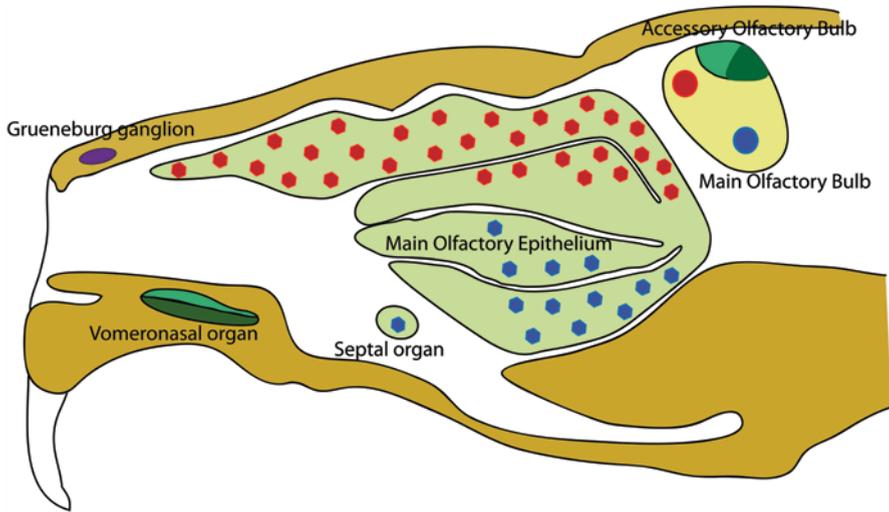


Fig. 2.1 Sagittal view of a mouse head. The antero-dorsal tip contains the *Grueneberg ganglion*. The VNO is located on the ventral side and is divided into 2 halves, each expressing a unique receptor repertoire, which project to the accessory olfactory bulb located at the distal end of the olfactory bulb. The dorsal receptors in the olfactory epithelium are depicted in *red* and the ventral receptors in *blue*. The areas they project to on the olfactory bulb are labeled with the same *colors*. The septal organ is shown at the ventral base of the nasal septum

2.3 Main Olfactory Epithelium

2.3.1 Anatomy

Neurons responsible for the detection of odors from the environment are found in the olfactory epithelium (OE) located in the dorso-caudal nasal vault along the upper portion of the nasal septum, cribriform plate and the medial wall of the superior turbinate from where they project their dendrites into the nasal passage. These olfactory sensory neurons (OSNs) lie in a pseudostratified columnar epithelium along with supporting cells (sustentacular cells), microvillar cells and basal cells [24]. The epithelium lies on top of a highly vascular lamina propria, which contains the Bowman's gland. Basal cells are stem cells responsible for the replacement of the OSNs and the Bowman's gland is responsible for secreting the serous component of the mucous layer covering the OE [25]. OSNs form a dendritic knob at the junction between the tissue and the nasal passage from which 5 to 20 cilia emerge; these cilia are bathed in the mucus in which odor molecules dissolve and then come in contact with the OR [26]. These cilia lack dynein and therefore do not exhibit any motility. Their main advantage is to increase the surface area of the neuron so as to increase the probability of a molecule encountering its receptor [27]. Mature OSNs are identified by the expression of olfactory marker protein (OMP) [28, 29] and are bipolar cells that project their un-myelinated axons through the cribriform

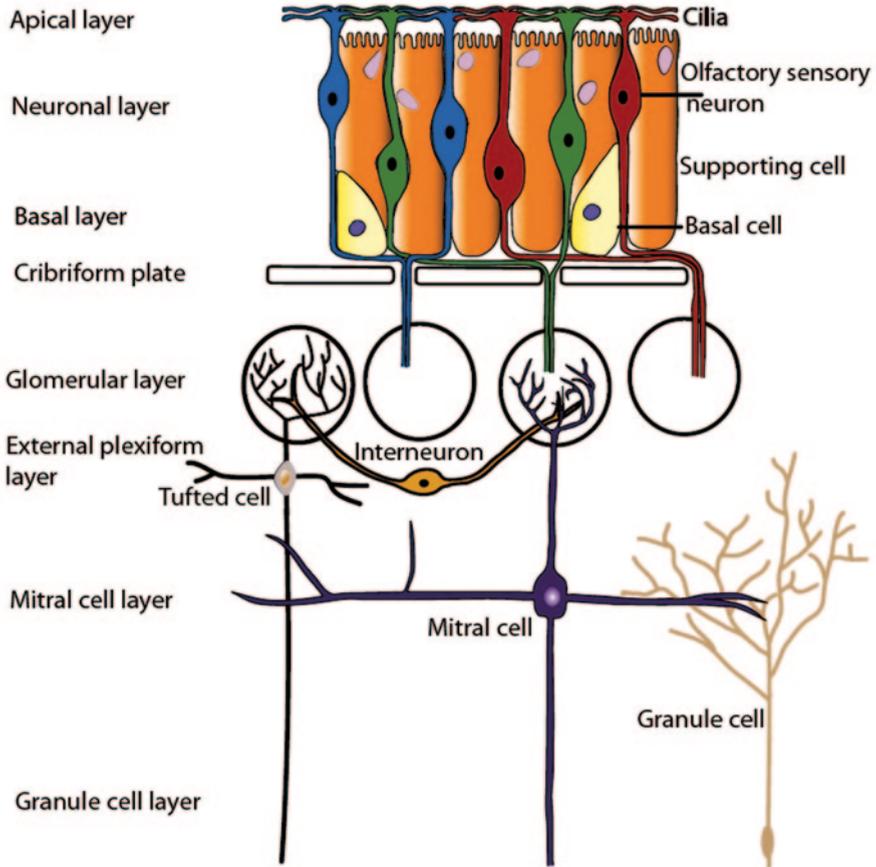


Fig. 2.2 A representation of the cellular organization of the olfactory system. OSNs expressing the same receptors (represented here in the same *color*) project to the same glomeruli, which are innervated by afferent mitral and tufted cells. Interneurons connect neighboring glomeruli and coordinate their response. Supporting cells flank the OSNs while the underlying basal cell layer contains the stem cells from which OSNs are regularly replaced

plate to the olfactory bulb (OB) where they converge with axons from other neurons expressing the same OR to form a single anatomical unit called the glomerulus [30]. (Fig. 2.2) Glomeruli are spheroid structures composed of a cellular shell composed of periglomerular cells surrounding a core of neuropil [31].

2.4 Odorant Receptors

ORs are members of the seven transmembrane domain super family of G protein coupled receptors (GPCR). The number of ORs in different species is highly variable. Most mammals have a very large number of ORs (humans ~400 and mice

~1,000 ORs) while others such as dolphins may only have a handful of ORs, which likely reflects the relative importance of olfaction in a given species [15, 32–34]. Though the ORs contain several conserved motifs, some of which may be important for G-protein coupling, there are many variable sequences in transmembrane and extracellular domains of the protein that come together in the tertiary structure to form a ligand-binding site. The high degree of variability is thought to be important for activation by a structurally diverse set of volatile odor molecules [35].

2.4.1 Receptor Signaling and Termination

The binding of a cognate ligand to its OR releases a specialized stimulatory G protein α subunit called G_{olf} into the membrane from the $\beta\gamma$ subunits [36]. G_{olf} activates adenylate cyclase III (ACIII), and causes the conversion of ATP into cAMP [37, 38]. This was demonstrated by a rapid increase in cAMP levels when cilia from OSNs were exposed to odorants [39, 40]. A surge in cAMP levels in the neurons leads to the activation of calcium permeable, tetrameric cyclic nucleotide gated (CNG) [41] channels and an influx of Na^+ and Ca^{2+} [42], which in turn leads to an efflux of chloride resulting in the depolarization of the membrane [43]. (Fig. 2.3) Once an action potential has been generated, the cell extrudes Ca^{2+} by $\text{Na}^+/\text{Ca}^{2+}$ exchangers in order to return to its resting membrane potential [44, 45]. The major subunit of the Ca^{2+} channel was identified as CNGA2 [46] and the Cl^- channel as Ano2/Tmem16b [47–49]. The deletion of CNGA2 causes mice to become anosmic [50] but the deletion of ANO2 does not seem to abolish the sense of smell, although electrophysiological and cell culture experiments show that the OSNs maintain a high baseline of Cl^- concentration and the opening of these channels leads to a low noise, nonlinear amplification of the signal [51–53]. An increase in inositol-1,4,5-trisphosphate (IP3) and cGMP levels is also commensurate with depolarization in some cases [54], although these are produced on a different time scale [46, 55] and may have more to do with desensitization [56].

2.4.2 Desensitization and Adaptation

Constant exposure to a stimulus makes OSNs lose their responsiveness in a process called desensitization or adaptation, which can take place via various negative feedback pathways. CNG channels, ACIII and cAMP hydrolysis by phosphodiesterase are mediated by calcium through a calcium binding protein calmodulin [57–59]. (Fig. 2.3) ORs themselves may be phosphorylated or internalized to desensitize the cell. [60–62]. GRK3 and β arrestin 2 mediate the uncoupling of the OR from its G protein. [62–64]. Incubating OSNs with antibodies to β arrestin 2 and GRK3 leads to elevation of cAMP response in the presence of an odorant [60] and β arrestin 2 has also been shown to be responsible for receptor internalization [61].

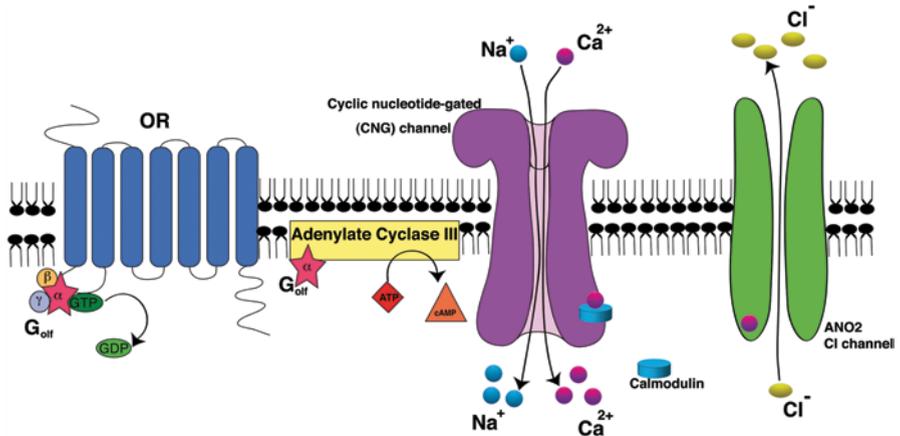


Fig. 2.3 The Olfactory signaling cascade. When activated, the OR catalyzes the release of the G_{off} subunit, which in turn activates ACIII, leading to an increase in the cAMP levels that activate CNGA2, the calcium channel responsible for the depolarization, and the chloride channel Tmem16b that opens after the surge of Ca^{2+} into the cell

2.4.3 Receptor Surface Expression

The vast majority of ORs are still “orphan” receptors, i.e. the ligands that activate those ORs remain unknown. One of the most straightforward ways to study OR-odor interaction would be to first express the OR in cell culture and screen a number of odorous ligands to see which ligands activate the receptor, and then to study common motifs in ORs activated by the same ligand. The main obstacle in this type of study is that ORs in cell culture accumulate in the ER and are not transported to the cell surface [65, 66]. In 2004, studies showed that co-expression of ORs with a family of proteins called RTP (Receptor Transporting Protein) increased the efficiency of the trafficking of receptors to the cell surface [67]. Based on these findings, a heterologous cell assay system was developed for large scale screening with ligands in order to identify active ligands for many ORs [68]. It is now routine to study a single OR and find out which ligands activate it. However, whether the current system allows functional expression of all ORs is unclear.

2.4.4 Receptor Gene Regulation

A single OSN expresses a single allele of one OR [32, 69, 70]. Experiments have shown that OSNs do not express the endogenous OR if a transgene carrying an OR has been forcibly expressed. Additionally, in the case of multiple integrations of the same transgene in tandem, only one of these transgenes is expressed, suggesting

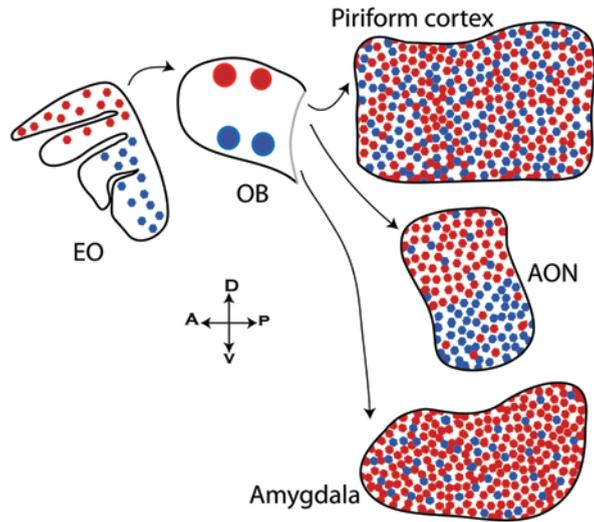
that there are cellular mechanisms limiting expression to only one OR per OSN [71]. The mechanisms used by cells to make this choice remain largely unknown but studies indicate that the epigenetic modification of OR genes as well as the unfolded protein response pathway (UPR) [195] inactivate all but one OR in a given OSN [72–80].

2.4.5 Receptor Expression Zones and Projection of OSN Axons

Examination of OR mRNAs in the OE showed topographically distinct expression patterns that could be broadly divided into two zones along the dorso—ventral axis [70, 81, 82]. Within a zone, it seems that OR expression is largely stochastic, although in the ventral zone there are further subdivisions into several overlapping zones [83]. OR genes are divided into class I and class II receptors based on their phylogeny with class I being expressed in the dorsal zone [84, 85]. Microdissection followed by microarray experiments showed about 300 class II receptors expressed in the dorsal epithelium while the remaining are expressed in the ventral zone [83]. Axons from OSNs expressing the same receptors converge and project to a few glomeruli in the OB. Axon targeting is primarily controlled by gradients of molecules divided into 2 major classes. Class I molecules include Neuropilin-1 and Plexin-A1, which establish the anterior-posterior axis, while class II molecules like Kirrel2 and Kirrel3 aid in activity-dependent refined sorting. More recently the deletion of BIG-2, which is only expressed in a subset of OSNs, lead to the erroneous innervation of glomeruli by those axons [86]. ORs play a pivotal role in axon targeting because the expression of some axon targeting molecules depends on the cAMP levels, which are in turn modulated by a functioning OR [87–91]. (Fig. 2.3) In the case of a non-functioning OR, the cAMP levels are low and the axon is often unable to converge and find its position; such OSNs undergo apoptosis [91, 92]. Compromised cilia in the OE lead to aberrant axon targeting in the OB, suggesting proper OSN activation is also important in this process [93]. Studies have shown that the OR itself does not have a unique role in axon targeting as replacing an OR with a functional G protein-like β adrenergic receptor leads to an ectopic but seemingly functional glomerulus [90].

The organization of the glomeruli follows the logic of the epithelium where all the dorsal receptors project to the dorsal portion of the OB, while the class II ventral receptors project to the ventral portion of the bulb. The glomeruli in the OB are connected to one another by the dendro-dendritic connections of local inhibitory interneurons found in the glomerular layer [94–96]. It seems that these neurons are capable of silencing neighboring glomeruli responding with lower intensity to the same odor in order to reduce redundancy [97]. Mitral and tufted cells are long distance projection neurons that sample information from glomeruli with their dendrites and project their axons in the olfactory tract to the primary olfactory cortex in the brain [98]. (Fig. 2.2) The primary olfactory cortex is defined by the accessory

Fig. 2.4 OSNs expressing dorsal receptors project to the dorsal portion of the *OB*, and the same logic applies to the ventral receptors. The projections from the *OB* to the accessory olfactory nucleus (*AON*) also have the same pattern with the dorsal glomeruli projecting to the dorsal regions in the *AON*. On the other hand, the projections to the piriform seem to be organized randomly, and the projections to the *amygdala* are heavily biased towards the dorsal glomeruli



olfactory nucleus, piriform cortex, lateral enterorhinal cortex, olfactory tubercle and the amygdala [99]. Projections from the primary cortex are diffused over the brain and project to a large number of regions like the limbic system and the neocortex [27]. The dorso-ventral projection pattern is conserved in the anterior olfactory nucleus, but for the amygdala, projections are mostly traced back to the dorsal portion of the bulb, and no discernable pattern can be traced from the projections to the piriform cortex [100]. (Fig. 2.4)

2.5 Odor Coding

Precise odor detection and discrimination has its basis in deciphering a combinatorial code of activated ORs. A given OR may be activated by a number of molecules and one odorant is capable of activating a number of ORs, enabling the olfactory system to detect and discriminate among tens of thousands of odorants [101]. (Fig. 2.5) The range of unique odorants able to elicit a response from the OR defines how broadly or narrowly it is tuned. There are ORs that are excited by a wide range of molecules and ORs that respond to only very specific cues. One example of a narrowly tuned OR is the human OR7D4, which is activated very selectively by androstenone and androstadienone. The receptor has common variants that differ the function and alter the perception of these volatile steroids, showing an essential role of a single OR in odor perception [102–104]. The first step towards the identification of an odorant is the specific set of ORs it can activate to cause the OSN to depolarize, and hence the pattern of glomeruli it excites. It has been found that the glomeruli excited by a single odorant are consistent

Fig. 2.5 Combinatorial code for odor recognition. One odorant can activate many receptors (indicated by the green +) and a single receptor can get activated by a number of odorants. The second receptor is narrowly tuned, responding to only 1 odorant whereas one and three are broadly tuned, responding to many odorants

Receptor \ Ligand	1	2	3	4
				
	+	-	-	+
	+	+	+	-
	+	-	+	-
	-	-	+	+

across different individuals and that the higher centers of the brain are capable of identifying odors based on these patterns of activation [105]. The olfactory system may also utilize temporally coded odor information because when different odorants stimulate the same OSN, the OSN depolarizes at different frequencies [106]. The OB could also be generating complex temporal patterns encoding information about odors [107, 108].

2.6 Minority Receptors

ORs are the predominant receptors found in the olfactory epithelium but they are not the only sensory receptors expressed in the OE. A small number of OSNs express trace amine-associated receptors (TAARs) of which there are 15 members in mice [109–111]. These receptors, which detect volatile amines found in urine, are implicated in playing a role in stress response, gender recognition and predator avoidance [109, 112–114]. 0.1% of OSNs in the OE express guanylate cyclase D (GC-D) and do not have the signaling elements associated with OR signal transduction [115–117]. They instead express a cGMP-gated Ca^{2+} channel CNGA3 [115] and project to very specific glomeruli known as the necklace glomeruli [116]. These neurons all express carbonic anhydrase II and show a concentration dependent Ca^{2+} response to CO_2 , indicating that they might be responsible for its detection. They also respond to certain natriuretic peptide hormones and seem to be responsible for the detection of carbon disulphide, which is a signal associated with food related social learning [6, 118, 119].

2.7 Odorant Binding Proteins (OBPs)

OBPs are extracellular proteins localized to chemosensory systems of most terrestrial species. Non-neuronal support cells secrete a small number of vertebrate odorant binding protein (vOBP) into the mucus [120]. vOBPs are members of the lipocalin family of molecules, like the retinol binding proteins, and bind to odorants. For example, a vOBP binds to an odorant pyrazine with dissociation constants in the micromolar range [121]. Insect binding proteins (iOBPs) are a family unrelated to vOBPs in sequence and x-ray crystallography reveals that there are no structural analogs between the two. vOBPs are active as dimers whereas iOBPs are active as monomers [122].

Lush is a iOBP mutation in *Drosophila* that causes their repulsion to high concentrations of alcohol [123]. Studies have shown that in addition to alcohol, lush also binds to the insect pheromone 11-cis-vaccenyl acetate (VA), and that non-functional mutants do not display aggregation behavior usually displayed in response to its release [124]. Other OBPs have still not been assigned well defined roles.

2.8 Vomeronasal Organ (VNO)

The VNO in mammals, a tube-like organ also called Jacobson's organ after the scientist who first described it in 1811, is found separated from the main OE in a bony cartilaginous cavity opening into the anterior portion of the nasal cavity [125]. It is split by the nasal septum, forming two crescent-shaped lumens lined by a pseudostratified epithelium consisting of supporting cells, neurons and basal cells that act like stem cells for regeneration, similar to the organization of the OE [126]. The VNO neurons do not possess cilia, but rather have apical microvilli [27] and project their axons to the accessory olfactory bulb (AOB) [127], which may be sexually dimorphic with males having a slightly larger bulb than females [128]. The VNO contains neurons expressing receptors belonging to a 7 transmembrane domain GPCR family, unrelated to the ORs. They are divided into 2 subgroups: V1R and V2R [16, 129–132], each expressed in a distinct region of the VNO. V1Rs are expressed in the apical region of the VNO, linked to $G_{\alpha_{i2}}$ [133], have short amino terminals and have great sequence diversity in their transmembrane domains [134] consistent with the idea that the transmembrane domains are important for responding to structurally diverse ligands. The V2Rs are linked to G_{α_0} , have long amino terminal chains and are located in the basal region of the VNO. The amino terminal domains of V2Rs are diverse, suggesting that these domains may be responsible for binding to ligands. TRPC2 channels, a diacylglycerol activated transient receptor potential Ca^{2+} ion channel, mediates the signaling cascade in VNO neurons. These channels are found exclusively in the VNO [135, 136]. TRPC2^{-/-} mice were shown to be deficient in pheromone sensing using neurophysiology and behavior.

Unlike OSNs, VNO neurons expressing the same receptors project to more than one glomerulus, and each glomerulus in the AOB may receive input from multiple types of VNO neurons [137]. In addition, afferent AOB mitral cells also contact more than one glomerulus with their dendrites, increasing the complexity [138]. The AOB mitral cells send the information to different brain areas from the areas that receive information from the OB. The hypothalamus plays an important role in exerting effects starting from VNO activation.

The VNO is essential in mediating innate behaviors and physiological changes triggered by external chemical cues like the synchronization of the estrous cycle amongst females housed together [139], pregnancy block caused by the exposure of mated female mice to a strange male [140], enhancement of sexual receptivity in females [141], early onset of puberty in females in response to exposure to males [142], nursing behavior of lactating dams [8], male aggressive behaviors [17] and attraction to the odors of the opposite sex [7], all of which are either completely lost or partially affected in the mice lacking TRPC2 [5, 136, 143]. More recently, a third family of receptors has been discovered in the VNO called formyl peptide receptor-like proteins (FPRs) [144], which are expressed in a set of neurons that do not express other known VNO receptors. Since some FPR members are found in immune cells, the VNO may provide sensitivity to molecules associated with disease and inflammation [145]. In humans, though a VNO can be clearly detected in the embryonic stages [146], the organ in adults does not contain any cells with properties of sensory neurons [147]. TRPC2 is pseudogenized in humans, supporting the idea that the human VNO is vestigial. It is interesting to note that a few V1Rs seem to be intact in humans, though the vast majority of the VNO receptors are pseudogenized [16, 129, 148–151].

2.9 Olfactory System in Aquatic Vertebrates

Fish carry out chemosensation via olfaction, gustation and general chemosensation mediated by solitary chemosensory cells. Unlike terrestrial animals, the fish olfactory system detects water-soluble chemicals. They detect four main classes of odorants: amino acids, gonadal steroids, bile acids and prostaglandins [152]. Fish have a single olfactory organ called an olfactory rosette, which contains 3 types of OSNs: ciliated, microvillous and crypt cells, and projects a tightly fasciculated olfactory nerve to the OB (Fig. 2.6) [153]. These 3 types of neurons differ from each other based on morphology (number of cilia, length of dendrite), position (depth in the OE) and their receptor expression profile. The type of receptors expressed by crypt cells remains unknown, but the ciliated cells express ORs and the microvillous cells express V2R-like receptors [154–156]. mRNA for V1R-like receptors has been found in the OE [157]. Each class of these receptors is believed to use the same or similar signaling pathways as their mammalian orthologs. The fish receptor repertoire is smaller than that of mammals, but their receptors are more diverse in sequence [158, 159] and the repertoire size itself varies significantly amongst

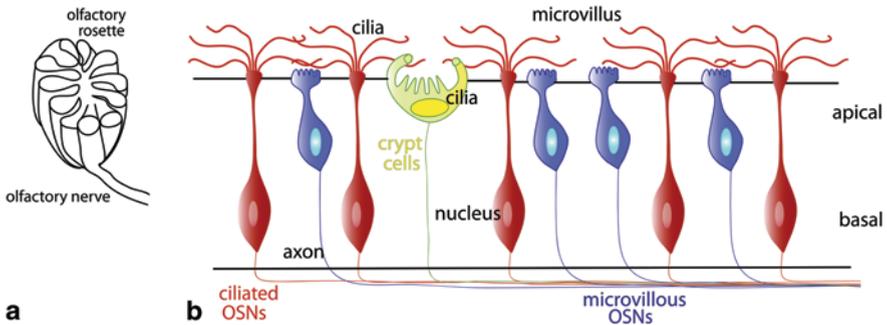


Fig. 2.6 (a) A depiction of a single olfactory rosette, (b) the 3 types of OSNs found in the fish OE. Ciliated neurons tend to be positioned more basally than *microvillous OSNs* and crypt cells depicted in *yellow*, are usually at the most apical tip of the tissue

species; for example, pufferfish have fewer than 50 ORs compared to the 102 intact and 35 pseudogenized OR genes found in zebrafish [160, 161]. Fish OE have also been shown to express TAARs that act as candidate receptors for polyamines [111, 162, 163], and have a much larger repertoire than mammals in some cases like zebrafish with 109 TAAR genes [111]. Again, different species of fish have large variations in the number of TAAR genes their genomes contain.

A single OSN in zebrafish may either express one OR or multiple ORs as in the case of the zOR103 family of receptors, which express either 2 or 3 receptors in some ciliated neurons [164]. Ciliated neurons in the zebrafish project to the dorsal and medial regions in the OB while the microvillous neurons project to the lateral regions [164, 165]. In contrast, catfish ciliated neurons project to the medial and ventral regions and the microvillous OSNs project to the dorsal OB [166, 167]. Ciliated and microvillous OSNs project to mutually exclusive glomeruli in the zebrafish, where no glomeruli were observed to be innervated by both types of OSNs [164, 165].

2.10 The Insect Olfactory System

Most insects have two major olfactory organs on their heads, the antenna and the maxillary palp (Fig. 2.7a). These organs are covered in sensory hairs called sensilla, which contain up to four sensory neurons, olfactory receptor neurons (ORNs), bathed in the sensory lymph. There are small openings on the surface of these sensilla that allow odorants to dissolve in the lymph and come in contact with the ORN dendrites (Fig. 2.7b). These ORNs project a single axon to a single spatially invariant glomerulus, which is also innervated by other ORNs expressing the same OR, and synapses with second order projection neurons in the antennal lobe in a manner analogous to the mammalian olfactory system [168]. There are a total of 43 glomeruli in the antennal lobe in *Drosophila*, and output neurons project ipsilaterally

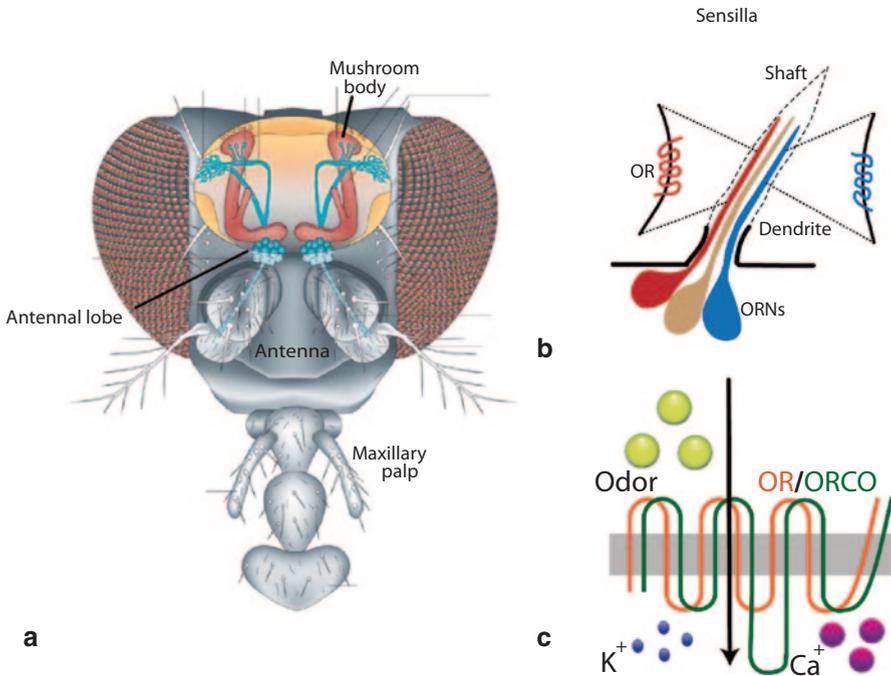


Fig. 2.7 **a** The head of *Drosophila melanogaster* showing the olfactory organs and the antennal lobe along with higher centers like the mushroom body (modified from [1]). **b** A single sensory sensilla with its porous shaft containing 3 ORNs each expressing a unique *OR* along with OR83b. **c** The insect *OR* is in itself an ion channel capable of conducting Ca²⁺ and K⁺ into the cell when activated by an odorant

to Kenyon cells of the mushroom bodies and the lateral horn of the proto cerebrum in the central brain [169]. There are some sexually dimorphic neural circuits which may be involved in the courtship and mating behavior [170].

Insect OR gene families have been identified for *Drosophila* (62 ORs) [171, 172], *Anopheles* (79 ORs) [173], *Aedes* (131 ORs) [174], *Apis* (157 ORs) [175] and many more. These highly divergent OR genes are not homologous to vertebrate GPCR ORs and they display a novel topology where their N terminus is intracellular and C terminus is extracellular [176, 177]. An inside-out single channel recording of cell membrane excised from an insect OR expressing mammalian cell cultures gave rise to evidence that the insect OR itself is an ion channel [178, 179] that is gated by its cognate ligands (Fig. 2.7c). The metabotropic signaling pathway that contributes to activation of ORNs remains a controversial field with many players seemingly playing odor specific roles. RNAi knockdown of G_{αq} in the *Drosophila* antenna results in the flies becoming insensitive to high concentrations of isoamyl acetate which normally repels flies [180]. Flies with mutations in *norpA* phospholipase C have defective maxillary palps [181].

Insects undergo metamorphosis and their larval repertoire of ORs is different from that of adults. Some ORs expressed exclusively in the adult may be responsible for the detection of pheromones responsible for mating. ORNs express up to three different ORs along with odorant receptor co-receptor, ORCO, also known as Or83b. Unlike other insect ORs, ORCO is conserved across diverse species [173–175] and co-expressed with the other ORs [182]. This receptor does not seem to participate directly in odor binding, but instead it forms a heteromer responsible for the transport and transduction of the receptors [182, 183].

Much progress has been made in deorphanizing *Drosophila* ORs with the use of an “empty neuron” strategy where Or22a/b is deleted, giving rise to a Δ halo mutant fly which has an “empty” ab3A neuron. Using a UAS/Gal4 expression system, the ectopic expression of any OR expression can be driven in ab3A neurons that can then be electrophysiologically tested to study its response to various odorants [184–186]. These studies also showed that insects also detect odors using a combinatorial code of activated glomeruli, just like mammals.

A family related to the ionotropic glutamate receptors (IRs) that mediate neuronal communication at synapses has also been shown in insect ORNs. These ORNs respond to a number of distinct odors without expressing canonical ORs. IRs are extremely divergent with an overall sequence identity of 10–70% and up to 3 IRs are expressed in an individual ORN [187].

2.11 Nematode Olfactory System

Nematodes such as *C. elegans* carry out chemosensation with chemosensory neurons that extrude their sensilla into the environment via openings made by glial cells called the socket and sheath cells [188]. There are 32 such neurons arranged in bilaterally symmetric pairs along the amphid, phasmid and the inner labia. *C. elegans* can detect volatile molecules (mostly byproducts of bacterial metabolism) in the nanomolar range and exhibit long-range chemotactic behavior towards them [189]. Amphid sensory neurons AWC and AWA detect attractants, and their bilateral symmetry aids the detection of gradients [190]. As in vertebrates, chemosensation is mediated by 7 transmembrane domain GPCRs that make up 7% of the genome (more than 500 functional chemosensory receptors) [4, 191–193] and are concentrated on chromosome V, but unlike higher animals, worms do not have the same anatomical structures such as glomeruli to process olfactory information. Their olfactory receptors are evolutionarily divergent from both mammalian and insect ORs, and each neuron expresses a number of olfactory receptors as worms have only 32 neurons [194]. The activation of a single neuron is sufficient for directing behavioral output to environmental cues. Ectopic expression of the olfactory receptor ODR-10 in AWB neurons, which detects repellents, makes the transgenic worms avoid the normally attractive odorant diacetyl, an active ligand for ODR-10 [9, 192] which suggests that this behavior is encoded in the neural circuit (Fig. 2.8).

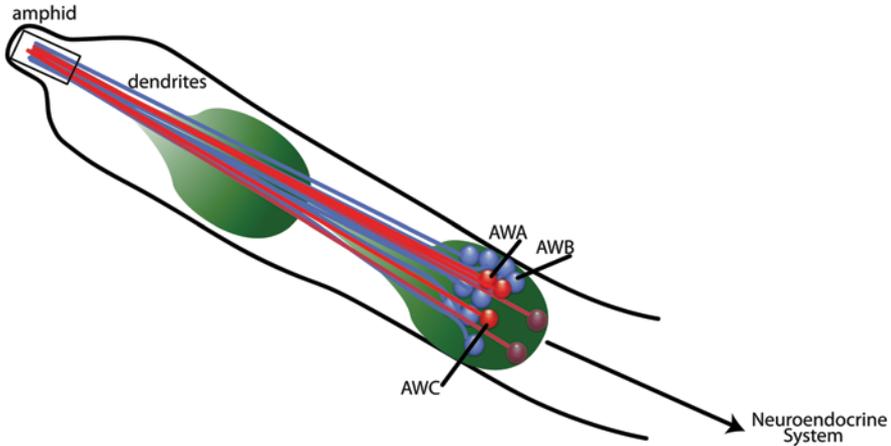


Fig. 2.8 The head of *C. elegans* depicting the sensory neurons found in the *amphid*. The pharynx is shown in *green* and a number of sensory neurons project their dendrites through the opening

2.12 Future Directions in the Field

Matching ORs with active odor ligands is fundamental to understanding how olfaction works. Given the vast number of odor chemicals and ORs, new technologies enabling comprehensive characterization of an OR repertoire that is activated by a given odorant should tremendously benefit the field. The elucidation of this question could lead to many advances not only in understanding the basic logic of odor detection, but also in the food and flavor industry. Identifying receptors for key flavors will enable pharmaceutical screening for new flavor chemicals, which could lead to engineering unpalatable food to improve flavor and revolutionize the way we eat.

Understanding of the olfactory system could also address certain national security concerns. There is great scope for innovation of new detection technologies for security threats like explosives or illegal substances like drugs. Though trained dogs are currently used because of their superior ability in olfaction, olfaction-based biosensors could one day be used in place of dogs.

OR gene choice is another black box that is being approached with new and innovative methods. OR gene choice serves as a unique and excellent model to understand how epigenetic modifications contribute to gene expression.

The ultimate goal of understanding the olfactory system is to understand how we detect and subsequently recognize an odorant, followed by perception and a behavioral output in the appropriate context. Olfactory information processing in the brain has just begun to be understood. Recent studies have demonstrated that the loss of a single receptor can lead to a change in odor perception or innate aversive behaviors, demonstrating that a single receptor can have a huge impact in odor perception and odor-mediated behavior. But how do we reconcile the decisive role of single ORs

with the idea of combinatorial odor coding? Are some ORs more important than others? How often does a mutation of a single receptor cause dramatic changes in perception? Large strides need to be made in understanding how our nervous system processes odor information.

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Chapter 3

Olfactory Receptor Proteins

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Abstract Bioelectronic noses can utilize olfactory receptors (ORs) as recognition elements. This chapter describes biochemical characteristics of these OR proteins. ORs being G protein-coupled receptors (GPCRs) are integral membrane proteins composed of seven transmembrane spanning helices. In mammals, there exist as many as 1,000 OR genes accounting for about 3% of the genome. Unfortunately, no three-dimensional (3D) structure of OR is available and one must infer OR properties from those of better characterized GPCRs. The chapter offers a brief overview of the characteristics of known 3D structures of complexes of GPCRs with various types of ligands (agonists, inverse agonists, antagonists, etc.) and, in one case, also with a G protein. Based on these structural data, it then reviews hypotheses and experiments regarding the GPCR transduction mechanism. The chapter then describes how the set of known 3D structures (17 different GPCRs to date) can be used to model OR 3D structures that will be subsequently used as platform for ligand virtual screening. The following section examines the different mechanisms that regulate OR activity. Lastly, we focus on the use of OR proteins in bioelectronic noses.

3.1 Olfactory Receptors: Genes and Protein Expression

Olfactory receptor (OR) genes represent more than 3% of the mammalian genome and are the largest gene superfamily. For instance, the number of OR genes exceeds 1,700 in rat and is around 860 in humans [1]. Nevertheless, during evolution, some OR genes became nonfunctional pseudogenes. The proportion of OR pseudogenes differs between species, being $\approx 20\%$ in mouse and dog [2, 3] and $\approx 60\%$ in humans

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[1, 4, 5]. Mammalian OR genes are organized in clusters and distributed on most of the chromosomes, while being more abundant on chromosomes 11 and 1 in humans. OR pseudogenes are interspersed with full-length OR genes. OR genes are characterized by a single coding exon of about 1 kb and encode membrane receptors belonging to the seven-transmembrane domain Gprotein coupled receptors (GPCR) superfamily. ORs genes seem to carry no signal peptide sequence. According to the GRAFS classification [6], GPCRs are divided into five families, and ORs belong to the class R family, which corresponds to the “Rhodopsin-like” receptors. Moreover, mammalian OR genes are divided into two classes. Class I gathers OR genes closely related to fish OR genes and are clustered on chromosome 11 in humans [4] and class II contains ORs found in vertebrate species. The pseudogene fraction among the human class I ORs (52%) is lower than that observed for human class II ORs (77%) [4], supporting the idea that class I ORs are not evolutionary relics and still have functional significance.

Expression of OR genes was first discovered in the olfactory epithelium by the Nobel laureates Buck and Axel [7]. There, OR are expressed by the olfactory sensory neurons (OSNs) and each OSN expresses a single allele of a single OR gene. OR role in the olfactory epithelium is to detect and to discriminate odorant molecules according to a combinatorial code in which an OR can detect various odorant molecules and an odorant can activate various ORs (see Chap. 2 and 4). Thus, an odorant activates a specific group of ORs, and different groups of ORs are activated by different odorants. Moreover, there may be some overlapping of the groups of ORs stimulated by two different odorants. Furthermore, it has been reported that odorants activating the same OR share 3D structural similarities (odotopes) and similar odor qualities, suggesting that an odotope could be associated to a perceived odor quality via a specific group of activated ORs [8].

Besides their well-known role in olfaction, ORs appear to be expressed in tissues other than the olfactory epithelium and to exhibit additional functions. For instance, some ORs are involved in sperm chemotaxis and migration [9, 10], in enterochromaffin cell serotonin secretion [11, 12], in muscle cell migration and adhesion [13] and in renin secretion in the kidney [14, 15]. There is also evidence that some ORs are overexpressed in tumor cells where they could constitute tumor markers and be involved in tumor progression [16–18]. Recently, ORs were reported to participate in early cytokinesis by exerting a regulatory role on actin cytoskeleton, and particularly in cancer cell lines [19].

The regulation of OR gene expression seems to be different in OSNs and in other cells, since it was reported that sperm cells and enterochromaffin cells co-express various ORs contrary to OSNs [20, 21]. It should be of great interest to explore more extensively the regulation of OR expression in cells other than OSNs, and particularly their up-regulation in tumor cells.

While ORs display various functions depending on their expression site, the signal transduction cascade was mainly described in OSNs, where ORs are known to activate the G_{olf} protein subunit and then the adenylate cyclase III, leading to an increase of $cAMP$ and intracellular calcium through the opening of cyclic nucleotide gated channels. This kind of signaling could be comparable in some other cells

where both ORs and $G_{\alpha_{\text{olf}}}$ subunit are expressed [14, 22]. However, alternative signaling pathways also exist, for instance in OSNs where some odorants can induce PI3 kinase activation through $G\beta\gamma$ subunits [23, 24], or in enterochromaffin cells where PLC, IP3 receptors and L-type calcium channels are involved downstream of OR activation [11]. Thus the existence of different signal transduction cascades can be exploited for the design of OR based biosensors (see Sect. 6).

3.2 G-protein Coupled and Olfactory Receptors Sequences and Three-Dimensional Structures

ORs belong to the G-protein coupled receptor (GPCR) superfamily characterized by the conservation of seven helical segments (7-TMHs) spanning the plasmic membrane. According to the GRAFS classification of Fredriksson and coll., ORs belong to the δ -subclass of the R (rhodopsin-like) class where they form a monophyletic cluster [6]. Most ORs have been identified through analyses of sequenced genomes [25] thus very little is known about their biochemical properties beyond their amino-acid sequences. In particular, most ORs are so-called “orphans”, i.e., their ligands are unknown. Knowledge about OR characteristics and mechanism of action must be inferred from those of the limited set of GPCRs that have been experimentally characterized. The study of GPCR molecular mechanisms has long been plagued by the lack of structural data. The first high resolution X-ray structure, that of rhodopsin, dates back to 2000 [26]. Seven more years of intensive developments were needed to obtain a second 3D structure (for the human $\beta 2$ adrenergic receptor, [27]). Since then, the field has steadily progressed and in August 2013, 17 3D structures of different GPCRs are available (Table 3.1), all belonging to the R class, nine to the α -subclass (Bovine and Squid rhodopsin, Human $\beta 2$ adrenergic, Turkey $\beta 1$ adrenergic, Human A_{2A} adenosine, Human dopamine D3, Human histamine H1, Human sphingosine 1-phosphate, Human M2 muscarinic acetylcholine, Rat M3 muscarinic acetylcholine), five to the γ -subclass (Human chemokine CXCR1, Human chemokine CXCR4, Mouse μ -opioid, Human κ -opioid, Mouse δ -opioid, Human nociception/orphanin FQ), one to the β -subclass (Rat neurotensin) and one to the δ -subclass (Human protease activated receptor 1). Except for the structure of the Human chemokine CXCR1 receptor obtained by solid-state NMR [28], all other 3D structures have been solved by X-ray crystallography and feature complexes of the receptors with different types of ligands (agonists, partial agonists, biased agonists, inverse agonists, antagonists, selective antagonists, irreversible antagonists, see Fig. 3.1 for definitions of these terms). In the structures of receptor-antagonist/inverse agonist complexes the receptors adopt an inactive conformation. In 2012, the structures of a number of receptor-agonist complexes (see Table 3.1) have been solved, stabilized by the introduction of a camelid antibody fragment (nanobody) [29]. The structure of the complex of the $\beta 2$ adrenergic receptor with the Gs α -subunit was also released in 2011 [30]. In these structures, the receptor adopts an active conformation (see next section).

Table 3.1 Experimental structures of complexes of GPCRs with different types of ligand

Receptor	Class/ ^a subclass	Year	PDB code	Resolution (Å)	Ligand	Type ^b	Conformational state	Reference
<i>Bovine rhodopsin</i>	R/ α	2000	1F88	2.8	11-cis retinal	Inverse agonist	Inactive	Palczewski et al. [26]
		2004	1U19	2.2	11-cis retinal	Inverse agonist	Inactive	Okada et al. [78]
		2011	3PQR	2.8	All-trans retinal	Agonist	<i>Activated</i> ^c	Choe et al. [35]
		2008	2Z73	2.5	11-cis retinal	Inverse agonist	Inactive	Murakami and Koyama [79]
Human β_2 adrenergic	R/ α	2007	2RH1	2.4	Carazolol	Inverse agonist	Inactive	Rasmussen et al. [27]
		2008	3D4S	2.8	Timolol	Inverse agonist	Inactive	Hanson et al. [80]
		2010	3NY8	2.8	ICI118551	Inverse agonist	Inactive	Wacker et al. [81]
		2010	3NYA	3.2	Alprenolol	Antagonist	Inactive	Wacker et al. [81]
		2011	3P0G	3.5	BI-167107	Agonist	<i>Activated</i> ^d	Rasmussen et al. [29]
		2011	3PDS	3.5	FAUC50	Irreversible agonist	Intermediate	Rosenbaum et al. [82]
<i>Turkey β_1 adrenergic</i>	R/ α	2011	3SN6	3.2	BI-167107	Agonist	<i>Activated</i> ^e	Rasmussen et al. [30]
		2008	2VT4	2.7	Cyanopindolol	Antagonist	Inactive	Warne et al. [83]
		2011	2Y00	2.5	Dobutamine	Partial agonist	Inactive	Warne et al. [84]
		2011	2Y04	3.0	Salbutamol	Partial agonist	Inactive	Warne et al. [84]
		2011	2Y02	2.6	Caroterol	Agonist	Inactive	Warne et al. [84]
		2011	2Y03	2.8	Isoprenaline	Agonist	Inactive	Warne et al. [84]
		2011	2YCW	3.0	Carazolol	Inverse agonist	Inactive	Moukhametzianov et al. [85]
		2012	4AMI	3.2	Bucindolol	Biased agonist	Inactive	Warne et al. [76]
		2012	4AMJ	2.3	Carvedilol	Biased agonist	Inactive	Warne et al. [76]
		2008	3EML	2.6	ZM241385	Inverse agonist	Inactive	Jaakola et al. [86]
<i>Human A2A adenosine</i>	R/ α	2011	3QAK	2.7	UK-432097	Agonist	Intermediate	Xu et al. [87]
		2011	2YDO	3.0	Adenosine	Agonist	Intermediate	Lebon et al. [88]
		2011	2YDV	2.6	NECA	Agonist	Intermediate	Lebon et al. [88]
		2011	3REY	3.3	XAC	Antagonist	Inactive	Dore et al. [89]
		2011	3RFM	3.6	Caffeine	Antagonist	Inactive	Dore et al. [89]

Table 3.1 (continued)

Receptor	Class/ ^a subclass	Year	PDB code	Resolution (Å)	Ligand	Type ^b	Conformational state	Reference
<i>Human chemokine CXCR4</i>	R/γ	2010	3ODU	2.5	IT1t	Antagonist	Inactive	Wu et al. [90]
<i>Human dopamine D3</i>	R/α	2010	2OE0	2.9	CVX15	Antagonist	Inactive	Wu et al. [90]
<i>Human histamine H1</i>	R/α	2010	3PBL	2.9	Etioclopride	Antagonist	Inactive	Chien et al. [91]
<i>Human sphingosine 1-phosphate</i>	R/α	2011	3RZE	3.1	Doxepin	Inverse agonist	Inactive	Shimamura et al. [92]
<i>Human M2 muscarinic acetylcholine</i>	R/α	2012	3V2Y	2.8	ML056	Antagonist	Inactive	Hanson et al. [93]
<i>Human M3 muscarinic acetylcholine</i>	R/α	2012	3UON	3.0	QNB	Antagonist	Inactive	Haga et al. [94]
<i>Mouse μ-opioid</i>	R/γ	2012	4DAJ	3.4	Tiotropium	Inverse agonist	Inactive	Kruse et al. [95]
<i>Human κ-opioid</i>	R/γ	2012	4DKL	2.8	β-FNA	Irreversible antagonist	Inactive	Manglik et al. [96]
<i>Mouse δ-opioid</i>	R/γ	2012	4DJH	2.9	JD7ic	Selective antagonist	Inactive	Wu et al. [77]
<i>Human nociceptin/orphanin FQ</i>	R/γ	2012	4EJ4	3.4	Naltrindole	Selective antagonist	Inactive	Granier et al. [97]
<i>Rat neurotensin</i>	R/β	2012	4GRV	2.8	Neurotensin	Agonist	Intermediate	White et al. [99]
<i>Human protease activated receptor 1</i>	R/δ	2012	3VW7	2.2	Vorapaxar	Antagonist	Inactive	Zhang C. et al. [100]
<i>Human chemokine CXCR1</i>	R/γ	2012	2LNL	NMR	–	–	inactive	Park et al. [28]

^aGRAFS classification [6]^bsee Fig. 3.1Ternary complex with: ^c11 amino acid C terminal fragment of Gt α-subunit, ^dcamelid nanobody, ^eGs protein

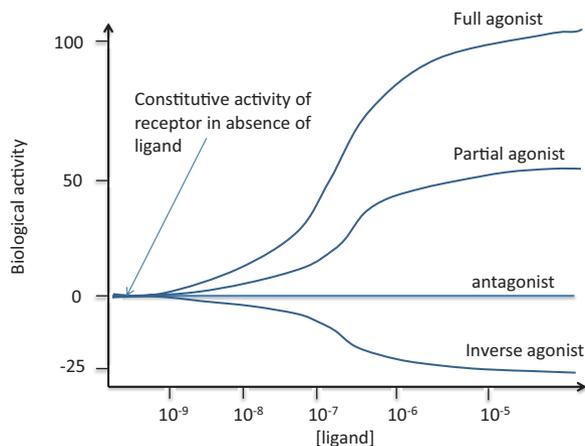


Fig. 3.1 Pharmaceutical definitions of different ligand types: full agonists are ligands that have the same biological activity as the endogenous ligand (100% efficacy), partial agonists show intermediate efficacy. Some receptors exhibit a constitutive (basal) activity even in absence of their ligand. Inverse agonists are ligands that decrease this basal activity (negative efficacy). Biased agonists are ligands that stimulate G-protein independent signaling pathways (e.g., the arrestin pathway) while acting as either inverse or partial agonists of G-protein signaling pathways [76]. Antagonists do not modify the receptor basal activity but prevent agonists from binding and activating the receptor. Irreversible antagonists/agonists, as their name suggests, bind irreversibly to the receptor (usually by being covalently tethered to it). Subtype-selective agonists/antagonists are ligands that are able to discriminate between different receptor subtypes. For instance, JDTiC (see Table 3.1) is an antagonist with a high affinity and subtype selectivity for the human κ -opioid receptor but not for the δ and μ -opioid receptors [77]

This panel of 3D structures of class R GPCRs is sufficiently large to allow us to reasonably identify features and properties common to receptors of this class and extrapolate these findings to receptors for which no 3D structure is available yet, in particular, ORs.

GPCR 3D structure consists of an extracellular N-terminus of various length in class R receptors, from a short peptide for ORs to a large extra-cellular folded domain for glycoprotein receptors, a bundle of seven transmembrane helices that are connected by three extracellular loops (ECLs) and three intracellular loops (ICLs) and a cytoplasmic C-terminus that often contains an eighth α -helix that lies against the cytoplasmic face of the membrane. The 3D structure can be roughly divided into two modules, one containing the binding pocket and devoted to ligand recognition, and one dedicated to signal transduction by binding to the $G\alpha$ protein [31]. As a rule, residues of the outermost part show a tendency to be less conserved than those of the innermost part. This is in particular true for ORs since their binding pocket contains so-called “hypervariable” residues needed to accommodate the large spectrum of possible odorant molecules [32]. This is reflected by the larger structural diversity of the first module with an average root-mean-square deviation (RMSD) of 2.7 Å compared to the second module with an average RMSD of 1.5 Å [31].

The 7-TMH domain (7TMD) is the most structurally conserved region of GPCRs although its sequence conservation is only moderate, with sequence identities for 7TMDs ranging from about 70% for receptors of the same subfamily (e.g., the opioid receptor subfamily, the adrenergic receptor subfamily), to a mere 17% (well within the homology twilight zone) between the protease activated receptor 1 (PDB code 3vw7) and the sphingosine 1-phosphate receptor (PDB code 2v2y), see Table 3.2. In spite of this relatively low sequence identity between families, a number of highly conserved residues and motifs are found in the transmembrane helices. The most conserved residue in each TMH forms the basis of the Ballesteros-Weinstein numbering system [33] in which this residue gets the number 50, residues to the N-terminus of this residue get numbers smaller than 50 and residues to its C-terminus get numbers greater than 50. Each residue within the 7TMD is thus identified by two numbers: the TMH number and a number indicating its relative position with respect to the most conserved one in this TMH. For instance, 7.49 represents the residue preceding the most conserved one (a Proline) in the TMH7 sequence. Ballesteros-Weinstein 50 (N50) residues are highlighted in yellow in Fig. 3.2. Functionally important sequence motifs for GPCRs are also found in the TMHs (shown in bold in Fig. 3.2), for instance the D[E]RY motif at the end of TMH3 that is part of a “ionic lock”, the FxxCWxP motif in TMH6 and NPxxY motif at the end of TMH7 that are suspected to be involved in the activation mechanisms (see next section). The presence of these conserved residues facilitates the alignment of GPCR sequences. However, N50 residues for TMH5 and TMH6 are not conserved in olfactory receptor sequences. In particular, obtaining an accurate alignment for OR TMH6 is challenging since the CWxP motif is not preserved in most OR sequences.

Loops are more diverse in terms of length, sequence similarity and 3D conformation. There exist two types of structures, those in which the binding pocket is occluded by the N-terminus and the ECLs (e.g., rhodopsin and sphingosine 1-phosphate receptors) and those in which the binding pocket is accessible from the extracellular side. ECL2 exhibits a more pronounced structural diversity than ECL1 and ECL3 that are short loops, respectively 5–6 and 7–8 residue long. In most GPCRs, ECL2 that connects TMH4 to TMH5 is tethered to TMH3 by a disulfide bridge between Cys^{3,25} at the extracellular tip of TMH3 and a highly conserved Cys in the loop. This generates two “pseudo-loops”: ECL2a and ECL2b. In a number of structures, ECL2b acts as a lid on top of the binding pocket and its residues interact with the ligand. The conformation of the 6-residue long ICL1 is conserved in all known structures. The 9–12 residue long ICL2 that has been shown to interact with the N-terminus of the G α subunit [30] is very flexible and adopts diverse conformations in the known structures. ICL3 has a highly variable length, ranging from 5 residues in CXCR4 up to tens of residues in some other receptors. Presumably, ICL3 is responsible of the receptor affinity for different G proteins.

The ligand binding pocket is located in the first one-third of the helical bundle from the extracellular side. GPCRs bind very different ligands (proteins, peptides, lipids, nucleotides, small organic molecules, ions) that exhibit diverse shapes, sizes and chemical properties and penetrate to different depths in the pocket. Venkatakrisnan and coll. have analyzed the positions in the binding pocket at which

Table 3.2. RMSD and percentage of sequence identity of transmembrane domains of GPCRs with known 3D structures

	2lnlA	4grvA	3vw7A	3uonA	4ea3A	4ej4A	4djhA	4dajA	3v2yA	3rzeA	1u19A	2rh1A	2vt4A	3em1A	4dk1A	3oduA	3pb1A
2lnlA	–	30.1	32.7	26.0	39.3	36.2	33.7	23.0	22.4	22.4	23.0	28.1	32.1	26.5	36.2	43.4	29.1
4grvA	6.43	–	21.9	24.5	31.1	28.1	32.1	24.5	23.0	21.4	23.0	20.9	24.0	22.4	25.5	27.6	27.6
3vw7A	6.21	2.50	–	25.5	30.6	29.6	28.6	23.0	16.8	24.0	17.9	23.5	23.0	21.4	28.6	28.1	24.0
3uonA	5.92	2.92	3.30	–	27.6	28.1	30.6	70.4	27.6	35.7	23.0	30.6	30.1	26.0	29.6	24.0	31.1
4ea3A	5.58	2.61	2.44	2.16	–	63.3	62.2	25.5	21.9	26.5	22.4	28.1	29.1	26.0	62.8	34.2	30.6
4ej4A	5.89	2.54	2.37	2.24	0.98	–	72.4	27.6	21.4	30.1	24.0	30.1	29.1	29.1	73.5	29.6	30.6
4djhA	5.95	2.53	2.72	2.37	1.41	1.45	–	28.1	21.9	31.1	21.9	30.6	31.1	29.1	71.9	30.6	27.6
4dajA	5.84	2.70	3.13	1.32	2.33	2.42	2.43	–	26.5	38.3	19.9	36.7	34.2	29.6	28.6	25.5	30.6
3v2yA	5.63	3.09	3.16	2.27	2.50	2.66	2.79	2.29	–	25.0	21.4	27.0	28.6	29.6	20.4	21.9	26.5
3rzeA	5.71	2.31	2.61	1.65	1.85	1.87	1.98	1.59	2.25	–	20.4	35.7	35.7	33.2	29.6	24.5	34.2
1u19A	5.76	2.77	2.52	2.37	2.04	2.16	2.55	2.46	2.60	2.03	–	22.4	21.4	23.0	25.5	22.4	27.6
2rh1A	6.07	2.41	2.83	1.38	2.09	2.13	2.16	1.53	2.14	1.48	2.04	–	69.4	34.2	29.6	25.5	37.8
2vt4A	6.54	2.54	3.32	2.81	2.75	2.83	2.40	2.64	3.23	2.12	2.91	2.30	–	35.7	28.1	25.0	40.8
3em1A	5.79	2.51	3.10	2.23	2.23	2.39	2.59	2.32	1.98	2.13	2.44	2.08	2.86	–	28.1	22.4	31.6
4dk1A	5.57	2.82	2.70	2.32	0.89	1.09	1.46	2.50	2.62	1.99	2.18	2.31	2.95	2.43	–	33.2	31.1
3oduA	5.65	3.05	2.91	2.77	1.89	1.91	2.40	2.88	2.88	2.33	2.47	2.78	3.35	2.75	1.76	–	27.0
3pb1A	5.70	2.12	2.62	1.66	1.66	1.78	1.91	1.93	1.98	1.40	1.77	1.31	1.63	1.56	1.81	2.12	–

Lower triangular matrix: TMH RMSD (Å), Upper triangular matrix: TMH % of sequence identity

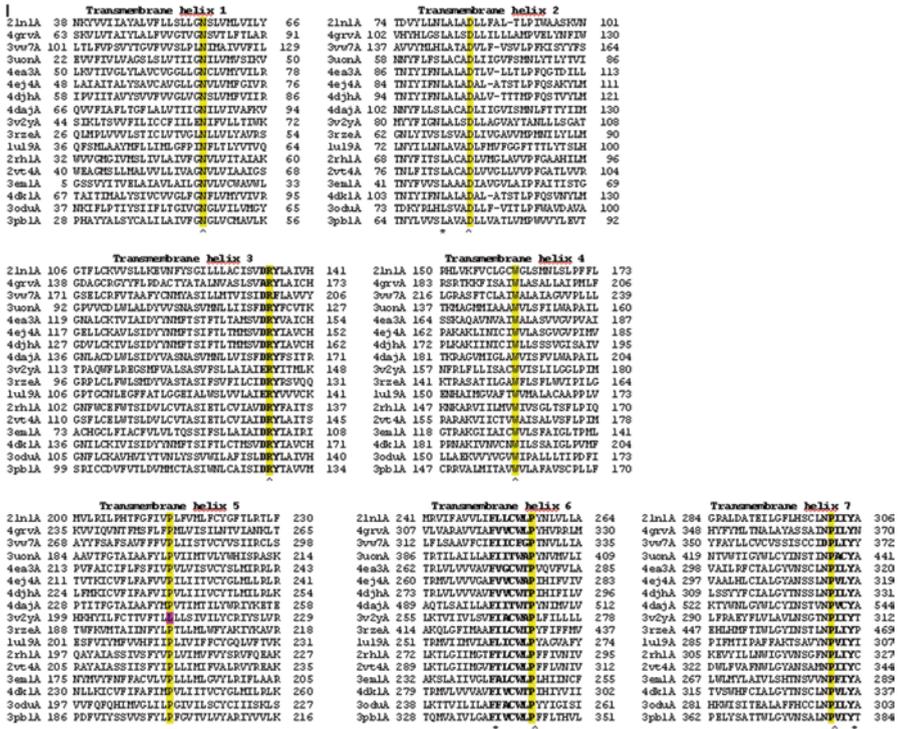


Fig. 3.2 Multiple sequence alignment of the 7 transmembrane helices for the 17 GPCRs whose 3D structure has been experimentally solved

the amino acid residue contacts the ligand in all known GPCR 3D structures [34]. They found that residues at positions 3.32, 3.33, 3.36, 6.48, 6.51 and 7.39 (Ballesteros-Weinstein nomenclature), irrespective of their type, always establish contacts with the ligand. Among these consensus positions, two pairs of positions (3.36–6.46 and 6.51–7.39) are also present in the network of conserved TMH contacts that maintain the helical bundle scaffold. They dubbed the latter positions the “ligand-binding core”.

3.3 Signal Transduction Mechanisms

To date, only three structures of GPCR in an activated conformational state are available: rhodopsin in a complex with the C-terminus peptide of the α -subunit of the transducin G protein [35, 36], β 2 adrenergic receptor in a ternary complex with an agonist and a camelid antibody fragment (nanobody) that mimics G protein binding [29] and the same agonist-receptor system in complex with the whole heterotrimeric Gs protein [30]. A number of other structures in which the receptor

is bound to an agonist show intermediate conformational states (e.g., A_{2A} adenosine and neurotensin receptors, see Table 3.1). Indeed, except for rhodopsin in which the covalently bound agonist (all-trans retinal) alone appears sufficient to stabilize the active state conformation, experimental evidence has shown that the G protein is required to fully stabilize the active state conformation of other GPCRs [37]. These three structures shed light on the molecular changes occurring during receptor activation.

Upon binding of the agonist, small conformational changes in the ligand-binding extracellular side are amplified in the cytoplasmic side leading, more conspicuously, to a large structural rearrangement of TMH6 (14 Å outward movement) and a smaller outward movement and 7-residue cytoplasmic helix extension of TMH5 (see Fig. 3.3) [30]. According to Venkatakrisnan and coll. [34] the sequence of events is the following: (i) agonist binding causes a small local structural change in the Pro^{5.50} that induces a distortion of TMH5, (ii) there is a relocation of TMH3 and TMH7 (in the $\beta 2$ adrenergic and A_{2A} adenosine receptors the agonist ligand pulls the extracellular tips of TMH3, TMH5 and TMH7 inward, resulting in a moderate contraction of the binding pocket – notice that for rhodopsin this is the contrary, the volume of the binding pocket increases upon retinal isomerization [38]), (iii) there is a rotation/translation of TMH5 and TMH6. Venkatakrisnan and coll. identified a cluster of conserved hydrophobic/aromatic residues mostly at the TMH5-TMH6 interface (positions 3.40, 5.51, 6.44, 6.48). Rearrangements of residues of this interface lead to the rotation of TMH6 near the conserved Phe^{6.44}. Combined with the strong kink of TMH6 at position 6.50 (which is a Pro in most GPCRs), this rotation produces the observed large TMH6 movement. This region of TMH6 corresponds to the sequence motif FxxCWxP (5.44–5.50). As mentioned above, neither Pro^{5.50} nor the CWxP motif (6.47–6.50) is conserved in most OR sequences, therefore one may wonder whether the activation mechanism is similar in these receptors. In the rhodopsin complex there is also a change in the water mediated hydrogen bond network near the NPxxY motif of TMH7 [36].

In the rhodopsin and $\beta 2$ adrenergic complexes, the receptor interacts with the G protein α -subunit through an interface made of residues belonging to ICL2, TMH3, TMH5 and TMH6.

As mentioned above, for GPCRs other than rhodopsin, the binding of the agonist is not sufficient to stabilize the activated state. A number of biophysical experiments suggest that there exist several activated conformational states, and that different ligands are able to shift the equilibrium between these states. Nygaard and coll., using NMR techniques complemented with molecular dynamics simulations, have studied the existence of conformational states not observed in crystal structures [37]. They propose the model shown in Fig. 3.4 to explain the GPCR activation mechanisms. There are three types of conformational states: inactive, intermediate and activated. Each state consists of several microstates in which the receptor adopts slightly different conformations. The probability of finding the system in a

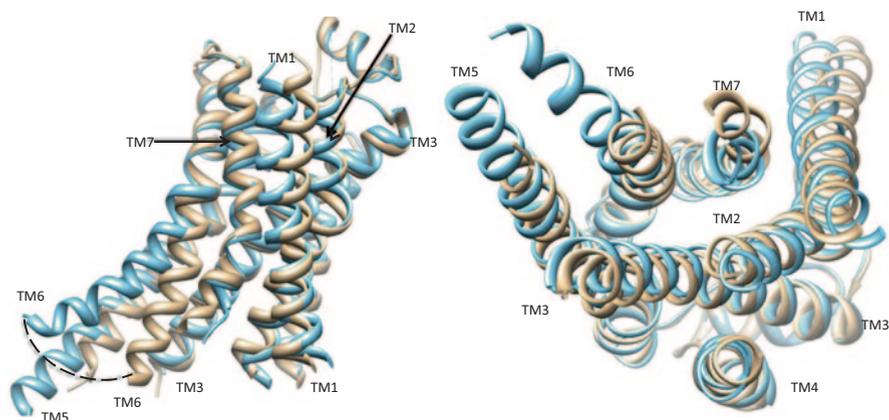


Fig. 3.3 Left panel: lateral view of the β_2 adrenergic receptor (*light blue* is the activated conformation and *light brown* is the inactive conformation). The bottom of the panel corresponds to the cytoplasmic region. Right panel: view of the cytoplasmic region of the receptor. Cytoplasmic loops have been removed for clarity. TMH6 displays a 14 Å outward movement in the activated state. TMH5 shows a smaller outward movement and a 7-residue extension of the helix

given state depends on the free energy of this state. Free energy barriers control the kinetics of the transition between states. Without ligand, the inactive state has the lowest free energy (the lower the free energy, the more stable the conformational state and therefore the more populated the state at a given temperature). When an agonist binds to the receptor, the intermediate state becomes the one with the lowest free energy (this does not modify the free energy of the inactive and activated states). Energy barriers between the inactive and the intermediate states and also between the intermediate and the activated states are reduced. The drop of the latter barrier height allows the system to occasionally explore the activated state even though, in absence of the G protein, it will not remain for a long time in this state. The G protein locks the system in place by markedly decreasing the free energy of the activated state which becomes the lowest free energy state.

The analysis of complexes of β_2 adrenergic receptor with different types of ligands (inverse agonist, neutral antagonist, partial and full agonists and the β -arrestin biased agonists' carvedilol and isoetharine) by ^{19}F NMR reveals that the cytoplasmic ends of TMH6 and TMH7 adopt two different conformational states. One is characteristic of the coupling of the receptor with the G protein and occurs when the receptor binds "regular" agonists. The second takes place when the receptor binds β -arrestin biased agonists and involves more specifically the cytosolic tip of TMH7 [39]. Therefore, there exist several activated states that allow the receptor to couple to different effectors leading to functional selectivity or biased signaling. Different ligands are able to switch the equilibrium toward one state or the other, selecting different signaling pathways [40].

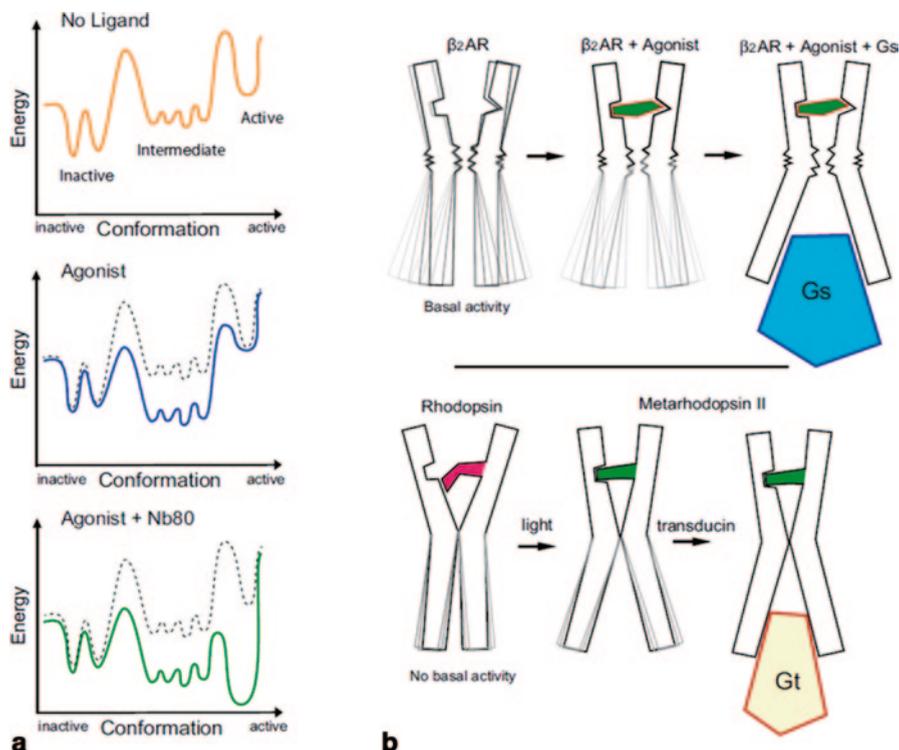


Fig. 3.4 **a** Schematic representation of the GPCR free energy hypersurface (see text). **b** Different stabilization of the GPCR 3D structure by agonists for the β_2 adrenergic receptor and the rhodopsin receptor. This figure has been reprinted from [37], with permission

3.4 Olfactory Receptors Molecular Modeling and Virtual Screening

According to Kontoyianni and Liu, only 46 of the about 800 GPCRs found in the human genome have been assayed with small molecules [41]. Indeed, a vast majority of GPCRs has not been experimentally characterized, in particular ORs whose genes have been, mostly, discovered *in silico* by analyses of sequenced genomes [42], although Buck and Axel [7] had previously uncovered the existence of a novel multigene family encoding odorant receptors. Most ORs are thus orphan, meaning that their ligands remain unknown. Therefore, one way of obtaining clues about these odorant ligands is to use the knowledge acquired from 3D GPCRs structure solved employing rational computer-aided techniques such as molecular modeling and virtual screening [43]. Molecular modeling is based on the properties of homologous proteins; hence this technique is also known as “homology modeling”. Two proteins are homologous if they descend from a common ancestor and, as such, they often have conserved similar sequences, 3D structures and functions.

The homology modeling procedure for a query protein consists of four steps: (i) identification of at least one homologous protein for which the 3D structure is available (the template), (ii) alignment of the query sequence to the template sequence, (iii) construction of the model from the template structure, guided by the sequence alignment and (iv) assessment and refinement of the model [44]. Different software exist that allow the use of the known 3D structure of a protein to build a model of the 3D structure of a homologous protein, e.g., MODELER [45] to name but one. The accuracy of the resulting model strongly depends on the second step that is, in turn, conditioned by the evolutionary distance between the homologous proteins [46]. This evolutionary distance can be approximately estimated by the percentage of sequence identity (%seqID) between the query and template sequences. Structure similarity decreases in a non-linear fashion with decreasing %seqIDs. Homologous proteins with %seqIDs above 50% have very similar 3D structures whereas only the “core” of the homologous proteins, i.e., a set of structural features (usually secondary structure elements), is conserved in all members of the protein family, below a %seqID of 25%. In this so-called “twilight zone”, homologous protein sequences harbor many insertions/deletions that make their alignment unreliable with adverse consequences on the model accuracy; molecular modeling techniques cannot recover from an alignment error, resulting in a model that is irremediably wrong. For instance, a shift of two residues in the alignment of amino acids belonging to TMHs will locate those that ought to be in the lumen of the binding pocket and thus potentially in a position to interact with the ligand, in the opposite side of the helix, facing the membrane lipids.

An additional challenge arises, due to the modeling of loops, which are the most variable regions of proteins. Some loops are important for the function of GPCRs, for instance ECL2 is known to interact with ligands. For homologous proteins close to the twilight zone, their structural conformations are usually not conserved, as it is illustrated by the known 3D structures of GPCRs. Therefore, one cannot use homology modeling techniques for loops and must resort to knowledge-based or *de novo* modeling. In the first approach, one screens protein structural databases for loops having the same length as the loop to be modeled and a suitable distance between their N and C-terminus to allow for an easy connection of both ends of the modeled loop on the model backbone. This works well for loops up to 8 residues; on average 1.35 Å RMSD for 8-residues loops [47]. In the second approach, the model is described by a detailed physical energy function and the conformational space of the loop to be modeled is sampled, as exhaustively as possible, with algorithms such as Monte Carlo or Molecular Dynamics simulations. These techniques allow modelers to reproduce the conformation of loops up to 12 residues with an RMSD of 2 Å, on average. Accurately modeling longer loops remains a difficult task [48]. This is clearly a problem for ECL2 that can be up to 30-residue long in some GPCRs; this is unfortunately the case for ORs.

Once a model is obtained, it can be used as a platform for computer-aided ligand discovery. This is done by virtual screening techniques, which is commonly used by pharmaceutical companies worldwide to screen millions of chemical compounds *in silico*, in order to rank and select a limited number of compounds for further experimental tests.

There are two common approaches used for virtual screening: ligand-based and structure-based techniques. The former can be employed even though no 3D structure of the receptor is available but requires the knowledge of a set of ligands of a given type (agonists, antagonists, inverse agonists). The underlying concept is that compounds with similar “pharmacophoric” features will display similar biological responses (this corresponds to methodologies called quantitative structure-activity relationship (QSAR) and 3D-QSAR [49]). This approach cannot be used for most ORs because their ligands are unknown, however see Sanz et al. 2008 [8] for an example of use of 3D-QSAR for odorants: ligands of a human OR.

The second approach, structure-based virtual screening, seeks to model the binding of the ligands (also referred to as docking) to the experimentally solved GPCR 3D structure (or a homology model, if the latter is not available, as for ORs). Unlike the previous approach, it has the great advantage of enabling the user to grasp the molecular basis of the ligand receptor interaction and, thus, rationalizes how different compounds might activate (or inhibit) the receptor biological activity. However, ligand docking requires a “scoring” function to correctly rank the binding poses [50], that should ideally reach a global minimum (or maximum) value for the observed conformation of the ligand in the receptor. Physically, this function is the ligand free energy of binding to the receptor (as described in the previous section). Three categories of functions are used to perform ligand docking. One can use physics-based functions in order to compute the free energy of ligand binding *in silico*, incorporating many terms such as: van der Waals, electrostatic, hydrogen bond, solvation, etc. This amounts to estimating, directly or indirectly, the entropy of the system which is always a difficult endeavor. In addition, physics-based functions are quite sensitive to small inaccuracies in the 3D structure of the receptor. They thus require the receptor conformation to be flexible. The second category corresponds to empirical functions that seek to obtain a coarse estimate of the binding free energy. This pseudo free energy is represented by the sum of a weighted set of features of interest (accessible surface, hydrogen bond, etc.) that are fitted to experimental data. Finally, the last category consists of knowledge-based functions whose parameters are obtained by computing distances between relevant ligand and receptor sites (e.g., chemical functions) in known complexes. The last two categories of functions are better able to put up with a rigid conformation of the receptor and are thus much faster to use for screening millions of compounds against a receptor.

As mentioned above, scoring functions are sensitive to inaccuracies in the receptor 3D structure. Of course, this problem is amplified when a model, instead of the real structure, is used. Beuming and Sherman have recently assessed the accuracy of ligand docking on homology models [46]. For this purpose, they built models of the 3D structure of the known ligand-receptor complexes (Table 3.1) using the 3D structure of receptors in other complexes. Not surprisingly, they observed a clear correlation between the rate of docking success and the sequence similarity of the target and template proteins. They proposed guidelines about what sort of docking accuracy users can expect under different sequence similarity regimes.

In any cases, to better the odds of success, it is absolutely essential to consider all the available pieces of experimental information regarding the system [43]. The search for ligands binding to a given receptor must consist in going back and forth between *in silico* analyses and experiments. In the latter, site-directed mutagenesis of residues predicted by the computer model to have a potential influence on the ligand binding are performed. The real effects of these mutations on the receptor biological activity is monitored through functional assays, e.g., calcium imaging, electrophysiology or surface plasmon resonance [51]. Sometimes, complementary alterations of the receptor and the ligands can even be considered [52]. If required, the results of the experiments are injected back in the docking simulation to correct for erroneous features. The whole process is iterated until, hopefully, ligands with the desired properties are discovered.

Since we now have structures of receptors both in the inactive and activated states, it has been proposed that agonists should preferentially be docked in the activated state of the receptor, given that the G protein enhances their binding affinity through an allosteric mechanism [53]. Conversely, antagonists and inverse agonists ought to be docked in the inactive state of the receptor.

Since 1994, a number of OR homology modeling and odorant virtual screening simulations have been carried out in an effort to decipher the largely uncharted “odor space” of these receptors (see Table 4 in [54]).

No doubt that, with the present bloom of new X-ray and NMR GPCR structures, exploration of OR structures will very rapidly expand. To help in this undertaking, we recently developed an automatic pipeline called GPCRautomodel that allows users to perform homology modeling and ligand docking of ORs [55]. We update the web site (<http://genome.jouy.inra.fr/GPCRautomodel>) to incorporate all the recently published GPCR 3D structures.

3.5 Olfactory Receptor Activity Regulation: Homodimerization, Binding Cooperativity and Allostery

Clues relative to the functional response of ORs expressed in heterologous systems, displaying a bell-shaped dose-response curve when stimulated with increasing concentrations of odorants [56, 57], in apparent contradiction with the sigmoid curves observed in natural tissues for the OR/ligand interaction [58, 59], resulted in a model of OR activation involving allosteric modulation of OR activity by odorant binding proteins (OBPs) [60] and binding cooperativity within an OR homodimer [61] (Fig. 3.5).

Indeed, it was demonstrated that OBPs can bind ORs [62] and they restore OR activity at high odorant doses [60], probably by exerting an allosteric control of OR activity within an OR dimer. ORs were shown to exist as constitutive homodimers by bioluminescence resonance energy transfer (BRET) and to display different con-

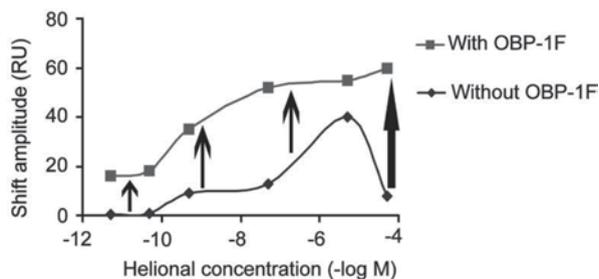


Fig. 3.5 Effect of OBP-1F on helional detection by OR17-40 assayed by Surface Plasmon Resonance (redrawn from [60]). Each curve is plotted as the difference in response to helional relative to controls obtained by replacing the odorant with water. The SPR shift amplitude is shown as a function of the helional concentration, without or with OBP-1F. The OBP restores OR activity at high odorant dose, from a bell-shaped to a sigmoid curve

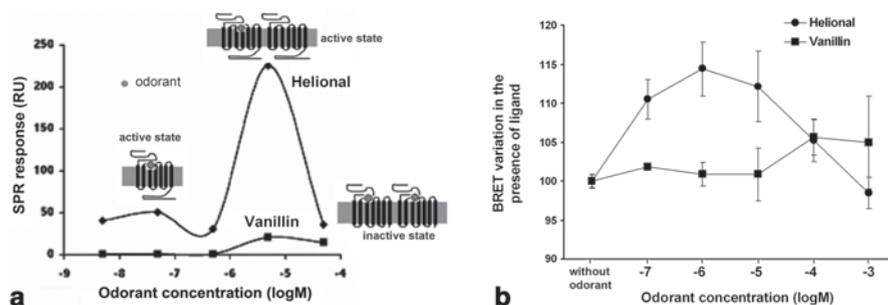


Fig. 3.6 **a** Surface Plasmon Resonance (SPR) response (RU: relative units) obtained from the stimulation of the OR17-40 receptor with helional (agonist) or vanillin (negative control odorant) at different concentrations. A schematic representation of the proposed molecular mechanism for odorant interaction with the OR is shown. At low and moderate odorant doses, the receptor binds only one odorant molecule and is active, while at high odorant dose it binds two odorant molecules and is in an inactive state (redrawn from [61]). **b** Bioluminescence Resonance Energy Transfer (BRET) level variation upon OR17-40 stimulation with various helional or vanillin concentrations. BRET levels are expressed relative to that measured in the absence of odorant. OR17-40 receptor dimers conformational changes induced upon stimulation with various odorant doses elicit an evolution in the BRET level that correlates with the different levels of activity shown in panel A

formational changes upon stimulation with various odorant doses, corresponding to different levels of activity [61] (Fig. 3.6): (i) low and moderate odorant doses (below the micromolar) induce an increase of the initial BRET level, showing a conformational change of the OR dimers which are activated at these odorant doses, (ii) whereas higher odorant doses induce a lesser increase or no increase at all of the initial BRET level, indicating another conformation of the OR dimers, which corresponds to a decreased activity. It was thus assumed that at low and moderate odorant doses, only one odorant molecule could bind to the OR dimer on one protomer, this binding inducing a conformational change of the second protomer and a

reduced affinity for the odorant. At high ligand doses, and in absence of OBPs, the OR dimer could then bind two odorant molecules, one on each protomer, and this would inactivate the receptors. Yet, in presence of OBPs and at high odorant doses, OBPs binding to the OR dimer at an allosteric site would prevent the binding of a second odorant molecule and would thus preserve OR activity. Such “multi-state” models have already been reported for other GPCRs [63], in which the activity depends on the occupation rate of the various sites on the dimers.

This negative modulation of OR activity by odorants themselves is essential to take into account when searching to identify OR odorant ligands or to detect odorants using biosensors carrying ORs.

3.6 Use of Olfactory Receptor Protein in Bioelectronic Noses

The animal olfactory system, with its ability to identify and discriminate thousands of odorant compounds with very low thresholds (10^{-11} – 10^{-17} M for some odorants in humans or in dogs, [64, 65]), is worth mimicking to engineer bioelectronic noses. Using ORs as basic sensing elements instead of chemical sensors in electronic noses allows researchers to benefit from the naturally optimized molecular recognition of odorants to develop these new devices. ORs first have to be expressed in heterologous systems, which is not yet an easy task (see the next chapters for the various expression systems considered). Then, several procedures can be used depending on the method considered for measuring their functional response, in order to improve the technical performances of the devices.

ORs can be purified in the presence of surfactants, or prepared as membrane fractions, micelles, nanodisks, nanoliposomes or nanovesicles, then specifically grafted on functionalized surfaces, including gold electrodes or carbon or polymer nanotubes. Their functional response can then be monitored by physical or biophysical measurements, at submillimetric to micrometric scales. Detection limits and odorants discrimination can in some cases reach the femtomolar (fM), an intrinsic property of the ORs themselves.

Olfactory receptors carrying a His tag can be produced in a baculovirus/insect cells system [66] or in *Escherichia coli* [67], and affinity-purified using nickel-magnetic beads. The response of these ORs prepared either as digitonin micelles, or as soluble nanodisks, and deposited on nickel-nitrilotriacetic acid (Ni-NTA) carbon nanotubes (CNTs) can be followed by a change of conductivity measured by the CNT transistor [66]. Alternately, purified ORs are deposited on conducting polymers nanotubes (CPNTs) [68], or on single-wall functionalized carbon nanotubes [67]. With CPNTs deposited on interdigitated microelectrodes, the resulting resistance varies linearly between the detection limit of 0,02 ppt and 2 ppm.

Starting from HEK293 cells, nanovesicles with a diameter of 100–200 nm carrying ORs can be prepared using cytochalasin [69, 70], and directly attached to carbon nanotubes (swCNT). In this case, exposition of the nanovesicles to the

odorant ligand of the receptor activates the signaling cascade, resulting in an increase of the intracellular calcium concentration inside the nanovesicles, thus producing a field effect on the transistor carrying the swCNT, which induces a change in its conductance. Depending on the OR-ligand couple, a specific detection of the odorant present can be achieved, with sensitivity down to the femtomolar.

Human breast cancer MCF-7 cells can also be used for expression of an OR, their membrane fraction containing the receptor extracted, and integrated with a surface acoustic wave (SAW)-based biosensor, resulting in a highly sensitive device (10^{-13} M) [71].

ORs are also prepared from *Saccharomyces cerevisiae*, and Surface Plasmon Resonance is performed on *nanoliposomes* prepared from their membrane fraction for quantitative evaluation of OR response to odorant stimulation. ORs retain full activity and discrimination power in immobilized nanosomes, thus allowing their use in the fabrication of the nanobiosensors. Nanosomes are specifically immobilized on nanoelectrodes of compatible size, using mixed Self Assembled Monolayers and an antibody specifically targeting the ORs. Electrochemical Impedancemetric Spectroscopy is used to detect OR conformational changes induced by odorant binding, at concentrations as low as 10^{-10} M [72].

Other biosensors are designed based on BRET2 between a bioluminescent donor (RLuc) and a fluorescent acceptor (GFP), the sequences of which are inserted in that of an OR (C-terminus for RLuc, 3rd intracellular loop for GFP), then expressed at the plasmic membrane of *S. cerevisiae*. Measurements are then performed on yeast membranes, and show a decrease of the BRET2 signal in the presence of the OR odorant ligand, witnessing a conformational change of the receptor upon ligand binding, inducing a re-orientation or a separation of donor and acceptor. The dose-dependence indicates an EC₅₀ in the femtomolar range for specific diacetyl detection by ODR10 [73].

Other biophysical approaches, with bioluminescence measurements in yeasts, or BRET on yeast membrane fractions, are also promising techniques (see Chap. 8: [74, 75]).

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Chapter 4

Odorant-Receptor Interaction

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Abstract Odorant-receptor interactions constitute a key step in the olfactory detection of chemical compounds. Various studies support the combinatorial coding of olfaction, in which each odorant activates an array of odorant receptors and each odorant receptor is capable of recognizing multiple odorants, while large-scale studies involving numerous odorants and odorant receptors help to resolve the tuning specificities of receptor repertoires. In the meantime, the proteinaceous content of the nasal mucus, including odorant binding proteins and different types of xenobiotic-metabolizing enzymes, also contributes to odorant receptor activation by transporting, concentrating, converting, and/or ultimately removing odorants from nasal mucosa. In addition, the presence of metal ions, notably copper ions, is known to be important for the activation of odorant receptors for certain types of metal-coordinating odorants. Finally, prediction algorithms based on odorant properties and receptor structures are becoming increasingly feasible for investigating detailed mechanisms involved in odorant-receptor interactions.

4.1 Introduction

The binding of an odorant to an odorant receptor (OR) is one of the crucial steps from odorant inhalation to the eventual odor perception. Odorants are small, volatile molecules of variable sizes, charges, and functional groups. The presence of tens of thousands of odorant molecules in nature mandates that mammals be capable

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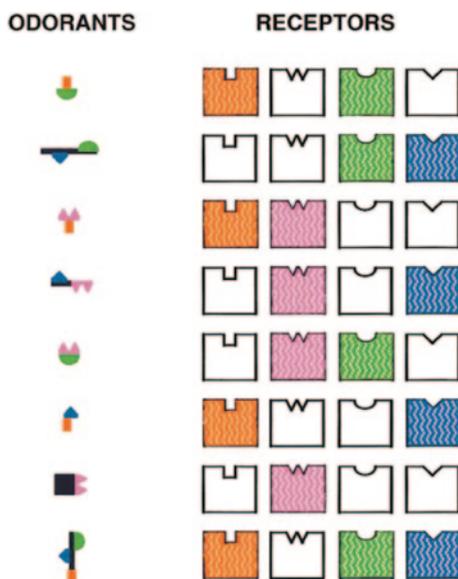
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Fig. 4.1 A schematic showing the concept of combinatorial coding of olfaction. Different odorants have varied structural features that allow them to be recognized by a different combination of ORs while each OR can bind to one or more odorants. (Adapted with permission from [5]. Copyright 1999, *Cell*)



of perceiving and discriminating a large number of odorants of diverse structures with a large, yet limited number of OR genes. It is known that the human OR repertoire is composed of more than 300 intact OR genes and around 1,000 of these are found in the mouse [1–3]. Since the number of perceived odors exceeds the number of ORs by far, it has been proposed that the mammalian olfactory system uses a combinatorial receptor coding scheme to encode odor identity and discriminate odors [4, 5]. In this scheme, each OR uses its unique binding pocket(s) to accommodate odorants based on their structural features, allowing each OR to recognize multiple odorants, while a particular odorant may contain a number of structural features so that one odorant can be recognized by more than one OR (Fig. 4.1).

4.2 Combinatorial Coding of Olfaction

Since the initial cloning of the OR genes in 1991, scientists have developed effective methodologies aimed at analyzing the exact mode of odor coding by the OR repertoire through functional characterization of the ORs. A few pioneering studies explored two important aspects of the combinatorial coding theory. First, a given odorant may activate a certain set of ORs, resulting in its distinctive odor. Malnic et al. [5] used functional cloning from single olfactory sensory neurons (OSN) responding to given odorants. This method allowed for the identification of receptors for cognate ligands by coupling calcium imaging of OSNs and single-cell RT-PCR. Upon analyzing a small group of structurally-related aliphatic odorants, the authors demonstrated for the first time that different odorants, and sometimes different con-

centrations of the same odorant, may be encoded by unique combinations of ORs, resulting in different glomeruli activation patterns in the olfactory bulb (OB) and ultimately leading to different perceived odor identities. In a later study, the effectiveness of this homologous system was complemented by the expression of the cloned receptor in a heterologous system, in which an N-terminal rhodopsin tag was used to promote the cell-surface expression of ORs [6]. It was found that two structurally-related receptors were activated by overlapping sets of odorants, reinforcing the idea of combinatorial coding at the odorant level, and that activation of a combination of ORs comprises the perception of an odorant.

A second aspect of the combinatorial coding scheme is that a given receptor may respond to a group of odorants, thereby defining an OR's molecular receptive range. Using a similar calcium imaging system on isolated OSNs, Araneda et al. [7] found that the rat OR I7 was highly specific for an aldehyde group but also tolerated the structural features of other cognate odorant molecules. Here, the use of a sizeable odorant library helped to characterize the tuning of an OR. In a later study by the same group, they found that different OSNs/ORs exhibited different tuning specificities and also that octanal may activate at least tens of different OSNs [8]. The mouse OR, OREG, the human OR, OR1D2, and others were among the first ORs characterized to exhibit broad and yet selective molecular receptive ranges [9–13].

4.3 Odorant Receptor Tuning

Given the vast number of ORs and odorants, the execution of combinatorial coding and the extent of diverse OR tuning could be much more complicated. While minute differences in the chemical features of a ligand may affect the ORs it can activate, different receptors may exhibit different breadth of tuning, some acting as “generalist” receptors and others “specialists”. In order to thoroughly investigate these dynamic features of the olfactory system, large-scale analyses involving a lot more receptors and odorants were necessary for investigating the identity-encoding of odorants. For example, using a HEK293T-based heterologous OR expression system, in which OR trafficking is greatly enhanced by the receptor-transporting protein (RTPs) family members and OR activation is coupled to a cAMP-based luciferase assay readout [14–16], Saito et al. [17] performed high-throughput screening of 93 odorants against 464 ORs and identified agonists for 52 mouse and 10 human ORs, representing a total of 340 odorant-receptor interactions. Consistent with findings from previous studies using OSNs, the 62 ORs included both broadly-tuned and narrowly-tuned ORs. Among the 52 mouse ORs, 11 were tuned to a single odorant while two were tuned to more than 20 odorants. For the 10 human ORs, two of them were tuned to a single odorant and two broadly-tuned ORs responded to 37 and 20 odorants, respectively (Fig. 4.2).

In another study using isolated OSNs, Nara et al. [18] performed an analysis of the responses to a large group of 125 diverse odorants by 3,000 OSNs and were able to define several important features of the mouse olfactory system. While both Saito

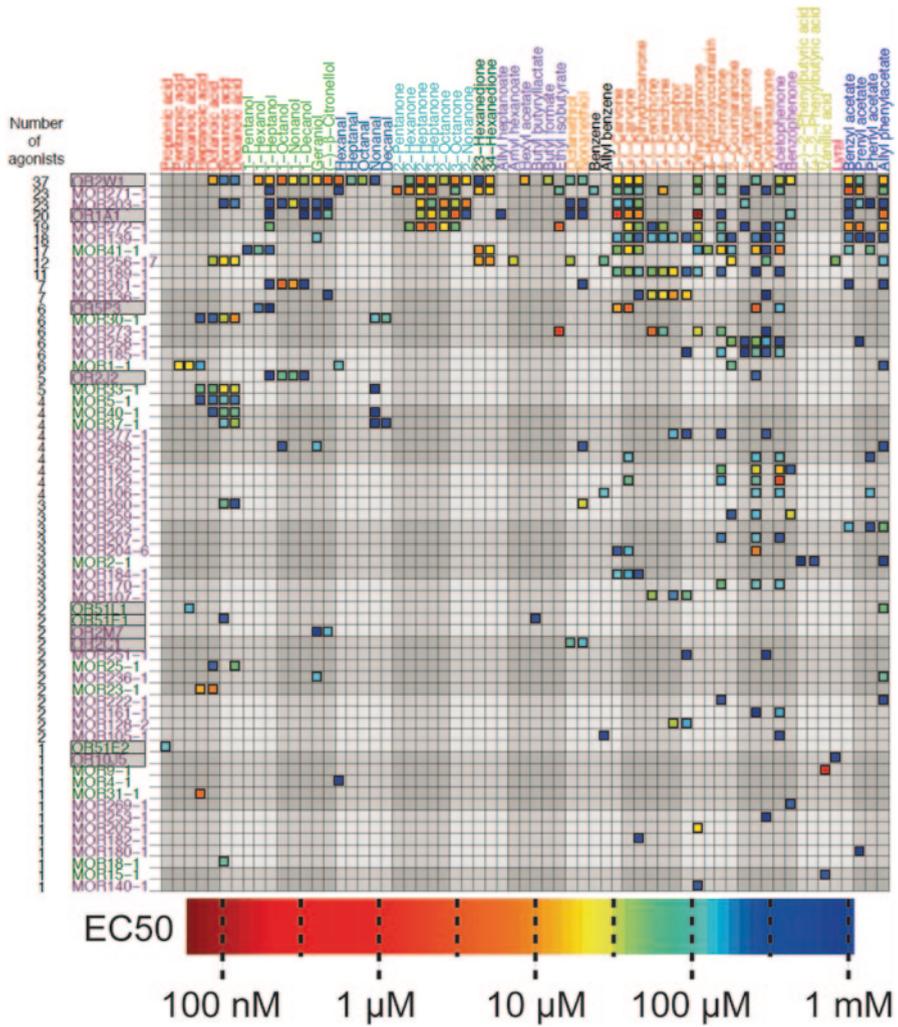


Fig. 4.2 A matrix with 340 odorant-receptor pairs, involving a total of 62 human and mouse ORs and 63 odorants. Odorants on the *x*-axis are ordered and color-coded by functional groups and ORs on the *y*-axis by number of agonists. The heat map color scale represents EC₅₀ values of the different odorant-receptor interactions. Class I ORs are shown in *green* and class II ORs in *purple*. Human ORs are shown on a *gray* background. (Modified with permission from [17]. Copyright 2009, *Science Signaling*)

et al. and Nara et al. found broadly- and narrowly-tuned ORs, they found that a larger proportion of mouse OSNs were narrowly tuned with only a very small proportion being broadly tuned. Of the 97 OSNs that responded to only one odorant mixture, 43 responded to only one odorant. There is one case in which one OSN corresponding to Olfr42 was activated by 12 single odorants. While the apparent differences in olfactory response profiles between the two studies may indicate that odor tuning of

heterologously-expressed ORs and OSNs expressing the corresponding ORs are not exactly the same, the discrepancy can also be explained by other reasons including the difference in the size of the odorant library, odor concentrations, and perhaps a biased expression of certain ORs in mouse OSNs.

4.4 Role of Mucus in Odorant Recognition

The functional studies done in heterologous system or isolated OSNs represent efficient and replicable means of identifying odorant-receptor interactions; however, there are concerns that the functional profiles generated in these systems may not precisely reflect *in vivo* odorant perception. Alternatively, *in vivo* methodologies based upon glomeruli activity in the OB may circumvent the uncertainties and help to establish a combinatorial code that more accurately reflects sensory activities under physiological conditions. By combining calcium imaging, retrograde dye labeling, and single cell RT-PCR, Oka et al. successfully isolated the most sensitive ORs for eugenol, methyl isoeugenol, and isovaleric acid using glomeruli activation pattern in dorsal OB [19]. Notably, in some cases, OR sensitivity and ligand specificity obtained from olfactory bulbar activation patterns are found to be different from that in isolated OSNs or cell-based heterologous expression systems [19]. One possibility is that events prior to receptor-ligand interaction may influence which and how ORs are activated. Indeed, when nasal mucus was eliminated from the nasal cavity, the specificity of glomeruli *in vivo* became more repeatable and more similar to OR activity *in vitro* [19]. It is known that ORs are expressed on the cilia on the dendritic end of OSNs and the cilia on this epithelial surface are immersed in nasal mucus, so odorants from external environment must cross the mucosal perireceptor space to interact with ORs [20]. Proteomic analyses revealed that the nasal mucus contains odorant-binding proteins and various metabolic enzymes [21, 22]. The nasal mucus may, therefore, play an important role in odorant recognition by influencing the ways ORs interact with odorants.

Odorant-binding proteins Odorant-binding proteins (OBPs) were first discovered in the nasal olfactory mucosa of bovines as a soluble protein capable of binding the odorant 2-isobutyl-3-methoxypyrazine [23]. OBPs belong to the lipocalin family, which are extracellular transport proteins for small hydrophobic molecules in aqueous solutions [24]. OBPs are present at high levels in nasal mucus (100 μM –1 mM) [25] and can reversibly bind odorants with dissociation constants in the micromolar range and thus effectively solubilizing volatile odorants, facilitating their diffusion through the mucus barrier towards ORs [26]. Though it has been speculated that OBPs may be responsible for carrying and concentrating odorants for subsequent presentation to ORs, their exact role in olfaction remains to be explored. Some studies have demonstrated that OBPs have a broad binding spectrum toward hydrophobic molecules [27–29] since there are numerous hydrophobic molecules but only limited numbers of OBP genes found in mammals (four each in human [30] and mouse [31]). Moreover, it is unclear whether OBPs could act as co-activators

for ORs. While it was shown that a porcine OBP exhibited high-affinity binding to the human OR17-210 [32], there is no evidence showing the release of odorants from OBPs. Furthermore, to maintain the function of the olfactory epithelium, it is not only necessary to dispense the hydrophobic compounds, but also to eliminate these signaling molecules. A research group showed that the OBP-odorant complexes undergo a rapid internalization in the sustentacular cells containing different enzymes to inactivate the odorants [33], pointing to a possible role of OBPs in the clearance of odorants.

Enzymes in nasal mucus Xenobiotic-metabolizing enzymes found at high levels in the nasal mucosa are thought to play a role in protecting the olfactory epithelium, the lungs, and the brain against toxicity from foreign substances inhaled [34–36]. The clearance of xenobiotics involves biotransformation processes mediated by enzymes, such as cytochrome P450 monooxygenases, aldehyde dehydrogenases, aldehyde oxidases, aldehyde reductases, carbonic anhydrases, carboxyl esterases, and various transferases and transporters [37–42], and is carried out in distinct phases. Expectedly, volatile odorants undergo similar biotransformations as other xenobiotics when they cross the nasal mucus. Prior evidence from insects showed that enzymes such as carboxyl esterases or aldehyde oxidases can metabolize odorant molecules and pheromones [43–47]. In mammals, several mouse and human cytochrome P450s were shown to be responsible for the metabolism of the odorant coumarin and at least 6 coumarin metabolites were identified by GC-MS [48]. Nagashima and Touhara showed for the first time, at both pharmacological and behavioral levels, that odorants with functional groups such as aldehydes and esters are targets of metabolic enzymes secreted into the mouse nasal mucus, resulting in their oxidative and hydrolytic conversions to the corresponding acids and alcohols, respectively [49]. Notably, the ultimate perception is of a mixture of the original odorant and its metabolic derivatives, rather than of a single species of odorant molecule [49]. Similarly, a recent study also showed that quinoline and coumarin were both oxidized by cytochrome P450 whereas isoamyl acetate was hydrolyzed by carboxyl esterases in rat olfactory mucosa [50]. Furthermore, Lazard et al. found that odorants are substrates of the olfactory UDP glucuronosyl transferase, which alters odorants' chemical properties in a later phase of xenobiotic clearance and may be responsible for terminating odorant signals [51]. Therefore, in addition to investigating OR pharmacology, growing evidence points to the importance of examining the role of the proteinaceous content of the nasal mucus in determining the exact manner ORs are activated, that is, through effective transportation, concentration, and clearance of odorants.

Metal ions and olfaction The role of metal ions in G protein-coupled receptors activation has long been speculated. For example, certain divalent cations could modulate ligand-binding of some opioid receptor subtypes [52], increase the affinity of a ligand to CXCR4 chemokine receptor [53], and bind and activate the melanocortin MC1 and MC4 receptors [54]. As early as in the 1960s, scientists have proposed that transition metals, such as copper and zinc, may mediate taste or odor perception of metal-coordinating molecules [55–57]. In 2003, Wang et al.

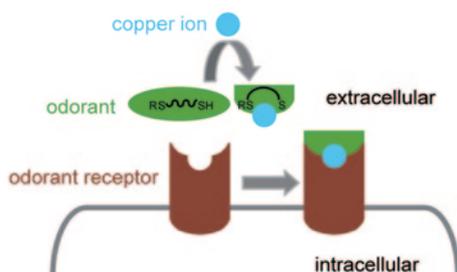


Fig. 4.3 A model for copper ion involvement in odorant-receptor interaction. For copper-coordinating odorants, such as the one shown with a thiol and a thioether group, the copper ion binds to the ligand, resulting in a conformation change in the ligand, followed by binding of the copper ion-ligand complex to the OR. (Adapted with permission from [59]. Copyright 2012, *PNAS*)

[58] revisited the subject and proposed that ORs may function as metalloproteins. They found that the synthetic pentapeptide HACKE, corresponding to a conserved sequence in the extracellular loop of human ORs, has high affinity for copper and zinc ions and therefore may form the basis for sensitive detection of certain odorant molecules with intense smells, including thiols, amines, and carboxylic acids [58].

A recent study from our group showed that copper ion plays a key role in the activation of MOR244–3 [59]. Using the HEK293T cell-based OR expression system, we found that copper ion specifically enhanced the mouse OR's response to a strong-smelling semiochemical, named (methylthio)methanethiol [60], and structurally-related sulfur-containing compounds, as well as a few unrelated metal-coordinating compounds. The results were replicated using electrophysiological recordings on mouse septal organ neurons, where MOR244-3 is abundantly expressed [61]. Given the above observations, it is probable that under *in vivo* conditions, copper may be present in the mucus to exert its function. Indeed, it was found that the total copper concentration of mouse nasal mucus is around 40 μM , similar to that used in the *in vitro* study [59]. Based on these findings, we proposed a model for OR activation involving copper ion as a cofactor with the copper ion binding to the ligand for subsequent binding of the metal-odorant complex to the OR (Fig. 4.3). In contrast, in a separate study, Viswaprakash et al. [62] demonstrated that Zn^{2+} ion *decreased* the odorant response in rat olfactory epithelium while a small amount of zinc nanoparticles *strongly increased* the odorant response in a dose-dependent manner. Further work needs to be carried out to explain the differential effects between the neutral (nanoparticle) and ionic forms of zinc. Though both studies mentioned above showed that metal ions could modulate the activation of ORs, important aspects of the metal involvement remain unresolved, including OR active site identity, the binding mode of metal ions (and neutral metal atoms) alongside metal-coordinating ligands, unifying structural features defining metal-requiring ORs and ligands, if any, and finally, an assessment of the prevalence of the metal ion requirement in the mammalian OR repertoire. Answers to these questions should facilitate the elucidation of the exact role of metal ions in OR activation.

4.5 Predicting Odorant-Receptor Interactions

Odorant-based predictions Given the enormous numbers of odorous compounds and the expansiveness of the mammalian OR repertoires, to be able to infer odorant-receptor interactions based on known ones represent a crucial step in identifying additional odorant-receptor pairs and for elucidating the mechanism of activation of ORs. Previously, the number of carbon atoms and the presence of functional groups have often been considered as determining factors associated with olfactory responses; however, later studies found that there is no simple correlation among an odorant's properties, the ORs it activates, and its perceived odor [63, 64]. Various studies have since attempted to use physicochemical properties of odorants in a quantitative way to predict receptor activation [65–67]. As odorants are chemical molecules that can vary in myriad ways in multidimensional space, are there certain properties that are more important than others in predicting olfactory responses? A meta analysis based on nine olfactory response datasets measured in different organisms and using different techniques revealed an optimized set of 32 physicochemical descriptors, or mathematical values that describe a molecule, among a complete set of 1,664 descriptors, that explained 48% of the variance in neural responses [65]. Using a more uniform data set containing only human and mouse OR responses measured in a heterologous OR expression system, Saito et al. found a smaller set of 18 of the 1,664 descriptors that could explain at least 62% of the variance [17]. It is thus evident from both studies that a relatively small set of physicochemical properties can be used to provide the link between chemical structures and receptor activation.

Receptor-based predictions Identifying odorant binding sites is another way to interrogate odorant-receptor interaction on the molecular level. Multiple alignments of the whole human and mouse OR repertoires revealed both conserved and variable regions in the seven-transmembrane receptor family [68, 69]. Like other GPCRs, ORs contain consensus sequences and motifs that are important for signaling via G-proteins and structural integrity. Variable amino acid residues, on the other hand, are found mostly in the transmembrane domains and contribute to ORs' selectivity in the binding to a plethora of odorant molecules. Different systematic approaches were taken to identify amino acids involved in odorant recognition in the OR repertoire. For example, Man et al. [70] used the reasoning that amino acid involved in binding must be more conserved in mouse-human orthologous pairs than paralogous pairs, and based on this assumption, they identified 22 amino acid positions that may line the OR binding pocket. Saito et al. [17] utilized the functional profile of 52 mouse ORs and 10 human ORs and found a different set of 16 amino acid sites with descriptors of volume, polarity, and composition that could explain approximately 50% of the variance in their data set.

In the recent decades, with the availability of high-resolution and sometimes agonist-bound crystal structures of other GPCR family members, OR homology modeling became an increasingly feasible option in investigating odorant binding

mechanisms for specific receptors of interest and predicting their binding specificities. The rodent I7 receptor is one of the well-studied receptors in computational studies. Using the 7.5 Å structure of bacteriorhodopsin as a template for homology modeling, Singer were able to characterize two residues, K164 and D204, that interacted with octanal and other hydrophobic residues that came into van der Waals interaction with the hydrocarbon moiety of octanal [71]. Using paralleled mouse and rat I7 homology structures, another group identified Cys117 and Ser208 as additional residues that line the binding pocket [72]. Ten years from the original Singer study, Kurland et al. refined the rat I7 homology model using the 2.2 Å structure of bovine rhodopsin [73]. They were able to confirm the hydrophobic interactions and the strong hydrogen bonding with K164, consistent with the models in the other two studies. They also suggested a new role of D204 as a counter-ion stabilizing the interaction at K164. In summary, while all three studies found good agreement between computational results and experimental data available at the time of the study, better resolution of the template and dynamic modeling strategies allowed for more microscopic examination of the molecular events at the active site and more precise identification of molecular criteria for receptor activation. The case of I7 proves that homology modeling and docking simulations may help to resolve structure-function relations by coupling ligands to their corresponding receptors.

4.6 Conclusion

Odorant-receptor interaction is only one step amidst a series of events from inhalation through the nose to odor processing in the brain. Understanding the combinatorial code, integrating pre-receptor events, and modeling ORs with binding requirements for specific odorants could all be valuable for resolving the complexity of receptor-ligand interactions and bridging the gap among events from initial contact with odorant to odor detection. Recent advances in the field summarized in this chapter represent significant progress in making sense of, and predicting, OR structure and function. However, the olfactory percept could still be more complicated than a question of “which receptors are activated and to what extent”. For example, phenomena such as OR antagonism are just an example of confounding factors that could add layers of complexity to odorant-receptor relationship. Future studies systematically addressing different relevant aspects may unveil a molecular level understanding of odorant binding to ORs, which might ultimately be useful for the development of biosensors to be used in cosmetic and food industries and in countering bioterrorism and chemical warfare agents.

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Chapter 5

Cell-Based System for Identification of Olfactory Receptors

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Abstract The discovery and characterization of odorant receptors (ORs) beginning in the early 1990s have opened up the ability to study olfaction from a molecular perspective. Hundreds of OR genes that differ between organisms exist, and each gene codes for a G protein coupled receptor (GPCR) that can be activated by a large variety of odorants. Thus, the process of deorphaning, or identifying the cognate ligand(s) for each receptor, is critical for understanding how smells are perceived. This chapter reviews the usage of heterologous systems and associated accessory proteins for expressing ORs in vitro, notably the luciferase assay system for high-throughput OR screening. This in vitro method of characterizing ORs is also compared to ex vivo preparations, with a discussion of advantages and drawbacks of each supported by experimental evidence.

5.1 Introduction

The modern age of olfaction research began in 1991 with the discovery of a family of genes encoding odorant receptors (ORs), which are localized in the cilia of olfactory sensory neurons (OSNs) found in the olfactory epithelium [1]. These OSNs adhere to the “one gene-one neuron” paradigm in that only one type of OR is expressed in each OSN [2–4]. Mammals have up to 1,500 OR genes, and within this class, mice have about 1,200 OR-encoding genes while humans have about 400 [5–8]. ORs are G protein coupled receptors that consist of seven hydrophobic transmembrane domains. They feature both conserved amino acid sequences that serve as consensus regions that provide receptor family identity as well as unique residues which impart each type of receptor

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with unique binding affinities for a distinct set of odorous ligands. When a ligand binds to a binding pocket consisting of combinations of these variable residues, the alpha subunit of G_{olf} (a G protein specific to the olfactory pathway) dissociates and activates adenylyl cyclase III (ACIII), which converts adenosine triphosphate (ATP) into cyclic adenosine monophosphate (cAMP). This second messenger then binds to and opens cyclic nucleotide-gated sodium and calcium ion channels that depolarize the cell. Axons of OSNs expressing the same OR coalesce into bundles called glomeruli in the olfactory bulb, where they synapse with mitral and tufted cells that transmit information to higher-order brain regions for perceptual processing. Receptors and molecules are thought to interact with each other in a combinatorial fashion, as perception of a single odorant may involve the activation of several different receptors while a single receptor may contribute the perception of a wide range of odorants [9]. Some receptors, such as the mouse SR1, bind to a wide spectrum of these chemical ligands, while others, like the human OR7D4, are narrowly tuned [10–14].

In order to fully elucidate this immensely complex pattern of odorant detection, each receptor must be deorphanized, or paired with its cognate ligands. This process of deorphaning receptors is one of the most fundamental pursuits in olfactory research and requires an assay that is robust and efficient, with the capability to screen hundreds of receptors against thousands of odors. One of the most effective ways to perform such extensive assays is to utilize cells cultured in multi-well plates that would facilitate high-throughput deorphaning. However, the introduction of ORs in what are termed “heterologous” cells, or non-OSN cells that normally do not express such proteins, means that there are potential roadblocks and differences in OR function when accounting for the cell type that is used. Heterologous expression of ORs alone has traditionally been difficult due to problems with receptor trafficking to the cell surface, while the separate environments that heterologous cells and endogenous OSNs exist in brings into question whether ligands found to activate an OR in a heterologous system will elicit the same result in an OR expressed in an OSN. Thus, one of the debates in OR deorphaning lies in the advantages and disadvantages of using *in vivo* (or OSN-based) versus *in vitro* (or non OSN-based) methods of receptor isolation. Here we review different methods developed for deorphaning ORs.

5.2 OSN-Based Methods for Identifying Odorant Receptor Function

Adenovirus-Mediated Ectopic OR Expression in the OSN Methods that involve the use of OSNs are best at reproducing the unique conditions found within the mammalian nose as an odor reaches its receptor(s). In the natural world, odorants are delivered through the air in a mucous-filled environment, which may contain enzymes that facilitate pre-receptor odorant modifications [15]. The first OR to be functionally expressed was the rat I7 receptor by way of an engineered adenoviral bicistronic expression vector that drives an expression unit for I7 along with a green fluorescent protein (GFP) marker inserted through

an internal ribosomal entry site (IRES). Through subsequent electroolfactogram recordings, it was discovered that rat I7 preferentially responds to octanal and related chemicals [2, 4, 6]. While this method led to the first deorphaned OR, the inefficiency of generating and infecting such adenoviral constructs to OSNs in the olfactory epithelium makes it troublesome to perform high-throughput assays linking receptor with ligand.

Genetic Marker Knock-In Mice Other notable *in vivo* deorphaning methods include utilizing gene knock-in techniques through the generation of a construct consisting of a marker gene such as tau-GFP inserted downstream of the endogenous OR gene by way of IRES [16, 17]. Through this method, OSNs that express a particular OR can be easily viewed through fluorescence imaging and then subjected to calcium imaging or patch-clamp recordings to analyze their response to various odorants. This process of characterizing ORs began with the mouse M71 receptor, which was found to be responsive to acetophenone and benzaldehyde, with steep dose-response relationships between odorant concentration and calcium response [9, 11, 13, 18]. Calcium imaging was also used for later studies such as those examining mOR-EG, which responds to chemicals such as eugenol and vanillin [19]. The first patch-clamp analysis of knock-in mice involved the examination of MOR23 OSNs, which showed a responsiveness to lylal that was spread over a very broad dynamic range spanning as many as three log units of lylal concentration [6, 20]. Further patch-clamp experiments revealed the dose-response profiles of other ORs such as SR1 and I7 [11, 21, 22]. However, this method is limited in terms of efficiency, since one needs to generate gene knock-in mice for each OR of interest. Thus, in order to more efficiently decode the complex levels of interactions between receptor and ligand, higher-throughput *in vitro* methods were devised to better assay the large number of ORs and even greater number of chemicals that bind to these receptors.

Calcium Imaging of OSNs Followed by Single-Cell RT-PCR Single neuron dissociation methods have also been applied to OR deorphaning. Calcium imaging has proven to be particularly useful in measuring OR response to specific ligands. In OSNs, cation influx through cyclic nucleotide-gated calcium channels results in transient increases in intracellular calcium levels upon OR activation. The varying degrees of these calcium fluctuations can be detected using fluorescent calcium indicators like fura-2. Because of the “one-neuron one-receptor” rule in OSNs, follow-up single-cell RT-PCR of the dissociated OSNs indicates the specific OR involved in OSN responses to the odorants screened [7]. Calcium imaging of dissociated OSNs with subsequent single-cell RT-PCR demonstrates the diversity in the responsiveness of ORs to various ligands. For instance, the multiple receptors identified for odorants with similar structure, such as aliphatic compounds, were shown to include ORs that are both highly related and divergent [12]. Broadly tuned receptors that respond to a variety of odorants have also been elucidated. For example, out of thirteen distinct odorant mixtures, Olfr42 responded to ten [12]. In addition, changes in odorant concentration have been shown to change OR response to a particular ligand [9].

5.3 Functional Expression of Odorant Receptors in Heterologous Cells

ORs are notoriously difficult to functionally express in heterologous cells when expressed alone. OR proteins are usually retained in the endoplasmic reticulum and subsequently ubiquitinated and targeted by the proteasome for degradation [17, 23], preventing their localization to the surface of cell membranes where they can be assayed. However, additions to the OR itself as well as the usage of other proteins within the heterologous system can enhance OR expression and response in a combinatorial fashion.

N-Terminal Modifications Various groups have found that N-terminal tag additions to the OR sequence facilitates the expression of some ORs at the membrane surface. One particular tag, which consists of the 20 N-terminal amino acids of rhodopsin (Rho-tag), can be fused to the full-length cDNA of an OR and expressed in HEK-293 (human embryonic kidney) cells. This at least allows a small proportion of the ORs to be localized at the plasma membrane [5, 11, 18–20, 22]. Other groups have also used signal peptides to increase expression of ORs. Recently, it was found that another peptide—a cleavable leucine-rich signal peptide sequence called the Lucy tag—can induce the expression of ORs to the cell surface with even greater success when combined with the Rho-tag than with the Rho-tag alone [24]. However, these N-terminus modifications on their own are insufficient for promoting the expression of many ORs at the membrane surface. Certain accessory proteins, discussed below, have been shown to promote OR surface expression to a greater degree when used in conjunction with N-terminus tags.

Receptor Transporting Proteins (RTPs) and Other Accessory Proteins for Enhancing Functional OR Expression Gprotein coupled receptors such as ORs often require the aid of accessory proteins or chaperones for correct targeting to the cell surface [23, 25]. Receptor transporting proteins (RTPs) were found as chaperones which further aid in the localization of ORs to the cellular membrane [26]. These proteins were first found through conducting a search of genes encoding membrane-associated proteins in the OSNs. Subsequent co-transfection of RTPs with an OR into HEK293T cells enhanced the cell surface expression of ORs. RTPs offered the best results when co-transfected with ORs while receptor expression-enhancing proteins (REEPs), another class of accessory proteins, offered a lesser level of expression. Later experiments showed that a truncated version of RTP1, called RTP1S, displayed greater efficacy in trafficking ORs to the plasma membrane surface [27, 28]. In addition, a putative guanine nucleotide exchange factor for G_{olf} called Ric-8B was shown to promote the expression of ORs in heterologous systems [27]. More recently, the muscarinic receptor M3 has also been demonstrated as an additional accessory protein that facilitates the response of ORs [29]. The practice of combining N-terminal tags with accessory proteins in expressing ORs in vitro represents an increasingly robust method that allows functional expression of most if not all the ORs.

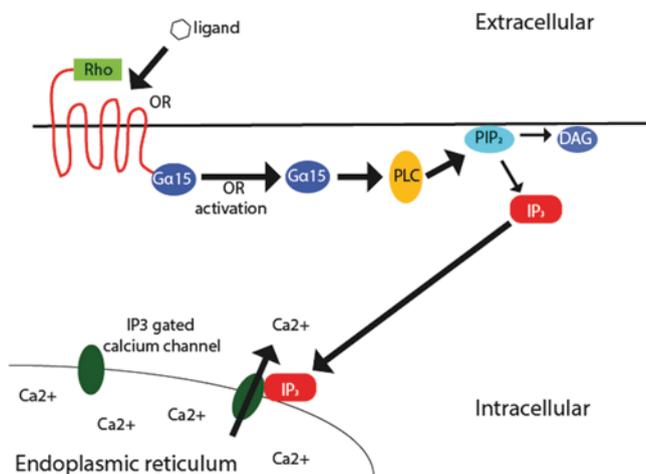


Fig. 5.1 $G_{\alpha 15}$ -mediated calcium imaging. When an odorant binds and activates the OR, $G_{\alpha 15}$ dissociates from the OR and activates phospholipase C (PLC), which hydrolyses the membrane phospholipid PIP₂ to form inositol triphosphate (IP₃) and diacylglycerol (DAG). IP₃ binds to gated calcium channels on the endoplasmic reticulum, resulting in an increase in calcium in the cytosol

5.4 Heterologous Cell Types

HEK293 and Other Mammalian Cell Lines A high-throughput method for screening ORs would involve the expression of ORs in a heterologous expression system so as to eliminate the need to generate transgenic mouse lines with the receptor of interest labeled with a marker for gene expression. However, as previously stated, this method of expression was complicated by the difficulty of expressing ORs at the surface of the plasma membrane, as they would be broken down in the endoplasmic reticulum, preventing functional expression at the cell membrane.

The first successful attempt at expressing ORs within a heterologous system utilized HEK-293 cells [5, 30]. A method was developed in which N-terminus rhodopsin tags were attached to OR coding regions, thus facilitating the translocation of proteins to the plasma membrane in HEK cells. HEK cells were transfected with a construct containing an N-terminus rhodopsin tag attached to an altered coding region of the rat M4 OR in which transmembrane domains (TM) II-VII (regions implicated in OR ligand-binding) were first replaced with that of the beta-2 adrenergic receptor, and then the rat I7 OR (the cognate ligands of which had been elucidated through adenovirus-mediated *in vivo* expression; see Sect. 5.2). When cells transfected with the beta-2 adrenergic receptor chimera along with $G_{\alpha 15}$ were stimulated with isoproterenol, an adrenergic agonist, a transient increase in intracellular Ca²⁺ levels was observed through the inositol triphosphate (IP₃) receptor-mediated pathway (Fig. 5.1), an effect that was repeated when the cells transfected with the I7 chimera were stimulated with octanal. This confirmed that the rhodopsin tag facilitated cell surface expression

of ORs in a heterologous system. A library of chimeric ORs was then generated in which TM II-VII of the rat I7 OR were systematically replaced by that of the beta-2 adrenergic receptor. These chimeric constructs were transfected into the system with $G_{\alpha_{15}}$ and then exposed to odorants, and their intracellular calcium levels were determined through calcium imaging. The heterologous system was able to determine the selectivity of certain receptors to citronellal and carvone while the usage of chimeras led to the determination of single amino acid residues responsible for conferring that selectivity. With the door to functionally expressing ORs in heterologous systems opened, further studies began to use other receptors such as human ORs.

The introduction of the cAMP assay opened the door to further developments in OR deorphanization through heterologous cells such as HEK-293 cells. cAMP assays allowed for more ORs to be measured and required far less preparation than calcium imaging or patch-clamp analysis. The level of OR response to a particular ligand is correlated to the level of cAMP generated by adenylyl cyclase, so by assaying the level of cAMP, the responsiveness of an OR to a chemical can be elucidated. Initial studies involved the use of an enzyme immunoassay to detect cAMP levels [31], although this was soon superseded by the luciferase reporter gene assay. The luciferase reporter gene assay allows for the amplification of cAMP signal through the usage of a cAMP-response element (CRE) promoter that drives a firefly luciferase reporter gene. As cAMP levels increase with the binding of a ligand to the receptor, protein kinase A is activated and phosphorylates cAMP response element-binding protein (CREB), a transcription factor, and luciferase gene transcription is induced through CREB-mediated gene expression. The CRE-driven luciferase gene, along with an OR expression construct containing an N-terminus modification (such as a rhodopsin tag) and accessory RTPs, is transfected into HEK cells. The cells are then stimulated with odorants before being measured for luminescence [30–32], which indicates the level of OR activation in response to an odorant (Fig. 5.2).

Xenopus Oocyte Expression Along with HEK cells, ORs can also be functionally expressed in *Xenopus laevis* oocytes. *Xenopus* oocytes are used frequently to express a wide variety of receptors and channels, and their large size (~1 mm) makes them convenient for electrophysiological analysis as well. First usage of this method began with the purpose of expressing fish ORs—notably, a goldfish OR that belongs to the V2R vomeronasal receptor class gene family [33]. *Xenopus* oocytes allow for detecting the activation of multiple signaling pathways by coexpression of G-protein-gated inwardly rectifying potassium channels (GIRKs) and $G_{\alpha_{olf}}$ along with ORs [33, 34]. Activation of the OR results in activation of $G_{\alpha_{olf}}$ which in turn leads to GIRK gating and thus detectable OR agonist-dependent currents in the oocytes. Soon afterwards, *Drosophila* and human ORs were also expressed in *Xenopus* oocytes [35, 36]. Functional responses for mouse ORs were also obtained in *Xenopus* oocytes, initially with MOR42–3 acting through the $G_{\alpha_{olf}}$ /cystic fibrosis transmembrane conductance (CFTR) signal transduction pathway [37] (Fig. 5.3), and then with mOR-EG [31]. This system was then used for other detailed analyses of MOR42–3, such as mutagenic studies in which the amino acids responsible for conferring the specificity of the OR were elucidated [38]. Recently, the usage of *Xenopus* oocytes has also facilitated the deorphanization of an OR using natural ligands [39].

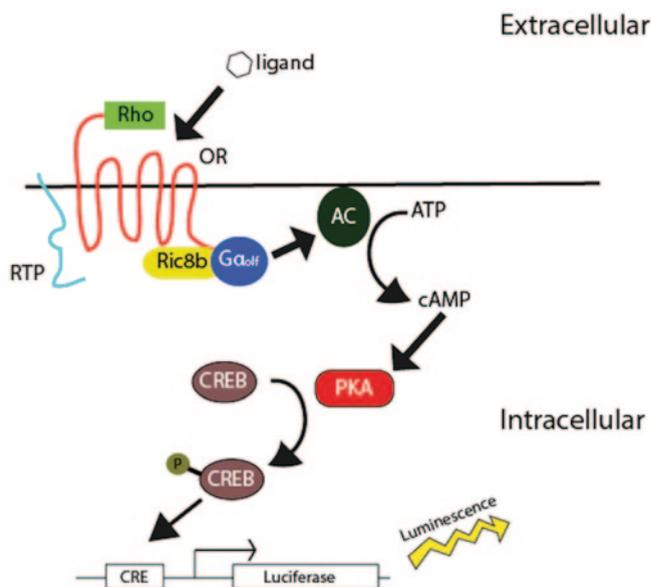


Fig. 5.2 Cre-luciferase system for measuring OR activation takes advantage of OR cAMP signaling. The OR is expressed at the surface of heterologous cells with the aid of a Rho-tag and RTPs. Activation of the OR leads to dissociation of $G\alpha_{olf}$, activation of adenylyl cyclase III (AC) and cAMP production. cAMP activates protein kinase A (PKA), which phosphorylates CREB, driving expression of the luciferase gene

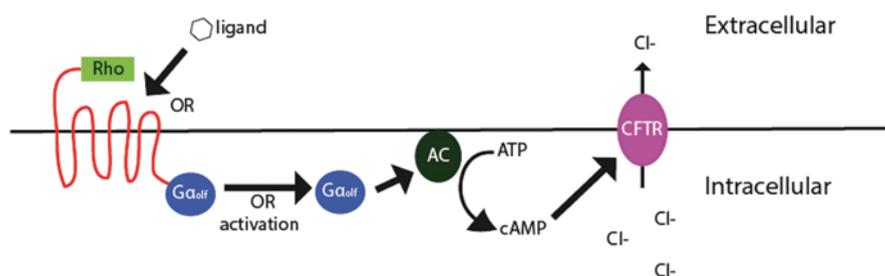


Fig. 5.3 The *Xenopus* oocyte expression system involving the CFTR channel, a cAMP-sensitive chloride ion channel. Upon OR activation, cAMP binds to and opens the CFTR channel, allowing chloride ions to flow out of the cell. OR activation is measured as the resultant current

Other Cells In addition to the cell systems mentioned above, the expression of ORs has also been established in the baculovirus-Sf9 insect cell system, which allows GPCR and other foreign DNA to be expressed with high efficiency [40]. The first OR to be expressed in Sf9 cells was the rat OR5, and receptor activity was subsequently assayed via odorant-induced generation of cAMP or inositol triphosphate ($InsP_3$) [41]. However, the usage of Sf9 cells for expressing ORs has been

relatively rare since, with most studies limited to the assaying of *Drosophila* ORs such as Or22a and Or43b [42, 43]. ORs have also been successfully introduced into *E. coli* bacteria when overexpressed as a fusion protein, where they were able to display interactions with odorants [44]. The HeLa-Cx43 cell line has also been used to express ORs, most notably to show that odorants can function as agonists or antagonists for various ORs based on the type of G-protein used [45].

5.5 Do in Vitro Systems Replicate in Vivo OR Responses?

Despite the growing ability of in vitro heterologous systems to parallel odorant-induced receptor activity in vivo, there still exist doubts concerning the ability of in vitro systems to truly replicate unique conditions encountered within the live olfactory system. Odors are delivered through solution in heterologous systems whereas they travel through the air during normal mammalian olfaction. Thus, nasal airflow rate can alter the apparent sensitivity of certain odorants assayed through glomerular response. For example, glomerular response in the olfactory bulb can differ in response to passive breathing versus sniffing [46]. In addition, the presence of pre-receptor events in vivo involving enzymes present in the nasal mucus can affect olfactory perception. Odorants with functional groups such as aldehydes and esters are converted into corresponding acids and alcohols when contact is made with metabolic enzymes secreted in the mouse mucus. When an enzyme inhibitor was used in parallel with exposure to the relevant odorants, glomerular activation patterns and olfactory discrimination test results differed in those mice compared to mice with the enzymes intact [15]. Studies have also shown differences in ligand responsiveness when comparing ORs measured through calcium imaging or patch-clamp versus ORs expressed in the heterologous system, although these studies have also shown the effectiveness of expressing ORs in vitro as well. The receptor mOR-EG, which responds to eugenol, shows similar patterns of activation both in vivo and in vitro when calcium imaging is applied [19]. In addition, heterologous cells expressing the receptor SR1, which responds to a broad panel of odorants, do not all respond consistently to the same panel—instead, each SR1 cell responds to different odorants at a wide variety of concentrations, although this diversity of responses is also mirrored in vivo with patch clamp analysis [11].

The human OR OR7D4, when expressed in heterologous cells, has been shown to mirror odor perception in humans in terms of responding to the steroids androstenedione and androstadienone. In humans, the OR7D4 gene exists as one of two variants that differ by two amino acid substitutions (the most common variant “RT”, and “WM”, which contains R88W and T133M amino acid substitutions), and it was found that the RT variant responds to the steroids at a much higher level than the WM variant in vitro. Likewise, human test subjects with both copies of the RT variant found the steroids to be more intense and “sickening” than those with just one copy of RT or two copies of WM [14].

Thus, to test for the efficacy, reliability and accuracy of our heterologous system versus live-cell recordings of olfactory sensory neurons, we selected previous studies

which examined ORs expressed in their native OSNs [18–20, 22]. Based on those studies, we focused on four ORs found in mouse (M71, mOR-EG, I7, and MOR23). Previously, the cognate ligands of mouse I7 and MOR23 OSNs were analyzed using patch clamp, and M71 and mOR-EG OSNs using calcium imaging. We tested each OR against odorants that had been previously cited as either agonists for or evoked no response from each OR, and unless otherwise stated, we chose two odorants from each category for each OR. We then compared the dose response curves obtained *in vitro* to the published data in order to determine the selectivity and sensitivity of the aforementioned luciferase assay. Expression of ORs in the heterologous system and luciferase assay were performed as described above. We then compared their dose response curves with the previously published graphs which utilized normalized electrophysiological and calcium response data. From the dose response curves, we found that our heterologous system-derived data was similar to the data obtained from OSN recordings in terms of both selectivity and sensitivity in the cases of mouse I7, mOR-EG and M71. The chemical for which each OR responded to best at each concentration (from the two agonists) within the heterologous system mirrored that of the live-cell data. Mouse I7 receptors had greater affinity for heptanal than octanal, M71 receptors had greater affinity for acetophenone than benzaldehyde and mOR-EG receptors had greater affinity for vanillin than eugenol in both experimental conditions that were compared. In addition, the relative shapes of the dose response curves were similar.

To evaluate sensitivity, we compared the EC₅₀ values of both odorants for each receptor. For three of the four ORs examined for which we had an available published EC₅₀ value to compare, our *in vitro* EC₅₀ value was greater (all except for mOR-EG), indicating that OSNs expressing the same receptor are more sensitive than heterologous cells. However, in terms of ranking the EC₅₀ values of the two odorants for each receptor, we found that the odorant with the higher EC₅₀ for each receptor studied using olfactory sensory neurons was the same when using the heterologous system. Mouse I7 had a greater EC₅₀ for octanal than heptanal, M71 had a greater EC₅₀ for benzaldehyde than acetophenone and mOR-EG had a greater EC₅₀ for eugenol than vanillin in both experimental paradigms (Fig. 5.4). These data indicate that ligand selectivity is consistent *in vivo* and *in vitro*.

Such direct relationships were not as easily drawn between *in vitro* and OSN data when observing MOR23. In the OSNs, MOR23 responds to lylal in a highly dynamic range, as threshold and saturation in individually tested cells cover three log units of lylal concentration. In addition, there were great differences in the response kinetics and sensitivity between cells. While we were not able to obtain luciferase response values for individual cells, our normalized luciferase response for MOR23 lied within the range of single-cell responses measured using OSNs.

5.6 Concluding Remarks

In this chapter, we reviewed various methods for evaluating OR ligand selectivity and sensitivity. OSN-based methods for OR deorphanization most effectively reproduce endogenous conditions and thus provide the most accurate pairing of

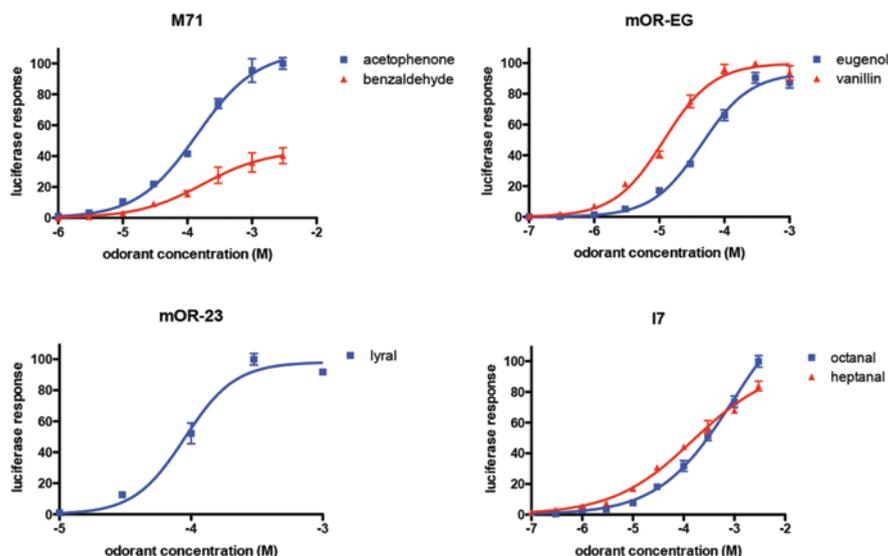


Fig. 5.4 Dose-response curves for M71, mOR-EG, mOR-23, and mouse I7 obtained through heterologous receptor expression (n=3)

ORs to their cognate ligands *in vivo*, but are limited in their efficiency. *In vitro* methods involving expressing ORs in heterologous systems have been developed for the high-throughput evaluation of OR selectivity. OR receptor trafficking to the cell surface, initially found to be difficult due to OR retention and degradation in the endoplasmic reticulum, has been found to be facilitated through modifications to the OR protein itself as well as various accessory proteins. Although *in vitro* methods are advantageous in that they eliminate the need to generate transgenic mouse lines that express the ORs of interest, the question remains whether or not *in vitro* OR response to ligands accurately parallels that of ORs *in vivo*.

Our experiment, as well as other studies, have shown ORs tested in *in vitro* heterologous system can mimic the behavior of ORs expressed in the OSNs. We compared *in vitro* dose responses of the ORs to other studies that measured normalized electrical or calcium response by ORs located on olfactory sensory neurons. Tested ORs showed similar selectivity when *in vitro* and OSN data were compared. While mI7, mOR-EG and M71 ORs transfected into HEK cells showed similar sensitivity to activating odorants, *in vitro* ORs were not able to perfectly predict the behavior of all tested ORs and as such, the heterologous system may not be able to fully characterize the odor response profiles of all ORs. There exist possible explanations for this inability to mirror *in vivo* conditions such as enzymatic conversion of odor chemicals in the mucus as described earlier. However, our results do not preclude the usefulness of *in vitro* assays utilizing the heterologous system in high-throughput analyses of receptor-ligand interactions. One can use the heterologous system to screen a receptor against a library of odorants to deorphanize

ORs, or, alternatively, screen a particular chemical against a library of receptors to find the OR responsible for detecting a particular ligand. When the data produced by in vitro heterologous system can predict the perception of odors, as in the case of human OR7D4 variants and their in vitro responses to androstanone and androstadienone, they will be a strong indication that the in vitro responses mimic OR activation in vivo.

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Chapter 6

Neurobiology and Cultivation of Olfactory Receptor Neurons on a Chip

Cheil Moon, Samhwan Kim, Jisub Bae and Gabriele V. Ronnett

Abstract The continued study of the olfactory system is essential, as elucidation of its molecular, cellular, and systems neurobiology will undoubtedly reveal a complex interplay that transduces odorant molecule-induced action potentials into odor information processes in the brain such as the mediation of emotion, memory and behavior. Additionally, interest in the olfactory system and its potential applications in the industrial and engineering fields continue to increase. In this chapter, we describe various aspects of olfactory cells ranging from their cellular structures and functions to the development of olfactory cell cultivation methods and the application of cultivated olfactory cells and bio-engineered cells to various types of bio-electronic devices. These applications may ultimately facilitate the development of biomimetic artificial noses.

6.1 Introduction

The mammalian olfactory system has excellent ability to detect and discriminate thousands of odors with high sensitivity and precision [1, 2]. Over the last decade, huge interests have been focused on the olfactory system and considerable researches have been made to understand the mechanisms from odorants detection in the olfactory receptor neurons to (ORNs) information processing in the olfactory bulb (OB). These achievements have inspired researches on the development of artificial noses using ORNs as a sensor with growing public needs. In this chapter, we will describe the olfactory system in aspect of neurobiology, cultivation methods, and its application to the development of artificial noses.

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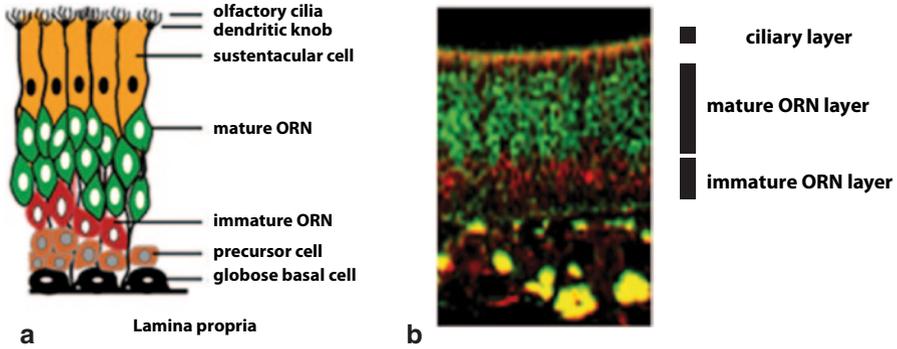


Fig. 6.1 Olfactory Epithelium. **a** OE consists of three principal cell types; globose basal cell, ORN and sustentacular cell. Apical dendritic extension of ORN forms dendritic knob. Dendritic knob contains non-motile cilia where ORs are highly expressed and detect odorants. **b** OE section labeled by two cell type markers, GAP43 and OMP. GAP43 is expressed in immature neurons, and OMP is expressed exclusively in terminally differentiated ORNs. ORNs migrate apically to the top of the epithelium. Thus people can recognize age of neurons by position

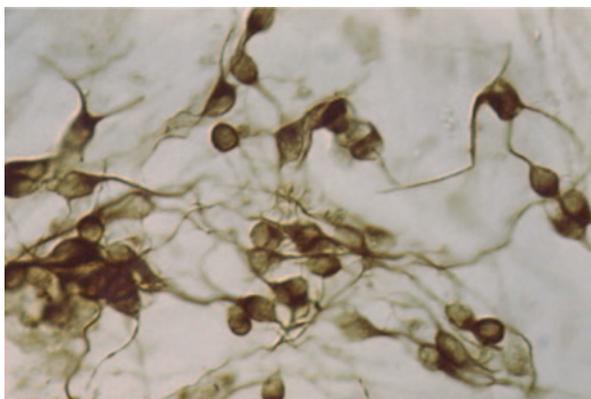
6.2 Cellular Structures of Olfactory Cells

The peripheral olfactory system is structurally well adapted to detect environmental chemicals. For example, the localization of ORNs in the olfactory epithelium (OE) facilitates their direct contact with inhaled odorous chemicals (Fig. 6.1). When considering the OE, it is important to be mindful of the three OE cell types: ORNs, sustentacular (or supporting) cells (SCs), and basal cells (BCs) [3, 4].

6.2.1 ORNs

ORNs are bipolar neurons, extending apical dendrites to the surface of the epithelium and sending unmyelinated axons projecting to glomeruli on mitral and tufted neurons in the olfactory bulb OB of the brain. The apical dendrites of ORNs form dendritic knobs upon which non-motile cilia are located and it is here that the initial events of olfactory signal transduction occur [5–7]. Results of electrophysiological studies have indicated that odorant sensitivity and the odorant-induced current are uniformly distributed along the cilia, suggesting that all the components of the immediate responses to odorants are localized to the cilia [7]. Furthermore, results of immunoelectron microscopic studies have confirmed the ciliary localization of many of these olfactory signaling molecules [8, 9]. ORNs account for 75–80% of the cells in the OE (Fig. 6.2) [10]. They are functionally homogeneous in that they all detect odorants. As they mature, ORNs migrate apically to the surface of the OE, which allows for determination of neuronal age by position [11]. Replacement ORNs are supplied by the differentiation of globose basal cells (GBCs) [12–14]. These unique characteristics of neurogenesis allow for cultivation of ORNs *in vitro* [15–17].

Fig. 6.2 ORNs cultivated *in vitro*. ORNs are stained with TuJ1 (neuronal specific tubulin). Cell bodies and processes of ORNs are well preserved



6.2.2 Olfactory Precursor Cells

BCs are located directly above the lamina propria and also underlie the ORNs. Functionally, BCs are known to serve as precursors for replacement ORNs throughout adulthood [4, 14, 18]. There are two general classes of BCs; horizontal cells (HCs) and GBCs. HCs are flat and express cytokeratin [18, 19], whereas GBCs have a round shape [20, 21]. ORNs survive for several months and therefore have a shorter survival time than many other types of neurons. This shortened survival time may be attributable to the toxic or infectious nature of the agents that ORNs are directly exposed via the environment. Thus, the differentiation of GBCs to new ORNs is crucial for maintenance of the sense of smell. As previously mentioned, adult neurogenesis of ORNs is a unique characteristic that facilitates the cultivation of ORNs *in vitro* on biosensor devices and culture dishes.

6.2.3 SCs

SCs stretch from the surface of the OE to the basal lamina, where they maintain foot processes [6, 22]. SCs are electrically isolated from ORNs, secrete components into the mucus, and contain detoxifying enzymes [23]. Recent studies suggest that SCs may produce growth factors critical to the ORN development [24]. For example, neuropeptide Y functions as a neuroproliferative factor for olfactory neuronal precursors both *in vivo* and *in vitro* [24]. This is only the first of many potential growth factors that SCs contribute to ORN homeostasis. Therefore, understanding the roles of SCs in the process of ORN development may be important for the stable cultivation of ORNs on sensor chips.

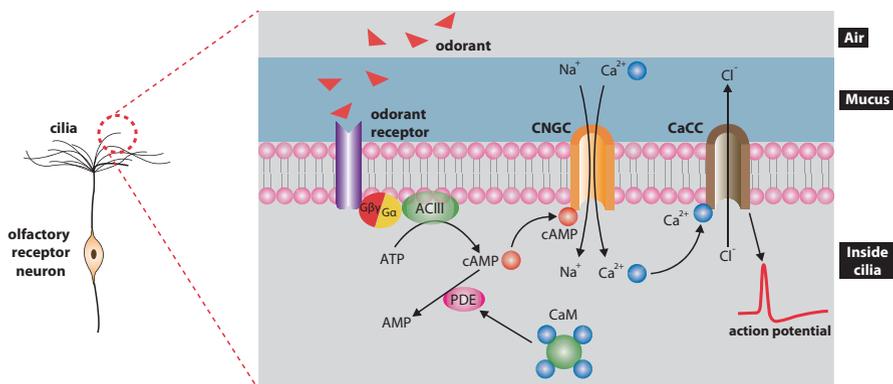


Fig. 6.3 Olfactory signal transduction in the mammalian ORN. See text for details

6.2.4 Olfactory Signal Transduction in the Olfactory Cilia

ORNs transduce chemical signals in the environment into the electrical signals (Fig. 6.3) [25]. The first step of olfactory signal transduction begins with binding of the odorant molecule to the specific receptor, referred to as an olfactory receptor (OR) in the sensory cilia. The binding of odorants activates G-protein (Golf) which is a member of the stimulatory G protein family expressed specifically in the olfactory system. Then, Golf stimulates adenylyl cyclases (ACs), which produce cyclic adenosine triphosphate (cAMP). The ACs involved in this process are type 3 (ACIII) and specifically expressed in the olfactory system. The cAMP produced by ACIII opens cyclic nucleotide-gated channel (CNGC), allowing for influx of sodium and calcium ions. Incoming calcium ions open calcium activated chloride channel, and action potential occurs by releasing intracellular chloride ions. The entire signal transduction process happens within 500 msec. Some amount of calcium ions are bound to the calmodulin (CaM) and activate the calcium/CaM-dependent enzyme phosphodiesterase (PDE), which hydrolyze cAMP into AMP.

6.2.5 Neuronal Differentiation of Olfactory Precursor Cells

Regeneration of the OE is a process of neuronal stem cell differentiation (Fig. 6.4). During stem cell differentiation, cells pass through sequential phases of maturation (for review see references [26, 27]). Progression is controlled primarily through the sequential and/or combinatorial exposure of the cells to growth factors [28–33]. Neuronal differentiation is recapitulated in the OE, as it undergoes replacement of its resident ORNs throughout life [34–37]. The OE contains a developmentally dynamic neuronal population comprised of GBCs, neuronal precursors, and immature and mature ORNs [38]. SCs provide many growth factors that regulate the dynamics of the neuronal population [39–41]. As stem cells may be manipulated to replace damaged neurons, identification

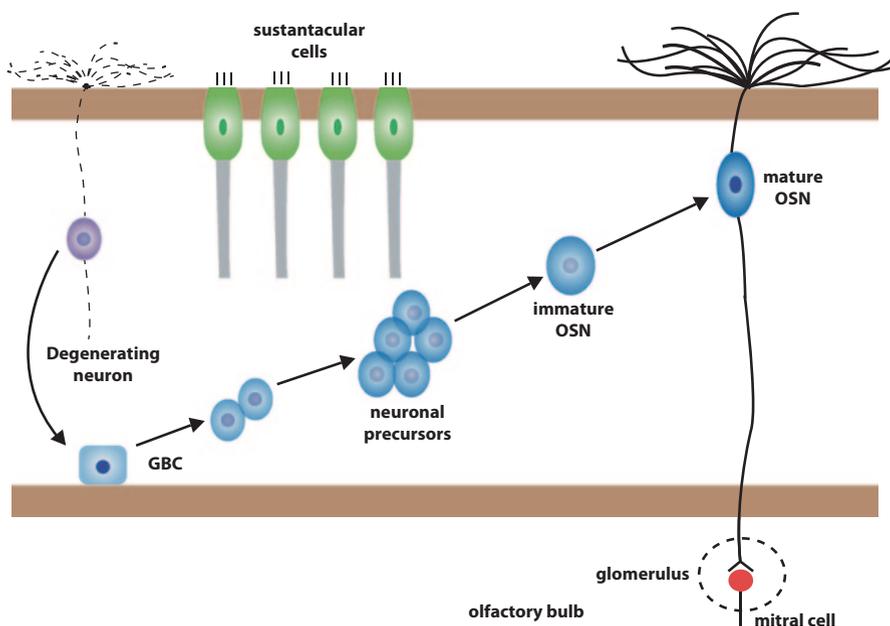


Fig. 6.4 Regeneration of ORNs. When ORNs are degenerating, GBCs respond to signals from dying ORNs. GBCs are differentiated into ORN precursors. ORN precursors are then differentiated to ORNs. As ORNs get mature, dendritic processes and axonal projection occur to form olfactory cilia and synapse in the OB

and understanding of the growth factors regulating ORN development will be critical for *in vitro* cultivation of ORNs on chips. Precise application of growth factors may improve the quality of ORN cultivation, which in turn may provide healthy ORNs that allow for proper functioning of bio-sensor chips. To date, neurotrophins, neuropeptides and neurocytokines are known to influence ORN development [24, 42, 43].

6.2.6 Olfactory Cells as Biosensors

Following the elucidation of olfactory signal transduction mechanisms in the ORNs, many studies have attempted to develop artificial olfactory systems by cultivating ORNs in order to make artificial noses with high specificity and sensitivity. Electronic noses are typically composed of two parts, one is a sensing part detecting chemicals in the environment, and the other is a transducing part converting the chemical signals to the electrical signals. So-called ‘electric nose (e-nose)’ use non-biological components as the sensing part, and bioelectric nose uses biological components to detect odorants. Conventionally developed electric noses have disadvantages such as huge volume of device, low sensitivity, and limited number of detectable chemicals. Whereas, bioelectric noses are regarded as more miniaturized, sensitive, and selective chemical sensors due to use of the mammalian ORNs.

6.3 Current Knowledge Regarding *in vitro* ORN Cultivating Methods

As mentioned, the initial attempt of the ORN cultivation was conducted in 1984 by Noble et al. [44]. Before this attempt, cell suspension techniques had been widely used for dissociation of intact ORNs. Although these techniques did not provide sufficient quality ORNs for olfactory-specific experiments, they did contribute to the development of advanced ORN cultivating methods. In 1991, Ronnett et al. introduced a primary ORN cultivation method that provided almost pure ORNs [45]. However, ORNs cultured using this method rarely survived beyond culture day 7, prompting researchers to attempt the engineering of ORN cell lines.

6.3.1 Development of ORN Cultivation Methods

Before ORN primary culture was introduced, several attempts to collect pure populations of ORNs had been made. Initial efforts pioneered by Kowen et al. in 1966 involved dispersing olfactory cells using mechanical, chemical, and enzymatic treatments to make cell suspensions [46]. Hirsch and Margolis attempted to use similar methods with some modification, primarily the dissociation of cells by centrifugation with a bovine serum albumin gradient [47]. These pioneering attempts were critical, as they represented some of the earliest steps in the progression to biological artificial electric nose development. However, the ORN yield achieved using these techniques was too low to advance biomimetic artificial nose development. Another method employed to dissociate OE cells used N-ethylmaleimide. Unfortunately, this method also deactivated the electrical excitability of cells and therefore could not be used to contribute to future studies.

Initial ORN cultivation methods used only isolated OE *in vitro*. In 1984, Noble et al. employed purified astrocytes to support OE neurons [44]. Gonzales et al. also succeeded in cultivating OE cells in 1985 [48]. The results of these studies allowed not only for measurable growth of olfactory neurons *in vitro*, but also for more advanced study of the mechanisms of olfactory signal transduction. However, these ORN cultivation methods were suboptimal, as cultivation of isolated OE cells without ORN purification provided ORN yields unsuitable for biochemical experiments. Additionally, cultivated cells were associated with poor survival times. Furthermore, the heterogeneous cell populations derived using these methods impaired the ability to study olfactory signal transduction with precision.

Ronnett et al. established a cultivation method which yielded a nearly pure population of ORNs in 1991 [45]. This method consisted of mechanical disruption, enzymatic dissociation, and a series of filtrations, followed by incubation in medium conducive to neuronal survival. Thus, this technique overcame shortcomings of other methodologies regarding purity and yield of ORNs in culture. Most importantly, the development of this primary ORN culture, which could respond to odorous chemicals and evoke electronic signals, allowed for further research regarding olfactory signal transduction at the cellular and molecular levels.

Despite the aforementioned advantages of the method established by Ronnett et al., some limitations remained. For example, ORNs rarely survived beyond culture day 7. Several attempts have been made to overcome this important limitation. One such approach involved the development of immortalized ORNs and to date, several stable cell lines have been developed. In one example, cell lines capable of detecting odor and expressing olfactory-specific proteins have been successfully isolated from adult humans and human fetus neuroblasts. Additionally, cells have been immortalized via expression of the Simian virus 40 (SV40) large tumor antigen (T-ag) [49]. SV40 T-ag promotes expression of an oncogene within the olfactory neuronal lineage. Similarly, cells have been successfully immortalized using the oncogene *n-myc* [50]. Both cell lines express olfactory-specific proteins which are usually expressed in ORNs. However, cell lines developed by Largent's group have not shown reliable endogenous functional responses. Furthermore, cell lines developed by Macdonald's group have never been used for functional studies, so it is totally unknown if this cell line is suitable for biosensors. Ronnett's group introduced other immortalized cell lines using the H-2K^b-tsA58 transgenic mouse [51]. ORN cell lines developed by Ronnett's group express olfactory-specific proteins, respond to odorants, and evoke electrical signals. Thus, this cell line may be the most promising material for the development of biological artificial noses to date.

6.4 Use of Cultivated ORN for Biosensors

The driving concept behind cell-based biosensors is the use of live cells to sense chemicals. Thus, cell-based biosensors can be characterized by cells used for detection. Several in characteristics of cells for development of cell-based biosensors need to be considered: how to maintain the organic components, how to attach cells to sensor devices with minimal interruption of biological functions, how to extend the lifespan of cells on sensors, and how to convert cellular activities into signals for sensors. Such characteristics are dependent on the particular cells which have been utilized.

Living cells that express ORs can detect millions of distinct odorous chemicals and evoke electronic signals upon binding with chemical molecules. Therefore, these characteristics make OR (or ORN)-based biosensors superior to other types of chemical sensors. In ORN-based biosensors, ORNs are not only detectors, but also serve as target samples for monitoring of cellular signals. These devices can measure electronic signals from cells without invasive procedures. Moreover, the procedures are much simpler and cheaper than previously reported methods [52].

An electrochemical dynamic system characterizes the activity of living cells, including their function, growth and development. Activity can be measured by monitoring reduction-oxidation (redox) reactions and changes of ionic compositions and concentrations which are accompanied by the transfer of electrons and the generation of electrical charges. Thus, we can monitor the information of cellular status through measurement of extracellular signals acquired by sensing the

changes of electrochemical activities. Monitoring of cellular activity within cell-based biosensors is often accomplished through detection of electrochemical parameters. Active progress in the development of biosensors combined with ORNs has been made due to the highly feasible nature of this model for live monitoring of electrochemical changes. Moreover, this high level of progress is also attributable increasing demands from fragrance-related businesses and anti-terror businesses, such as explosive detection.

In the last three decades, there has been eruptive progress in microfabrication technology and micro-electromechanical systems due to the tremendous advances made by microconductor industries. This has provided a solid foundation for improvement in biosensors themselves, as well as sensor technologies such as MEA, FET, LAPS, surface plasmon resonance (SPR), etc.

6.4.1 Planar Electrode and MEA

MEA, originally developed in 1972 [53], provides many advantages as compared to the conventional patch clamp method. It uses a basic type of electrochemical sensor to measure the extracellular signals of neuronal networks or organotypic/slice cultured tissue. One of the advantages of MEA-based biosensors is the easy fabrication process using conventional techniques as array type devices to cover multi-site detections. In addition, MEA represents a more powerful tool as compared to the patch clamp method due to its non-invasive measurements of extracellular electrical activities. Moreover, integration of amplifier electronic circuits on the electrode substrate enables more stable and reliable measurements under various conditions.

Cell-based biosensors can be used for the detection of various odorous chemicals and for screening ligands that bind to a single OR. Detection of extracellular potentials upon octanal stimulation of HEK 293 cells was reported by S. H. Lee et al. [54]. The experiments used a planar electrode to measure the extracellular electrical signal induced by odorant stimulation in a heterologous OR-expressing cell-based system. HEK 293 cells are bioengineered to express gustatory CNG channels as well as OR I7, which can intensify the membrane potential upon binding of the odorant octanal (Fig. 6.5).

MEA-ORN-based biosensor can monitor neuronal signals from neuronal networks as shown in Fig. 6.6. As MEA can measure various sites of neurons in the network, this system can be used for statistical analysis of neuronal signals and for generating odor-response maps [55].

Direct measurement of extracellular electric signals in dissociated and cultivated ORNs using the indium-tin oxide (ITO) planar electrode as a sensing material has been reported (Fig. 6.7) [52]. Novel findings of this study are direct cultivation of ORNs on the device and detection of the membrane action potential without coupling to the layer using biocompatible ITO. This approach enables convenient measurements and analyses of signals from cells.

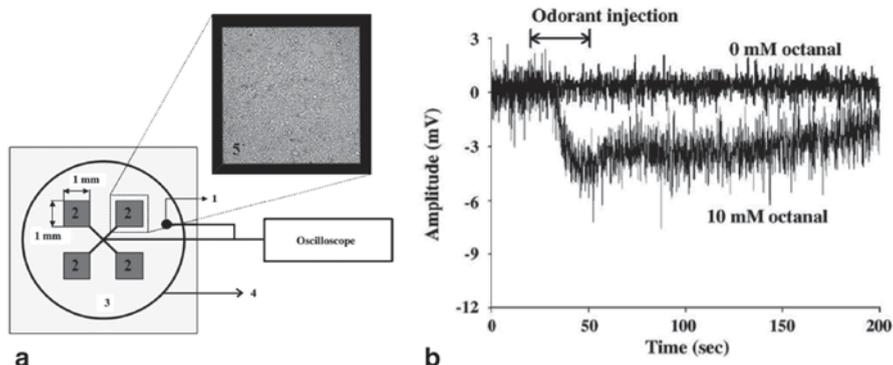


Fig. 6.5 **a** Schematic illustration of the planar electrode culture system. **b** Field potential profile of HEK-293 cells expressing I7 in the Ca^{2+} standard solution. (Reprinted from Ref. Lee et al. with permission from ELSEVIER)

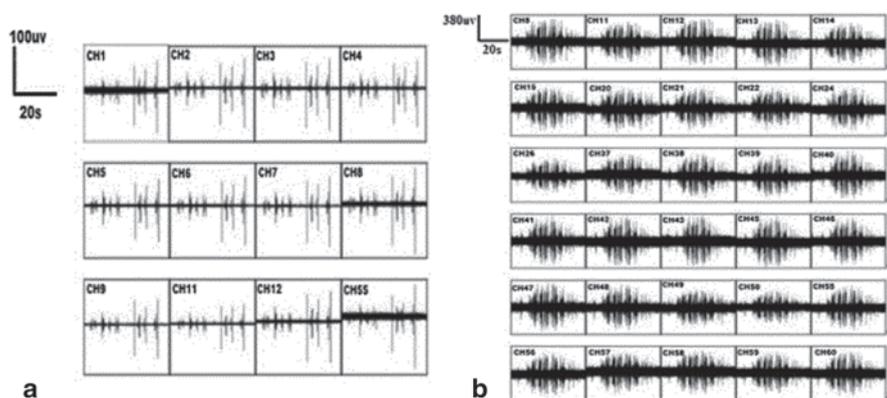


Fig. 6.6 Responses of ORNs from multiple recording sites of planar MEA. **a** Firing spikes map from the responses of ORNs that excited by odor (LIM). **b** Firing spikes map from responses of ORNs that excited by odor (ISO). (Reprinted from Ref. Ling et al. with permission from ELSEVIER)

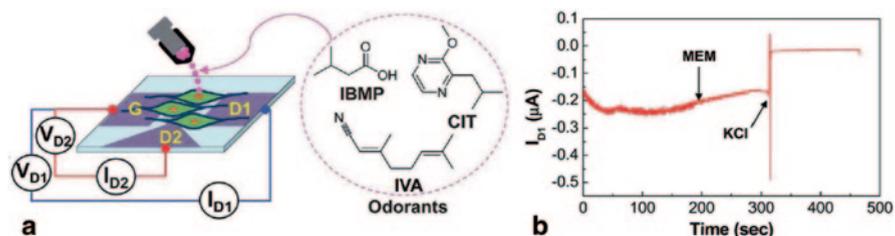


Fig. 6.7 **a** Illustration of odorant-stimulated experiment from rat OSNs on the planar triode (ITO) substrates. **b** D1 current signal from the planar triode olfactory devices by stimulating with MEM and KCl solutions. (Reprinted from Ref. Kim et al. with permission from RSC)

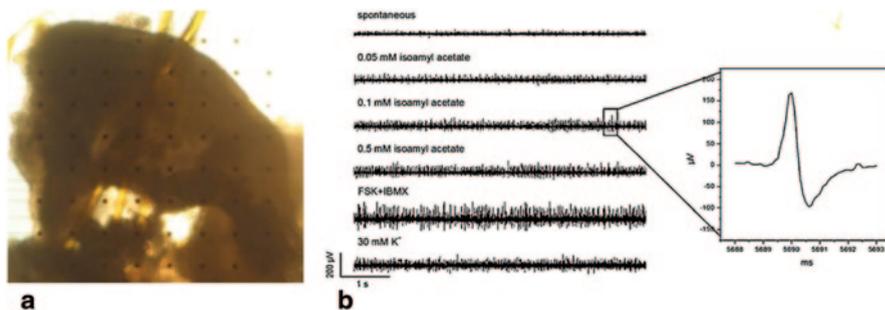


Fig. 6.8 **a** Slice of the olfactory epithelium on top of the MEA. **b** Typical extracellular potential traces of one electrode during spontaneous firing and stimulation with different concentration of isoamyl acetate (0.05, 0.1 and 0.5 mM), FSK + IBMX and 30 mM K⁺. (Reprinted from Ref. Micholt et al. with permission from ELSEVIER)

A research team from the University of Leuven has developed an MEA which employs intact OE and OB tissue slices [56]. The experiments coupled the OE connected to an intact OB to an 8×8 MEA for spatial odor detection (Fig. 6.8). Sagittal slices of olfactory tissue were analyzed for their electrical responses to odorants and stimulants. This device was able to discriminate isoamyl acetate and L-carvone by measuring spike frequencies and patterns. Introduction of intact OE and OB tissue slices combined with MEA may provide a potentially powerful tool for odorant identification and quantification.

6.4.2 LAPS

The primary function of LAPS is detection of surface potentials from a device combined with a cell culture chamber that illuminates a small spot on the device surface with a focused, pulsed light-pointer. LAPS provides many advantages, such as convenience, simplicity, low cost, and fast addressable measurement. For example, the fabrication process of LAPS is easier due to its simple structure and absence of the need for an enclosure process. Moreover, the flat surface provides convenience for incorporation into the micro-volume cell culture chamber [57, 58].

Several reports have described the use of LAPS as an olfactory cell-semiconductor hybrid system for monitoring extracellular potentials from cells cultivated on the surface of the LAPS chip. Wang et al. have fabricated a LAPS-based device using olfactory and taste cells and have measured signals upon stimulation with glutamate to olfactory cell-based LAPS [59]. Additionally, signals were also detected successfully upon tastant stimulation, e.g. glucose, HCl, NaCl, quinine and monosodium mimicking sweetness, sourness, saltiness, bitterness, and umami, respectively. Thus, this device has to some extent mimicked the bioelectronic nose and tongue, which can detect both odors and tastants.

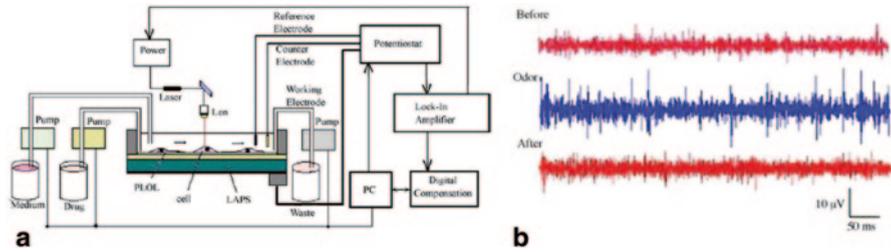


Fig. 6.9 **a** Schematic drawing of the LAPS system. **b** Odor-treated extracellular potential of the olfactory receptor cells before, during and after odor (acetic acid, CH_3COOH) treatment. (Reprinted from Ref. Wang et al. with permission from ELSEVIER)

Chunsheng et al. have demonstrated that the LAPS-OSNs hybrid biosensor has the potential to use ORN-based sensors in cellular signal monitoring (Fig. 6.9; [60]). Either MAL12330A or LY294002, which induce inhibitory effects in ORNs and enhance olfactory signals of ORNs, respectively, were found (Fig. 6.9). Although this device displays some room for improvement in sensitivity, ORN-based biosensors still seem to represent promising tools for monitoring cellular signals and olfactory transduction mechanisms.

6.4.3 FET

One of the advantages of FETs is easy access to the detection sites of neuronal networks due to the composition of multi recording sites. Additionally, FETs allow the device to monitor various parameters of cells, such as extracellular action potentials, cellular ionic communications, and communication velocity of cellular signals in a long-term, non-invasive manner. In addition, these devices provide several potential advantages, such as their small size and light weight, fast response time, high reliability, compatibility with other advanced microfabrication technologies, and the feasibility of on-chip integration of transducer arrays and signal processing schemes. The combination of living cells and silicon chips might allow for not only the creation of functional hybrid systems with new unique functional and practical potentials, but also may be applied to fundamental research on the elucidation of cellular physiological processes [61, 62].

To date, ORN-conjugated FETs have not been reported, however M. J. Schöning et al. have presented a biosensor using a FET combined with insect antenna, the odorant detector for insects (Fig. 6.10; [63]). Two different types of devices have been developed, a whole-beetle-BioFET and an isolated-antenna-BioFET. Both devices have demonstrated high sensitivity and selectivity for a plant odorant, Z-3-hexen-1-ol.

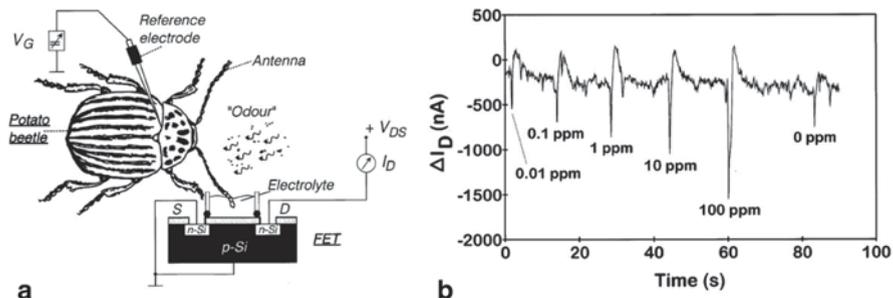


Fig. 6.10 **a** Schematic of the sensor equipment consisting of the intact chemoreceptor and the FET device. **b** Typical sensor response: Variation of I_D of the whole-beetle-BioFET by changing the Z-3-hexen-1-ol gas concentration. (Reprinted from Ref. Schöning et al. with permission from ELSEVIER)

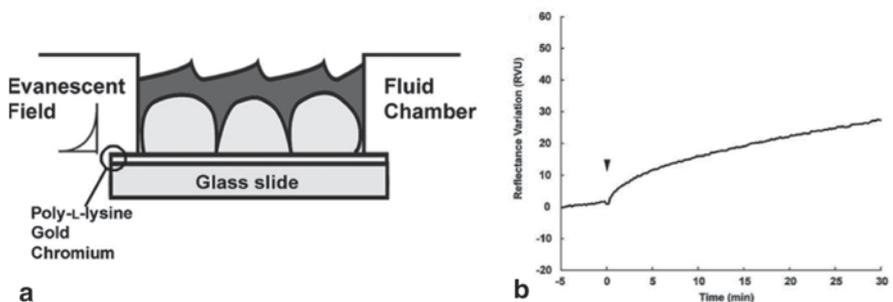


Fig. 6.11 **a** The evanescent field created by the surface plasmon resonance only allow monitoring of events occurring at the basal level of the cell. **b** Typical reflectance measured over a 30 min period following the injection of 5 mg/ml LPS on a bare gold substrate. (Reprinted from Ref. Chabot et al. with permission from ELSEVIER)

6.4.4 SPR

SPR has been applied practically for the real-time detection of molecular interactions occurring at the cellular membrane without a labeling process [64]. It employs an optical measurement system which detects changes of the refractive index of the fading wave very close to the surface of the device. Discrimination of fine changes of the refractive index at the surface of a thin metal film with high sensitivity is the key principle of the technique. SPR-based sensors allow researchers to measure communications between biomolecules, morphological changes, and enzymatic reactions [64, 65].

Chabot et al. have fabricated a SPR-based device and have monitored changes in activity and morphology of living cells (Fig. 6.11; [64]). Using various agents known to regulate cellular activity and morphology, three types of stimulations were specifically evaluated: an endotoxin (lipopolysaccharides), a chemical toxin

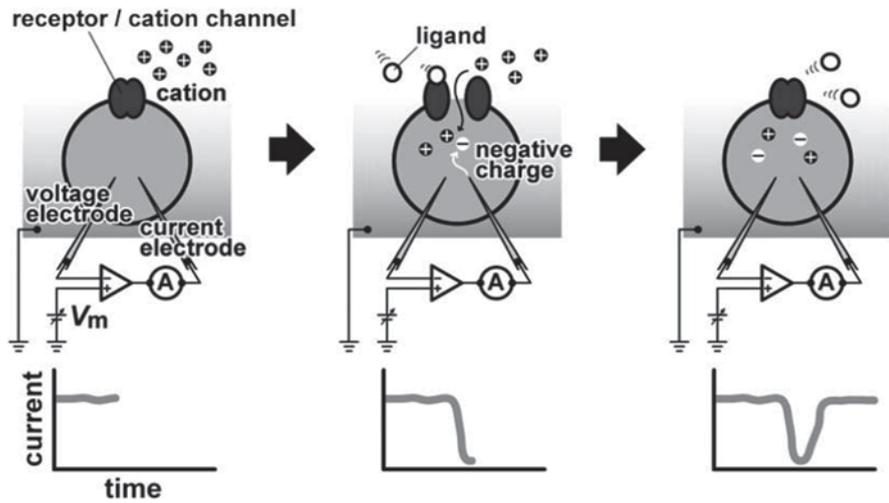


Fig. 6.12 Principle of measuring electronic signal in OR-bioengineered cell

(sodium azide) and a physiological agonist (thrombin). The feasibility of this sensing method was verified through detection of the refractive index. Additionally, this method was found to have the capability to be applied to various cellular dynamics involving morphological changes by specific agents.

Due to its reliability, SPR may have excellent potential for use in olfaction-inspired biosensors. Instead of using ORNs, this technology may be applied using isolated, specific OR proteins.

6.4.5 Characteristics of ORN-Based Biosensors

ORN-based biosensors have a few limitations as sensor devices. First, it is difficult to acquire high quality cultures of ORNs. Second, it is difficult to take advantage of this technology due to the lack of a complete understanding of ORN signal transduction mechanisms. One strategy to overcome these limitations involves the bioengineering of non-ORN-based biosensors. Although non-ORN-based biosensors may not be as amenable to the study of olfactory transduction as compared to ORN-based sensors, they may display advantages in sensitivity and selectivity. These devices, in general, are made by introduction of ORs into heterogeneous cells, therefore allowing researchers to select specific ORs for better sensitivity and selectivity. Studies from Nobuo et al. and Sindhuja et al. are typical examples [66–68]. Nobuo et al. have made insect ORs expressed in oocytes (Fig. 6.12) and have measured currents from cells expressing heterogeneous populations of ORs. Although this device can not react to diverse functional groups of chemicals, it has displayed high sensitivity and selectivity to specific functional groups such as $-\text{OH}$, $-\text{CHO}$ and $-\text{C}(=\text{O})-$.

Moreover, Sindhuja et al. conducted similar experiments focused on selectivity and sensitivity. Both of these studies involved food safety applications; one used an OR-based biosensor with specialized selectivity to alcohols and the other attempted to increase sensitivity by an odorant binding protein [67, 68].

6.5 Conclusion

We here have overviewed neurobiology and cultivation of ORNs for the use of cell-based sensors. Moreover, we have also discussed bioengineered cells heterogeneously expressing ORs for uses of biosensor chips [5–9, 15–17, 44, 45, 48, 54].

The development of cell-based sensors has been advanced due to progress in the areas of general cultivation techniques, genetic engineering, and micro and nano fabrications. Simultaneously, the demand for cell-based sensors has increased dramatically due to a proliferation of industrial applications. ORNs can discriminate millions of distinct chemicals, and therefore represent prime candidates for the detector portion of cell-based sensors. The common features of ORN-based sensor devices are discrimination of chemicals by specific ORs and measurement of electrical activities from ORNs upon odorant stimulation. The differential advantage of such devices is the promise of non-invasive and long-term measurements with fast responses and high sensitivity. Although OR-based biosensors have demonstrated high sensitivity and selectivity, ORN-based biosensors require improvement predicated on further elucidation of the neurobiology of olfactory signal transduction.

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Chapter 7

Production of Olfactory Receptors Using Commercial *E. coli* Cell-free Systems

Karolina Corin, Xiaoqiang Wang and Shuguang Zhang

Abstract The first bottleneck in olfactory receptor (OR) structural and functional studies is to produce sufficient quantities of soluble, functional, and stable receptors. Other production systems have been used and summarized in other chapters of this book. We here show that commercial cell-free *in vitro* translation systems can be used to produce milligrams of soluble and functional olfactory receptors within several hours directly from plasmid DNA with select optimal detergents. These olfactory receptors can be purified using immunoaffinity 1D4 monoclonal antibody rhodopsin-tag and gel filtration, and can be analyzed using gel electrophoresis and with other standard techniques. The olfactory receptors and other scent-related receptors produced by the cell-free method fold properly and are able to bind their odorants.

7.1 Production of Olfactory Receptors is Required for Study and New Technology

The molecular basis of olfaction is poorly understood, primarily due to the extreme difficulty of producing sufficient quantities of soluble and functional olfactory receptors (ORs). Olfactory receptors belong to the G protein-coupled receptor family, which is characterized by seven transmembrane helical segments arranged in a barrel-like conformation. These transmembrane regions, which include the receptor-binding pocket, can cause problems with protein expression, and make it difficult to functionally stabilize the receptors outside of their native membrane environment. Receptor production in eukaryotic or bacterial cells frequently encounters problems such as low yields, cell toxicity, protein degradation, protein inhomogeneity and aggregation in internal compartments or inclusion bodies [1–5]. Cell-free *in vitro* translation is an alternative and enabling method allowing for rapid, cost-effective, high-yield protein expression [6–11]. The produced olfactory receptor proteins can

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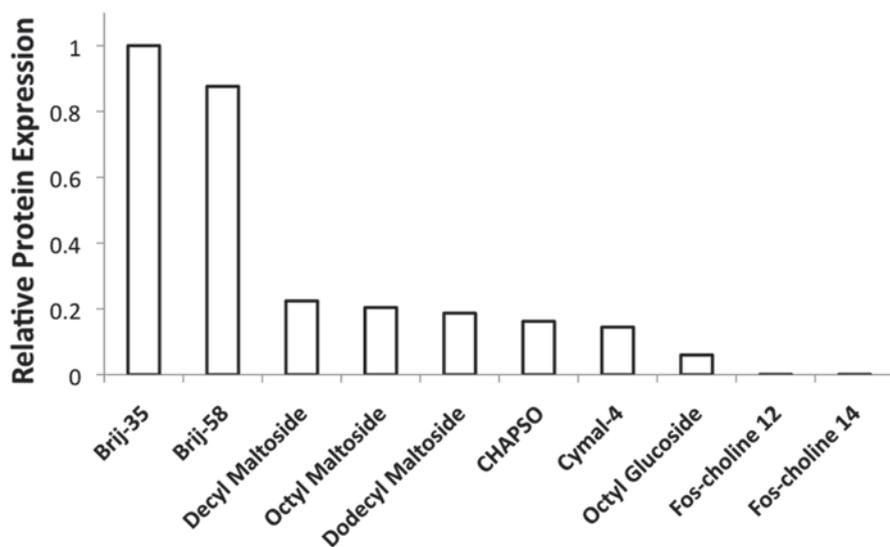


Fig. 7.1 Detergent screen for cell-free GPCR production. Detergent screen showing the relative expression of hOR17-210 in 10 different detergents. The Brij35 and Brij58 detergents yielded 4–5 times more protein than the next best detergent that was tested [8]

be purified using the affinity column chromatography of a monoclonal antibody 1D4 to very high purity [12–17], followed by a conventional gel filtration chromatography.

7.2 Cell-Free Olfactory Receptor Protein Production

Cell-free expression is an established technology for producing soluble proteins. This can be adapted for membrane proteins by including an appropriate detergent in the reaction mixture [7–11] (Fig. 7.1). Indeed, using the optimal detergent, it is possible to use cell-free systems to rapidly produce milligram quantities of receptors within several hours directly from plasmid DNA. Immunoaffinity chromatography and gel filtration chromatography can then be used to purify the expressed protein for structural and function studies (Fig. 7.2) [12–17].

Our study demonstrates that cell-free membrane protein production is a useful technology for expressing milligrams of olfactory receptors and other GPCRs (Table 7.1). The receptors could be purified to ~90% purity using immunoaffinity chromatography alone. CD measurements on a subset of purified olfactory receptors and other GPCRs showed that they had the predicted secondary structures, which suggests that they were properly folded (Fig. 7.3). Microscale thermophoresis indicated that the cell-free produced olfactory receptors and other GPCRs were functional by showing that the purified receptors could bind their

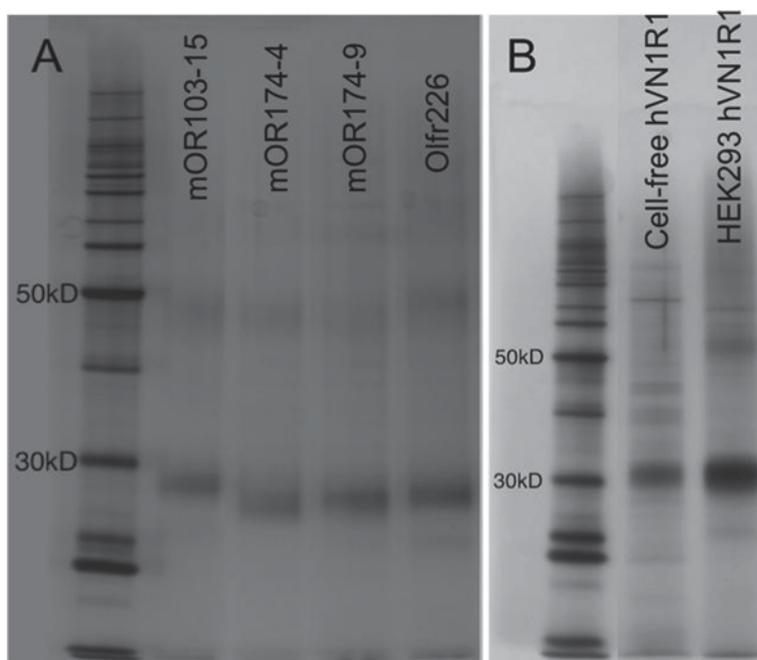


Fig. 7.2 Silver stains of purified olfactory receptors. **a)** Four cell-free expressed olfactory receptors. **b)** Comparison between cell-free and HEK293 expressed scent-related hVN1R1. Most olfactory receptors could be purified to >90% purity, and all showed two *bands* characteristic of a monomer and a dimer. The cell-free and HEK293 expressed receptors run at the same size, and have similar purities

reported small-molecule ligands (Fig. 7.4). Comparison of HEK293 and cell-free expressed protein (Figs. 7.2, 7.3 and 7.4) suggests that cell-free systems are a practical alternative to cell-based platforms for producing olfactory receptors and other GPCRs.

Although cell-free production is a mature technology for soluble proteins, very few membrane proteins have been produced, largely due to the lack of suitable detergents, requiring laborious detergent screens. We found that Brij-35 seemed to consistently be the optimal detergent for olfactory receptors and scent-related GPCRs. Brij-35 may not be optimal for all membrane proteins or GPCRs; the Brij family of detergents may function best with cell-free olfactory receptors and scent-related GPCRs and perhaps other membrane protein expressions. While the best detergent for protein production may not be the best detergent for downstream applications, we have shown that a single detergent exchange with FC14 is possible without compromising olfactory receptor's and other GPCR's structure and function. Since FC14 has been used to obtain protein structures, it should be possible to couple cell-free expression with crystal screens or NMR structural studies.

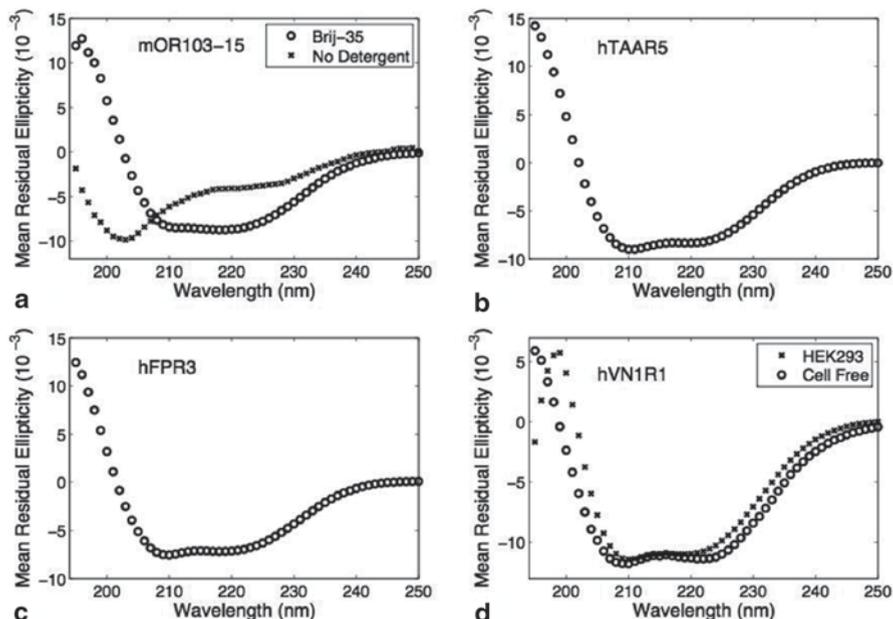


Fig. 7.3 Circular dichroism spectra of 5 purified scent-related GPCRs. **a)** Cell-free expressed mouse olfactory receptor mOR103-15 made with Brij-35 or no detergent, **b)** Cell-free expressed hTAAR5, **c)** Cell-free expressed hFPR3, and **d)** Cell-free and HEK293 expressed hVN1R1. All purified GPCRs have characteristic alpha-helical spectra, except mOR103-15 made without detergent. Since GPCRs have 7-transmembrane helices, and an overall α -helix content of $\sim 50\%$, the CD spectra suggest that these receptors are properly folded. The near overlap of the spectra for cell-free and HEK293 expressed hVN1R1 suggests that both receptors are properly folded, and further indicates that cell-free produced scent-related receptors are comparable to those expressed in mammalian cells

In order to accelerate membrane protein structure and function studies, it is absolutely vital to develop simple, straightforward methods of producing sufficient quantities of membrane proteins. Commercial cell-free kits offer an attractive alternative to cell-based systems. Milligrams of protein can be produced within hours directly from plasmid DNA. The produced proteins can be purified quickly using conventional methods, and are amenable to detergent exchange for downstream applications. Using commercially available kits, the necessary reagents are easily and widely available, and results are reproducible. Although the 13 olfactory receptors and other scent-related GPCRs represent a small fraction of all receptors, it is the largest number presented in a single study with the same cell-free production method. Our ability to produce significant quantities of olfactory receptors and other GPCRs using commercial cell-free systems demonstrates the usefulness of this technology in the field. Indeed, the critical production bottleneck in membrane protein studies may potentially be overcome. Structure and function studies of olfactory receptors and other GPCRs may be stimulated and accelerated in the coming years.

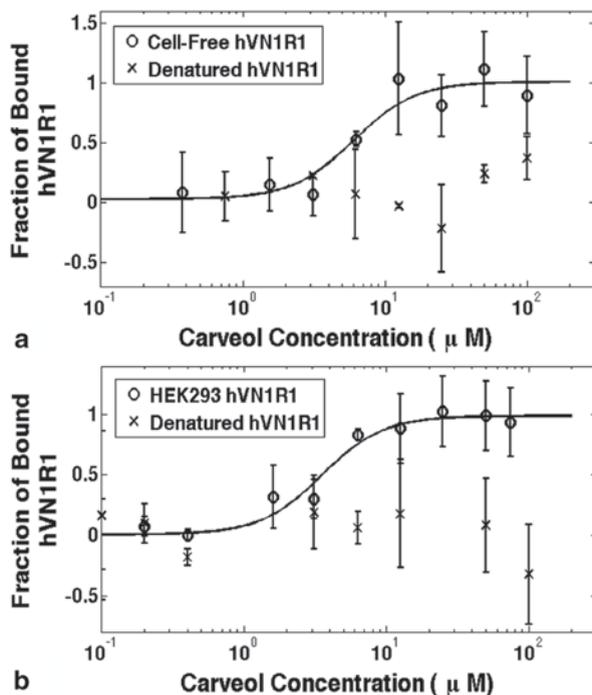


Fig. 7.4 Microscale thermophoresis measurements of purified GPCRs. **a)** Cell-free expressed hVN1R1 with and without heat-denaturation. **b)** HEK293 expressed hVN1R1 with and without heat-denaturation. The non-denatured receptors show typical sigmoidal binding curves, with plateaus at low and high concentrations. Cell-free expressed hVN1R1 has an EC_{50} of $6 \pm 2 \mu\text{M}$, and HEK293 expressed hVN1R1 has an EC_{50} of $3.5 \pm 0.7 \mu\text{M}$. The heat-denatured controls had flat responses or random amplitudes throughout the ligand titration range. These results show that hVN1R1 is binding carveol. Furthermore, the similar EC_{50} values and binding curves in **a)** and **b)** demonstrate that cell-free produced receptors function as well as HEK293 expressed receptors. The curves were normalized to the fraction of bound receptor. Each data point represents the mean of 3 independent experiments; error bars show the standard deviation. The binding curves were fit to the Hill equation. The binding results shown are representative of the data from other binding measurements

7.2.1 Reagents for Cell-Free Expression in Test Tubes

1. *E. coli* lysate (RiNA GmbH, <http://rina-gmbh.eu/>, Qiagen and Life Technologies). The lysate should be stored at -80°C , thawed on ice, and used within 4 h of defrosting. It can be re-frozen and thawed once for optimal results. Repeated freezes and thaws of the lysate may not produce reproducible results. Small aliquots should be made from larger volumes of lysate for future uses.
2. Reaction buffer. The buffer should be stored at -80°C , thawed on ice, and used within 4 h of defrosting. It can be re-frozen and thawed once for optimal results.

Table 7.1 Solubility and maximum yields of GPCRs produced using cell-free *in vitro* translation in the presence of Brij-35

GPCR	% solubility	Yield (mg) ^a	GPCR %	Solubility	Yield (mg) ^a
Olf226	86±8	3.7	hOR17-209	88±4	2.5
mOR33-1	85±2	5.9	hOR17-210	91±2	4.5
mOR103-15	90±4	4.5	hFPR3	83±5	5.5
mOR106-13	86±13	2.4 ^b	hTAAR5	90±1	4.5
mOR174-4	89±2	2	hVN1R1	88±0.1	0.4
mOR174-9	86±3	6	hVN1R5	85±2	1 ^b
mOR175-1	81±8	2.5			

^a Milligrams of receptor that could be produced in a 10 ml cell-free reaction. These yields were calculated from smaller batches of protein purified using immunoaffinity chromatography. Experiments showed that up to 1 mg/ml of protein could be produced, but that up to half could be lost during the purification process. The yields were determined by spectrophotometer readings

^b These yields were calculated by comparing the intensities of the receptor samples against a sample with a known concentration

Aliquots can be made for larger volumes of buffer. The specific buffer varies with each kit, but contains all essential amino acids, RNA polymerases, ribosomes, elongation factors, etc.

3. Sterile, DNase-free and RNase-free water
4. Non-ionic Detergent Brij-35 [2% (10X) or 10% (50X) concentration]
5. Olfactory receptor gene ligated into the pIVex2.3d plasmid vector (Life Technologies)

7.2.2 Cell-Free Protein Production

1. Thaw the *E. coli* lysate, reaction buffer, and DNA on ice.
2. Add 175 µl of the *E. coli* lysate to a sterile, DNase-free, RNase-free Eppendorf tube. Add the plasmid to the lysate so that the final DNA concentration is 1 µg/100 µl.
3. Add DNase-free and RNase-free water so that the final volume of the cell-free reaction will be 500 µl.
4. Add Brij-35 to a final concentration of 0.2% w/v.
5. Add 200 µl of the reaction buffer, and mix thoroughly with a pipette.
6. Briefly (~5 s) spin down the Eppendorf tube.
7. Place the cell-free reaction in an Eppendorf rack in a shaking incubator at 33 °C and 250 rpm for 1 h.
8. After the reaction is complete, spin it down in a microcentrifuge for 5 min at 10,000 rpm.
9. Carefully transfer the supernatant to a fresh tube without disturbing the pellet. The supernatant contains solubilized receptor.
10. The synthesized receptors can be purified or run on an SDS-PAGE gel immediately, or stored at -20 °C for longer periods of time.

7.3 Olfactory Receptor Purification

After production of olfactory receptors, they need to be purified from the cell-free system for subsequent studies and uses. We adopted an affinity purification system using a rhodopsin tag that was developed by Khorana and colleagues [18]. This monoclonal antibody-coupled bead has very high specificity and binding capacity. In most cases, a single step can purify olfactory receptor and other rho-tag proteins to near homogeneity [9–17]. Before affinity purification, the special antibody-coupled beads need to be prepared. It is described below.

7.3.1 Monoclonal Antibody *Rho1D4* Bead Coupling

1. Rho1D4 monoclonal antibody (Cell Essentials, <http://www.cell-essentials.com/>) at 2–8 mg/ml (Cell Essentials, hybridoma 1B4-1) in coupling buffer (0.25 M NaHCO₃, 0.5 M NaCl, pH 8.3, in milliQ water). If the antibody is not in the correct coupling buffer upon arrival, it must first be first dialyzed into the coupling buffer above. Otherwise, it will not couple very well and with low yield.
2. CNBr-activated Sepharose 4B (GE Healthcare)
3. Coupling buffer: 0.25 M NaHCO₃, 0.5 M NaCl, pH 8.3, in milliQ water
4. HCl buffer: 1 mM HCl in milliQ water
5. Ethanolamine buffer: 1 M ethanolamine, pH 8.0 in milliQ water
6. Acetate buffer: 0.1 M NaOAc, 0.5 M NaCl, pH 4.0 in milliQ water
7. Sodium azide buffer: 0.05% NaN₃ in PBS, pH 7.2

7.3.2 Method for *Rho1D4* Monoclonal Antibody-Sepharose Bead Coupling

1. Suspend the sepharose beads in HCl buffer. The hydrated beads will swell: 1 g of bead powder will yield approximately 3.5 ml of bead slurry.
2. Wash the beads for 15 min with the HCl buffer in a sintered glass funnel and vacuum flask. The beads should be resuspended in the buffer as they fall out of solution. After ~1 min, a vacuum should be applied until the liquid is removed. Do not over dry the beads. Approximately 200 ml of HCl buffer should be used per gram of bead powder. Several aliquots may be necessary.
3. Add the washed beads to the antibody in coupling buffer. Add 20 ml of bead slurry to 130–200 mg of antibody. The ratio should be 5–10 mg of antibody per ml of bead slurry.
4. Rotate the slurry/antibody solution at 4°C until the antibody is bound to the beads. The antibody concentration in the supernatant can be monitored by measuring its absorbance at 280 nm. When the concentration is below 5% of the

original concentration, the binding reaction is complete. This procedure takes 4 h to overnight.

5. Remove the supernatant after the binding reaction is complete by spinning down the beads for 5 min at 2,000 rpm.
6. Remove excess antibody by washing the beads with 5 slurry volumes of coupling buffer.
7. Block remaining active groups with the ethanolamine buffer. Add a volume equal to the original supernatant volume, and rotate overnight at 4°C or 2 h at room temperature.
8. Remove excess uncoupled antibody by washing the beads 4 times alternating between coupling buffer and acetate buffer. Use a sintered glass filter, and a wash volume at least 5 times the original slurry volume.
9. Suspend the beads in 1 slurry volume of sodium azide buffer, and store them at 4°C.

7.3.3 Affinity Olfactory Receptor Purification Using Rho-Tag Monoclonal Antibody

1. Rho1D4 monoclonal antibody-coupled sepharose beads
2. DPBS (Life Technologies, 14190-250)
3. DNaseI (Life Technologies, 18047-019)
4. RNaseA (Life Technologies, 12091-039)
5. Sterile filtered water (0.22 µm) with a resistivity of at least 18 MΩ-cm.
6. Wash buffer: 0.2% fos-choline-14 (FC14) (Anatrace/Affymatrix). This is made from a 10% FC14 stock solution in DPBS.
7. Elution buffer: 800 µM elution peptide Ac-TETSQVAPA-NH₂ (with an acetylated N-terminus and amidated C-terminus) dissolved in wash buffer.
8. High pH buffer: 0.1 M Tris-HCl, 0.5 M NaCl, pH 8.5.
9. Low pH buffer: 0.1 M sodium acetate, 0.5 M NaCl, pH 4.5.

7.3.4 Olfactory Receptor Purification Using 1D4 Rho-Tag Monoclonal Antibody

1. Pipette the necessary amount of antibody-coupled beads into a fresh tube. Mix the beads first by gently shaking them to ensure that they are homogeneously suspended. The binding capacity of fresh beads is ~0.7 mg/ml, and the capacity of regenerated beads is ~0.35 mg/ml.
2. Wash the beads with DPBS to remove excess sodium azide. Spin the beads down at 1,400 rpm for 1 min, and then let them sit for a minute to allow them to completely settle to the bottom of the tube. Using a pipette, slowly remove the supernatant without disturbing the bead pellet. Add one bead volume of DPBS

to re-suspend the pellet. Repeat this process three times. After the last repetition, do not add more DPBS.

3. Add the supernatant from the cell-free reaction to the washed beads.
4. Add 1 μ l of DNase and 1 μ l of RNase for each ml of cell-free reaction volume.
5. Rotate the supernatant with the beads overnight at 4 °C to capture the synthesized protein.
6. After the overnight rotation, spin the beads at 1,400 rpm for 1 min, and let them sit for 1 min to allow the bead pellet to settle. Remove the supernatant and transfer it to a tube labeled FT (Flow Thru). Save a small sample of the FT for analysis, and freeze the remainder at -80 °C in case the beads did not capture all of the synthesized receptors. Add one bead volume of wash buffer to the beads, and rotate at 4 °C for 10 min.
7. Wash the OR-bound beads to remove any impurities. For each wash, spin the tube at 1,400 rpm for 1 min, and allow it to sit for 1 min. Carefully remove the supernatant without disturbing the bead pellet, and transfer it to a fresh tube (labeled Wash 1, Wash 2, etc). Add 1 bead volume of wash buffer, and rotate at 4 °C for 10 min. Repeat this process until the absorbance at 280 nm of the removed supernatant is less than 0.01 mg/ml. Typically, 13–20 washes are required. The washes can be run overnight at 4 °C if necessary.
8. Elute the synthesized ORs from the beads. Add one bead volume of elution buffer to the beads, and rotate at room temperature for 1 h. Spin the beads at 1,400 rpm, and let them sit for 1 min. Carefully remove the supernatant without disturbing the bead pellet, and transfer it to a fresh, clean tube (labeled Elution 1, Elution 2, etc). Repeat this process until the absorbance of the removed supernatant at 280 nm is less than 0.01 mg/ml. The supernatant contains the synthesized receptors. Typically, 5–7 elutions are required. Any of the elutions can be run overnight at 4 °C if necessary.
9. The washes and elutions can be stored at 4 °C until they are ready for use.
10. The elutions can be pooled and concentrated in centrifugal units with 50 kDa molecular weight cut-off filters. If residual elution peptide must be removed (i.e. for circular dichroism), then the protein must be washed on the centrifugal units with excess wash buffer. 10 ml of wash buffer is usually sufficient to remove elution peptide from a concentrated protein sample with a total volume of 300 μ l. If the receptors will be run on a size exclusion column, they must be concentrated to a volume that will fit in the loading loop. The receptors should be concentrated immediately prior to being loaded on the column to minimize aggregation and precipitation.
11. Used beads can be regenerated for re-use by washing them with 2–3 column volumes of alternating high pH (0.1 M Tris-HCl, 0.5 M NaCl, pH 8.5) and low pH (0.1 M sodium acetate, 0.5 M NaCl, pH 4.5) buffers. This cycle should be repeated 3 times followed by re-equilibration in binding buffer.

7.4 Gel Filtration for Further Purification

In order to separate the monomers from dimers, trimmers and multimers, it is important to further purify the olfactory receptors using conventional gel filtration method. This is to fractionate dimers, trimers and multimers for subsequent studies.

7.4.1 *Materials and Reagents Required for Gel Filtration*

1. Amicon Ultra Centrifugal Filter Units with Ultracel membranes (Millipore, 50 kDa MWCO membranes)
2. Sterile 96-well plates with V-shaped bottoms, ~500 μ l/well capacity
3. Wash buffer: 0.2% FC14 in DPBS, sterile filtered through 0.22 μ m filters

7.4.2 *Gel Filtration Chromatography*

1. Equilibrate the gel filtration column with at least 1–2 column volumes of wash buffer. We use a HiLoad 16/60 Superdex 200 column (GE Healthcare) on an ÄKTA Purifier FPLC system (GE Healthcare).
2. Load the freshly concentrated OR sample into the system.
3. Run the system at 0.3 ml/min, and monitor the UV absorbance at 215 nm and 280 nm. The monomeric form of our receptors typically exits the column at 60–65 ml. We collect the first 40 ml in a clean bottle. The remainder is collected in four 96-well V-bottom plates with 100 μ l in each well.
4. Pool the appropriate eluted protein fractions together.
5. Concentrate the pooled fractions to the desired volume or concentration, and store them at 4 °C until they are ready for further analysis. Samples can be kept at –80 °C for long-term storage and should only be thawed once as repeated freeze-thaw cycles can induce protein aggregation.

7.5 Notes

1. Brij-35 has been the optimal detergent in our experiments. However, other groups have found other detergents to be optimal for their GPCRs, especially other polyoxyethylenes related to Brij-35 [7]. A preliminary detergent screen in which the cell-free reaction volumes are scaled down to a total volume of 25–50 μ l may be necessary.
2. The olfactory receptor genes have a 5' NcoI site and a 3' XhoI site for ligation. They also have a C-terminal bovine rho1D4 epitope tag (TETSQVAPA) for puri-

fication followed by a double stop codon, and have potential glycosylation sites removed. The codons were optimized for *E. coli* expression.

3. The volumes listed here are for a total reaction volume of 500 μ l. The volumes can be scaled up (to 5 ml) and down (50 μ l) as necessary.
4. These are the optimal temperature, time, and rotation speed for the receptors we tested. We did not notice significant differences in olfactory receptor yield with longer incubation times (up to 6 h), rotation speeds up to 300 rpm, and at temperatures between 30 and 37 °C. However, the optimal conditions may vary with different receptors. In particular, lower temperatures can increase the yield of soluble receptor, while higher temperatures can increase the total receptor yield.

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Chapter 8

Production of Olfactory Receptors and Nanosomes Using Yeast System for Bioelectronic Nose

Marie-Annick Persuy, Guenhaël Sanz, Aurélie Dewaele, Christine Baly and Edith Pajot-Augy

Abstract Olfactory receptors (ORs) constitute the largest multigenic G protein-coupled receptor family, and are involved in the recognition of thousands of odorant molecules. However, if most of these ORs are identified on the basis of their DNA sequences, they are still unmatched to their natural ligands, and their deorphanization remains a challenging bottleneck. To identify odorant-olfactory receptor pairs, various techniques have been developed to clone and produce ORs in cells, to allow the screening of their response to odorants. During the last decade, various ORs were successfully expressed in *S. cerevisiae*, and many improvements achieved. This chapter reviews the latest developments of yeast-based technologies to produce ORs and test their functional response.

8.1 Introduction

Olfaction in mammals is mediated by a repertoire of several hundreds to more than a thousand olfactory receptors (ORs), which are G protein coupled receptors (GPCRs). Like other GPCRs of the class R family [1], ORs are predicted to have a alpha-helical structure with 7 transmembrane domains (named TM I to TM VII), a short extracellular N-terminal part outside the plasma membrane and a C-terminal region inside the cytoplasm. The knowledge of many complete mammalian genomes

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and the fact that ORs coding sequence extends on a single exon, gives the possibility to clone easily any of the OR cDNA sequences from genomic DNA and to insert them in expression vectors to produce these ORs in various heterologous expression systems, such as bacteria, mammalian or insect cells, *Xenopus laevis* oocytes or yeast cells (see other chapters).

However, a major hindrance to functional expression of ORs in heterologous systems has been the tendency of ORs to remain sequestered in the endoplasmic reticulum of the cells, probably due to inefficient folding. This process results in the formation of aggregates and in the degradation of ORs within the trafficking pathways, thus resulting in low levels of expression at the plasma membrane [2, 3]. The failure of ORs to translocate efficiently to the plasma membrane was also associated with the absence of adequate accessory proteins and chaperones in non-native cells, or with the absence of glycosylation at the N-terminus of the OR [4]. However, ORs do not even traffic well to the plasma membrane when overexpressed in a cell line derived from olfactory epithelium (ODORA cells) that exhibits some olfactory sensory neuron characteristics [5–7]. Yet, lentivirus-driven expression of heterologous ORs in cultured olfactory sensory neurons was successful [8].

Yeast constitutes an attractive system to study mammalian GPCRs, since it carries very few endogenous GPCRs (Ste2/Ste3: pheromone receptors, Gpr1: glucose receptor), contrary to mammalian cells. As an eukaryotic system, it allows the glycosylation of expressed proteins. Moreover, yeast cells could provide a means for detailed investigation of receptor pharmacology through the use of sensitive reporter systems taking advantage of the functional homologies between yeast pheromone and mammalian GPCR signalling pathways. *Saccharomyces cerevisiae* was first used to functionally express many different GPCRs [9–15] before being optimized as a host system for expressing ORs and for efficient coupling to a signalling pathway able to produce a measurable response to odorant stimulation [16–19].

8.2 ORs cDNA Sequences Cloning Strategies

Since an OR can recognize a few or multiple odorant molecules with different affinities and each odorant can be detected by a specific combination of ORs and because of the important number of expressed ORs, most of them are still orphan receptors. Firstly, guided strategies using ORs with identified odorant ligands were used, so as to test yeast strains, plasmid constructs, to monitor OR expression and response upon stimulation by the odorant, and to check odorant specificities [16–18].

Secondly, non-targeted strategies were used to identify ORs specific of odorants of interest, so as to identify new odorant-receptor couples. For this purpose, Radhika et al. cloned a library of cDNA inserts encoding the ligand-binding domains of rat ORs, derived from rat olfactory epithelium, into a yeast expression vector [18]. After several screenings of the transformed yeasts with an odorant of interest, isolation of the plasmids from the responding yeast cells and sequencing of the cDNA inserts, they succeeded in identifying a new rat OR specific to this odorant.

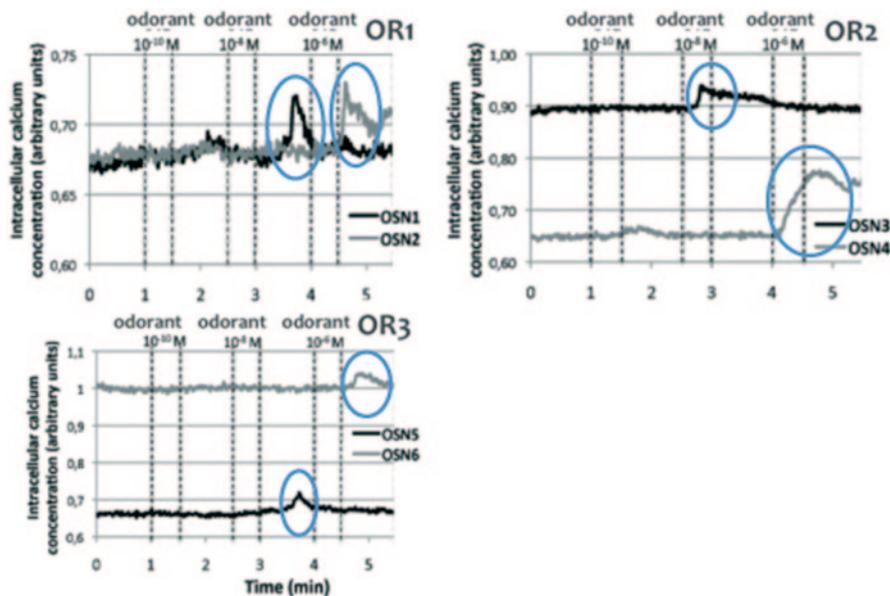


Fig. 8.1 Calcium imaging on dissociated cells stimulated by a flow of diluted target odorant in normal saline buffer at the indicated concentration during the periods in-between the *dashed* vertical lines. *OSNs* 1–6 respond to various odorant concentrations by transitory intracellular calcium pulses. *OSNs* 1 and 2, *OSNs* 3 and 4, *OSNs* 5 and 6 were shown to host *OR1*, *OR2*, and *OR3*, respectively

Our lab followed yet another strategy, requiring several preliminary steps to increase the chances of success [20]. Since the expression of most ORs is spatially restricted in the olfactory mucosa, we first explored which of the 4 main turbinates best responds to the target odorant by performing ElectroOlfactoGram (EOG) recordings on rat olfactory mucosa [21]. Different doses of the target odorant were applied in a vapor phase to potentially stimulate and locate different responding neurons. The olfactory tissue from the best responding turbinates was dissected and prepared to plate freshly dissociated cells as described [22]. We then combined calcium imaging analysis of cells stimulated using the target odorant and single-cell reverse transcription of collected cells, as previously described [23, 24]. Figure 8.1 shows the calcium response profile of representative responding cells stimulated with increasing odorant concentrations. The whole cell content of individual responsive neurons was collected and used for mRNA retrotranscription. Controls corresponding to nonresponsive cells or culture medium were also prepared in parallel. The sequence of the OR expressed in each neuron was amplified by nested-PCR using 2 pairs of rat degenerate primers designed to match the highest proportion of olfactory receptors sequences. This design is not trivial because of the large number of OR genes in a given species and of their highly variable sequences, which prevents a total covering of the OR sequences, even using degenerate primers positioned over highly conserved sequences. Indeed, identities range

from 38 to 90%, with a hypervariability of amino acids lining the odorant binding pocket (TMIII to VII and extracellular loop 2) [25–30], related to the diversity of the odorants that ORs can bind. Final PCR products were sequenced so as to identify the ORs expressed in the responsive neurons. Although this multi-step approach is risky, this protocol allowed us to identify several ORs for a given target odorant. Finding several receptors responding to one odorant is not surprising because the number of ORs specific for a given odorant is still unknown [31] and can vary greatly depending on the odorant. However, since single cell approach often gives false positive results, it is necessary to check the functional response of the identified ORs by expressing them in heterologous expression systems, such as *S. cerevisiae*.

8.3 Yeast Plasmid Constructs and Yeast Strains

The yeast *S. cerevisiae* growth characteristics and genetics have been well known for years, making it a suitable host for OR expression.

8.3.1 Plasmids Constructs

The pJH2 plasmid used for the first time for a successful expression of a GPCR in yeast [9] also allowed expression of 2 different ORs in *S. cerevisiae*, under the control of the galactose-inducible GAL1 promoter combined to the GAL4 synthesis under the control of the GAL10 inducible promoter [16, 17]. Indeed, overexpression of the GAL4 protein has been shown to increase the expression of the OR cDNA under the control of the GAL1 promoter [32]. Insertion of the OR cDNA in pJH2 was obtained by homologous recombination. However, this plasmid was not useable to create cassette plasmids.

Following these pioneers' works, new expression vectors (pESC vectors) were developed by Stratagene to express heterologous proteins in yeast cells. From these vectors, three different types of shuttle plasmids were developed.

Because the N-terminal region of GPCRs often plays an important role in expression or membrane trafficking of the protein and the C-terminal region interacts with the G protein, Radhika et al. constructed an expression cassette vector which contains an insert encoding the N-terminal part (amino acids 1 to 61) and the C-terminal part (amino acids 295 to 327) of the rat ORI7, separated by multiple cloning sites in which the ligand-binding pocket of any OR can be inserted in frame [18].

Fukutani et al. also used two different N terminal parts of the I7 cDNA sequences to construct chimeric ORs, and cloned them into a pESC vector [19]. These chimeric ORs (32 or 58 amino acids from the ORI7) were translated with the c-myc tag of the vector at their N-terminus.

Alternately, pESC vectors were modified as described in Wade et al. to allow the expression of ORs tagged at their N terminus with either the c-myc or the human

influenza hemagglutinin (HA) tag [33]. Basically, pESC vectors are designed for expression of genes under the control of *GAL1* or *GAL10* promoters. The plasmids were modified by cloning the coding sequence of OR under the control of the *GAL1* promoter, and the *GAL 4* sequence under the control of the *Gal10* promoter to achieve the over-induction of OR synthesis as with pJH2-OR vectors (see above).

8.3.2 Yeast Strains

Two different strategies, both based on growth of transformed yeasts on selective media, were adopted by different labs to establish prototypic *S. cerevisiae* yeast strains.

The first strategy was to develop strains in which several modifications of the mating signal transduction pathway allow agonist-induced growth on selective medium (a-b) or expression of a reporter gene (c). (a): in the LY790 strain (*MATa/MATa ura3-52 lys2-801 ade2-101 trp1Δ63 his3Δ200 leu2-Δ1 ste2::LEU2 sst2ΔADE2 gpa1Δfar1Δ*), genes encoding Ste2 (endogenous GPCR) and Gpa1 (endogenous $G\alpha$ subunit) were respectively replaced by the OR17 cDNA and the chimeric Gpa1-Gai2 or Gpa1-Golf cDNA. Activation of the OR by odorant stimulation induces the G protein dissociation and triggers the mating signal transduction pathway. One of the genes activated by this pathway is the *FUS1* gene. Its replacement by the *HIS* reporter gene provides the His3 enzyme necessary for histidine biosynthesis, thus enabling growth in a selective medium without histidine. The *SST2* gene, which encodes the negative regulator of Gpa1 subunit, is also deleted to increase OR response to its odorant agonist [16]. (b): in the MC20 strain (*MATa gpa1::lacZ[LEU2] ade2-1 his3-11,15 leu2-3,112 trp1-1,ura3-1, can1-100,sst2Δ*), the *SST2* gene is also deleted and the *GPA1* gene is inactivated by disruption. Odorant stimulation of the OR activates the mating signal transduction pathway, then inducing the expression of the hygromycin phosphotransferase (*Hph*) gene under the *FUS1* promoter, thus allowing growth in presence of hygromycin. In this strain, the *STE2* gene is still present and the stimulation of the mating signal transduction pathway by the α -factor provides a positive control of growth [16]. (c): The *S. cerevisiae* BY4741 strain (*MATa his3Δ1 leuΔ0 met15Δ0 ura3Δ0*) [34] was also modified by disruption of the *STE2* and *SST2* genes [35]. An OR was functionally expressed on the yeast membrane instead of the endogenous Ste2 receptor. Replacement of the *FUS1* gene by the luciferase gene enabled Fukutani et al. to detect a luminescent signal after OR activation [19]. In a derived strain, the endogenous $G\alpha$ protein Gpa1 was also replaced by the OR-specific $G\alpha$, *Gaolf*.

The second strategy was to create a *S. cerevisiae* strain in which the sequences of the whole mammalian olfactory transduction pathway are introduced to couple OR functional response with this heterologous transduction pathway [18]. In olfactory neurons, signalling by OR specifically involves the *Gaolf* subunit, and the subunits *Gβ2* and *Gγ5* are preferentially expressed [36, 37]. In the present strategy, the YPH501 (*MATa/MATa ura3-52 lys2-801 ade2-101 trp1Δ63 his3Δ200 leu2-Δ1*)

yeast cells were transformed using rat cDNAs encoding $G\alpha_{olf}$, ACIII, $G\beta_2$ and $G\gamma_5$. To engineer a cAMP-responsive reporter gene-activation system in these yeast transformants, the cDNAs encoding the human cAMP response element binding protein (CREB) and the CRE-driven green fluorescent protein (GFP) were then introduced to generate the WIF-1 α strain. This WIF-1 α strain, transformed by a library of pESC-OR, was suitable for screening odorants of interest [18].

8.4 Strategies Used for OR Expression Optimization

Monitoring OR expression requires classical biochemical approaches such as Western Blotting using whole cell lysates [18, 19] or total membrane fractions [17], or immunocytochemistry to check the localization of the OR on the plasma membrane of the recombinant yeasts. Due to the lack of specific antibodies directed against each OR, the primary antibodies used for these techniques often recognize a tag localized at the NH₂-terminus of the OR, mostly c-myc or HA. These specific antibodies can be used for the relative quantification of the expression level of the ORs.

Improving the OR expression level in yeast cells relies on optimization of galactose induction conditions (in temperature and duration). It may also depend on the expression plasmid, and on the recombinant OR construct.

8.4.1 Improvement of Galactose Induction Conditions

Yeast colonies are grown in medium containing glucose, usually at 30 °C, until exponential growth is reached (1–2 OD). All the yeast expression plasmids used allow the induction of OR expression in medium supplemented with galactose (see plasmid constructs). The induction is started after cells washing and dilution to an OD 600 of 0.5 in medium supplemented with galactose. For their experiments, some authors used classical conditions of induction at 30 °C and during a relatively short time (14 or 18 h respectively) [18, 19]. Several improvements of culture conditions were then considered, in order to optimize ORs expression yields.

8.4.1.1 Optimization of Induction Temperature

Hansen et al. had previously reported the crucial influence of induction time and temperature for heterologous expression of a rat GPCR (VPAC1) under the control of a galactose-inducible promoter in *S. cerevisiae* [38]. The highest specific ligand binding to the expressed receptor had been obtained after a galactose induction performed at 15 °C, reaching a plateau after 60 h induction, whereas an induction temperature of 30 °C resulted in the lowest amount of surface binding. In Pajot-Augy et

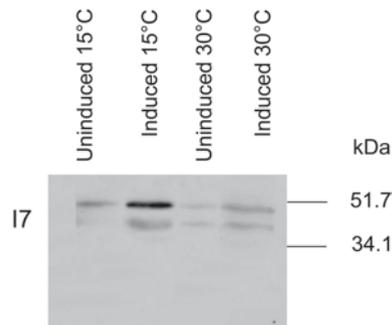


Fig. 8.2 Effect of galactose induction and temperature on the functional expression of the ORI7 in yeast. Strains were grown in minimal media with 2% glucose (uninduced) or in minimal media with 2% galactose (induced) at 15 or 30°C. A Western blot analysis of the ORI7 expression levels was performed on yeast membrane fraction (20 µg of protein per lane). The band near 52 kDa is attributed to the receptor dimer. (Adapted from Fig. 4 in [17], with permission)

al., induction was thus performed at 15–19°C for 60 h, before testing the functional response or ORs by growth in selective (histidine-deprived) medium, or at 20°C for 48 h prior to testing the functional response or ORs by hygromycin-resistance growth (see below; [16]). Galactose induction at temperatures higher than 20°C prevented the detection of a functional expression of the OR in terms of differential growth of the yeast strain in response to odorant stimulation. Temperature thus seems to play a major role in optimizing OR expression under galactose induction in these yeast strains.

Minic et al. monitored the effects of temperature on OR expression upon galactose induction by western blotting (Fig. 8.2) [17]. They observed that the ORI7 expression level was increased in membrane fractions from yeasts induced by galactose, at 15°C rather than 30°C. This effect can be ascribed in part to the low temperature positively affecting the yield of properly folded proteins along the trafficking pathways, and the insertion of the transmembrane domains in the plasma membrane [39]. An exceptionally high recombinant production of a membrane protein, human aquaporin-1 (8.5% of total membrane protein content), was obtained in *S. cerevisiae* at 15°C, a temperature which was mentioned as crucial for the correct protein folding [40]. It was also reported that a temperature downshift to 10–18°C leads to an induction of specific cold shock proteins, some of them able to serve as molecular chaperones [41]. Interestingly, among these yeast cold shock proteins, YOP1 is the homologous of mouse REEP1, one of the transmembrane proteins (RTP1, RTP2 and REEP1) known to increase the functional expression of several ORs in mammalian cells (HEK293) [42]. Chaperone proteins, as members of the Hsp70 family, are also endogenously expressed in mouse mature olfactory neurons and play an important role in ORs expression [43]. Moreover, at 15°C the yeast membrane composition changes to increase sterols concentration [44], allowing a better cryo-protection for cells. It was also reported that sterols play a decisive role

in GPCRs function in yeast, as demonstrated for the μ -opioid receptor [45], and that they can favor their insertion in the membrane [46]. Thus, several mechanisms induced by mild low temperature may simultaneously act to increase considerably ORs functional surface expression. A higher level of expression of GPCRs and ORs in the plasma membrane of insect cells in comparison with mammalian cells could also be partially explained by their relatively lower temperature of growth (27 versus 37 °C) [47–49].

8.4.1.2 Optimization of Induction Duration

The heterologous OR expression in yeast can be further characterized, especially in terms of kinetics of expression and ORs subcellular localization. Since it is expected that ORs are fully functional at the plasma membrane level, conditions for an improvement of ORs trafficking to the plasma membrane were investigated, especially by increasing expression induction time at 15 °C. For long induction times, refeeding yeast cells has already been described to improve protein synthesis, folding and trafficking [50]. Therefore, fresh induction medium was added and OD600 adjusted at 1 after 60 h of induction.

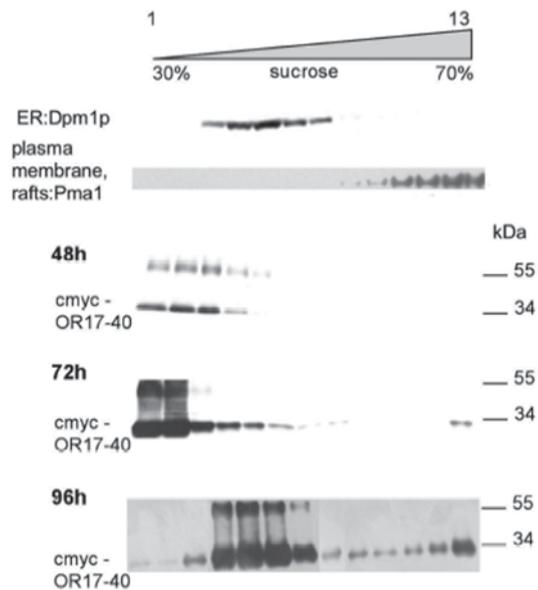
OR expression was monitored during up to 120 h of induction by preparing crude membranes. Internal and plasma membranes were separated using subcellular fractionation on sucrose density gradients, and analyzed by immunoblotting, using antibodies targeting the yeast plasma membrane marker Pma1 (anti-Pma1, Abcam) and the yeast endoplasmic reticulum membrane marker Dpm1p (anti-Dpm1p, Molecular Probes).

As shown in Fig. 8.3, the human OR17-40 receptor was mainly present in inner membranes, whatever the induction time until 72 h. After 72 h of induction, a detectable level of hOR1740 was observed at the plasma membrane, with a maximal expression at this level starting from 96 h of induction. Thus, even if global levels of production of heterologous receptors are high starting from 72 h of induction, the efficient trafficking of ORs to the plasma membrane requires induction times longer than 72 h, and may be further improved up to 108 h of induction. These results were confirmed with other ORs from various species (data not shown), showing that increasing the induction time improves OR trafficking to the yeast plasma membrane.

8.4.2 Expression Plasmids and Recombinant OR Constructs

The types of multicopy plasmids allowing the functional overexpression of OR in *S. cerevisiae* is limited: plasmids pJH2-17 based on pJH2, described by Price et al 1995 [9], with a divergent GAL1/10 promoter and GAL4 gene, and pESC vectors from Stratagene, used by several teams [18, 19, 33]. The level of hOR17-40 or OR17 expression from pJH2 constructs seems to be lower than from pESC constructs.

Fig. 8.3 Analysis of sucrose density gradient membrane subfractions by immunoblotting. A sucrose density gradient was realized using crude membranes of *S. cerevisiae* heterologously expressing the hOR17-40 receptor (after 48 h-72 h-96 h of induction in galactose medium at 15°C). Antibodies used: anti-Dpm1p (yeast endoplasmic reticulum (ER) marker), anti-Pma1 (yeast plasma membrane and raft marker), anti-cmyc (cmyc epitope tagging the hOR17-40 receptor)



For developing a yeast-based odor sensing system, Fukutani et al. investigated how the design of the N-terminus of a chimeric receptor can affect the expression level of the resulting OR [19]. Their results suggested that the replacement of the N-terminus of one receptor by the corresponding region of another one could modify the expression level of the OR. However, no systematic study has been carried out to monitor the precise impact of the N-terminus region or the addition of various tags on the expression level of ORs in yeast. But it seems that the coding sequence of the OR itself could influence its expression level.

8.5 Functional Tests

8.5.1 Growth in Selective Medium

Two different strategies were reported which offer a positive screening to monitor the functional response of ORI7 to different odorants [16]. The OR and *Gα* protein were introduced into the yeast cells such that they hijack the pheromone response pathway usually resulting in cell cycle arrest. The first strategy utilizes ligand-induced expression of a FUS1-HIS3 reporter gene, providing the His3 enzyme necessary for histidine biosynthesis, permitting growth on a selective medium lacking this amino-acid. The second strategy is to induce ligand-dependent expression of a FUS1-Hph reporter gene, conferring resistance to hygromycin. Validation of the systems was performed using rat ORI7 response to a range of aldehyde odor-

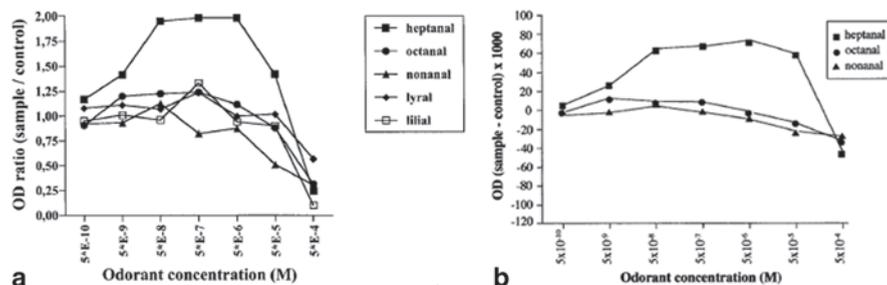


Fig. 8.4 Functional bioassays of yeast strain growth on selective medium, after OR expression by induction at mild cold temperature: **(a)** Differential yeast strain (I7/GPA1-Gai2) growth plotted as the ratio of optical density of yeast strain incubated for 3 days at 30 °C with odorants relative to that of yeast control, as a function of odorant concentration. For each sample, the control was prepared by replacing the initial amount of odorant by water. Optical density measurements were averaged on duplicate samples. **(b)** Ratio between optical densities of the yeast suspensions tested in liquid culture containing 200 $\mu\text{g}/\text{mL}$ hygromycin, in the presence and absence of odorant containing the same quantities of solvent, from samples prepared in triplicate. Experimental error on the ratios is 0.2. (Adapted from Figs. 4 and 6 in [16], with permission)

ants previously characterized as functional ligands. Of these, only heptanal produced a positive growth response in the concentration range 5×10^{-8} to 5×10^{-6} M. Figure 8.4 shows the results of both growth assays plotted in presence or absence of odorant. These experiments were the first demonstration that *S. cerevisiae* could be engineered to screen the functional expression of ORs. Heptanal was perceived as the preferential odorant for ORI7 in yeast with a threshold concentration around 10^{-8} M. However, the yeast growth in selective or hygromycin-medium required 2–3 days at 30–33 °C, which is not most appropriate when using odorants, and were not very sensitive, even though reproducible. Therefore, other functional tests needed to be developed.

8.5.2 Radioligand Binding and Scatchard Plots

To assess the ligand binding ability of expressed ORs or chimeric receptors, and quantify the number of receptors expressed in yeast cells, binding studies can be carried out on yeast spheroplasts using a radio-ligand. Spheroplasts are prepared by treating cells with lyticase and centrifugation on a sucrose-Ficoll gradient. Spheroplasts are incubated with varying concentrations of odorant radio-ligand, at 25–30 °C for 15 min. Nonspecific binding is determined with 100-fold molar excess of unlabeled ligand. Similar binding analysis is carried out with the parental cells not expressing ORI7. The bound radiolabel is quantified by scintillation counting. Specific binding is calculated by subtracting the specific binding of control cells from that obtained with ORI7-expressing cells. The slope and intercept of a Scatchard plot are obtained by regression analysis, and the x intercept is used to calculate the number of receptors expressed per yeast cell. For instance, a representative Scatchard plot analysis of ^{14}C -octanal binding onto the expressed chimeric ORI7

indicates the presence of 90 receptors per cell with a K_a value of $0.1 \times 10^4 \text{ M}^{-1}$ [18]. This is in agreement with the 10–100 μM threshold levels seen for this ligand and the view that ORs are generally low-affinity receptors [51, 52].

8.5.3 Fluorescence

Radhika et al. monitored the GFP response of WIF-1 α -ORI7 cells to characterize the functionality of this prototypic yeast strain [18]. This strain was engineered with the mammalian olfactory signalling pathway so that the functional response of OR to the specific odorant stimulation induces fluorescence emission after synthesis of the reporter protein GFP. Indeed, the cells were exposed to a range of octanal concentrations, known to be one of the preferential ligands of the ORI7 receptor, and GFP expression was measured in a microplate reader at intervals of 1 h, revealing a ligand dose-dependent increase in GFP expression. The maximal levels of fluorescence of the cells were reached by 3 h. Moreover, the GFP response of the cells is well correlated with the known different affinity of ORI7 for closely related aliphatic aldehydes (heptanal or hexanal), indicating that GFP is a useful reporter to study the OR response in WIF-1 α -OR strains. This strategy was then used by these authors to identify an OR capable of detecting 2,4-dinitrotoluene (DNT). The WIF-1 α -OR transformants, obtained by the cloning of a library of OR cDNAs and transformation of the yeast cells, were exposed to 50 μM DNT and scored for emitted fluorescence. Several DNT-positive clones were identified, which were enriched by serial dilution, and their response to DNT 25 μM monitored by fluorescence microscopy. The recovery of the plasmids from positive cells allowed to sequence the insert in the expression vector. This strategy involving the GFP reporter allowed the authors to identify a new rat olfactory receptor, exhibiting an extensive sequence homology with two different mouse olfactory receptors (Olf2 and mOR226-1) that can detect DNT. This system thus appears as reliable, easy to handle, and useful to identify OR ligands.

8.5.4 Luminescence

To address the functional integrity of the olfactory receptors expressed in yeast cells, two labs have developed a luciferase reporter bioassay by modifying the yeast pheromonal signal transduction pathway (see yeast strain constructs). The firefly luciferase gene is used as luminescence reporter gene due to its high sensitivity.

Fukutani et al. tested the sensing abilities of 3 chimeric ORs for DNT [19]. They investigated the role of the N-terminal region of ORs on their functional expression (see plasmid constructs). After induction of the ORs expression in galactose medium, the cells were incubated in media containing the ligand. At 1 mM DNT, the 2 chimeric ORs obtained by replacing the N-terminal region of OR226 by the N-terminal region of ORI7 exhibited a measurable functional response. The chimeric OR for which the replacement was up to the first intracellular loop of ORI7

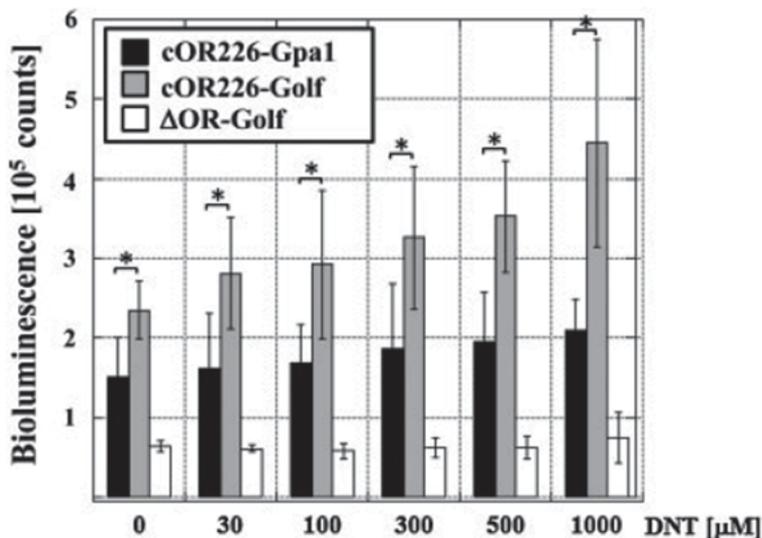


Fig. 8.5 DNT sensing using the luc reporter gene assay in yeast cells co-expressing the c17_{N58}OR226 chimeric receptor with Golf. FL150-c17_{N58}OR226-Golf (cOR226-Golf), FL50-c17_{N58}OR226 (cOR226-Gpa1), and FL150- $\Delta\text{OR-Golf}$ ($\Delta\text{OR-Golf}$) yeast transformants were tested for their ability to sense the odorant DNT. Bioluminescence was measured in the yeast strains stimulated by addition of DNT in the culture medium. The data shown represent the mean \pm SEM of three separate experiments. Statistical significance was assessed by the t-test ($*P < 0.05$). (Adapted from Fig. 1 in [18], with permission)

exhibited the highest enhancement of bioluminescence relative to the other constructs, suggesting that this N-terminal part of OR17 was more suitable for the functional expression of the chimeric OR. Furthermore, the substitution of Gpa1 with G αolf enabled a better coupling between the chimeric OR and the G-protein, increasing the sensitivity of the reporter gene in a dose-dependent assay (Fig. 8.5).

The luciferase bioluminescence assay was also used to optimize the yeast induction temperature for ORs expression, and to compare the coupling efficiency of OR to the cognate G αolf protein relative to the promiscuous G α15 commonly used for pharmacological studies of recombinant ORs [17]. A higher sensitivity was observed with G αolf . The specificity of ORs response relative to various ligands was also analyzed, and dose-response curves show OR activation even by very low concentrations of ligands (Fig. 8.6) [17, 53].

8.6 Conclusion

Among the various heterologous cell systems that can be used to functionally express ORs, *S. cerevisiae* seems an ideal candidate for screening large-scale libraries, allowing the development of several sensitive, rapid and reproducible tests. The

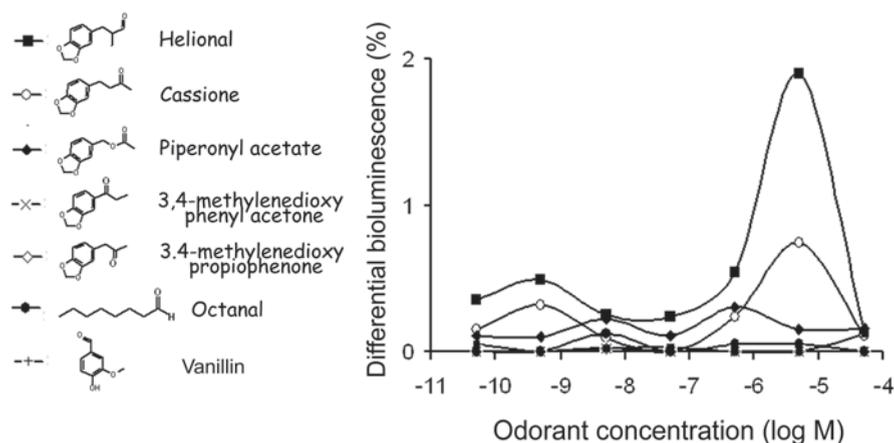


Fig. 8.6 Differential bioluminescence dose-response upon odorant stimulation of yeast-expressed olfactory receptors. Measurements were performed on yeast transformed to co-express hOR17-40, Golf and the luciferase reporter. This strain was induced with 2% galactose at 15°C. Dose-response curves are plotted as a difference of bioluminescence response to odorants relative to controls obtained by replacing odorants with water. (Adapted from Fig. 3 in [53] with permission from the Royal Society of Chemistry)

expression level reached for ORs is noteworthy, since it could easily be monitored by direct Western Blotting from cell lysates without prior purification or immunoprecipitation, even if this level may vary from receptor to receptor and depends on the construct. Moreover, it is a relatively cheap and easy to use system. However, some aspects still require extensive studies. The first question is related to the specificity of ORs expressed in heterologous systems. Indeed, Touhara et al. pointed out the potential influence of a different cellular environment in a heterologous system relative to the native one to modulate OR specificity [54]. The preferential ligand of an OR might thus depend on the heterologous system used, an hypothesis that has not been systematically tested. The second question is about the OR sensitivity to odorants in heterologous systems, and the odorant concentration used to monitor the functional response of the ORs expressed. These two points are quite interconnected. For instance, OR17 preferential ligand is reported to be octanal by Zhao et al. [55] after adenovirus-mediated expression in olfactory epithelium, but heptanal in both COS cells and *S. cerevisiae* [16, 17]. However, Zhao's experiments on adenovirus-infected olfactory epithelium were conducted with varying carbon chain length aldehydes using a single odorant concentration of 10^{-3} M [55]. At this concentration, they reported that octanal exhibited the largest response. On the contrary, Levasseur et al. used concentrations down to 10^{-13} M, and demonstrated that COS-17 cells exhibit response to heptanal in a low concentration range (10^{-14} to 10^{-12} M), to nonanal in an intermediate concentration range (10^{-13} to 10^{-10} M), and to octanal for higher odorant concentrations over a broader range (10^{-12} to 10^{-7} M), thus defining heptanal as the preferential odorant ligand for rat OR17, inducing a response at the lowest concentration [5]. Concentrations down to 10^{-11} or 10^{-17} M

have indeed been reported as physiological detection limits for some odorants in humans or in dogs [56, 57]. In the yeast system, heptanal was also found to induce the highest response among linear aldehydes [16, 17], but within a higher odorant concentration range (around 5×10^{-8} to 5×10^{-6} M).

Another example is provided by OR17-40 expression in ODORA cells [5], where the maximum functional response was obtained with 10^{-11} M of helional, while it was reported at 10^{-5} M [56] or 5×10^{-5} M [58] in HEK293 cells, at 10^{-7} M in *Xenopus laevis* oocytes [56], and at 5×10^{-6} M in yeast [17, 53] relative to series of other odorants.

Thus, it seems, from the limited number of ORs extensively studied, that the ranking of odorants specifically stimulating an OR remains identical whatever the heterologous system, be it defined as inducing a response at the lowest odorant concentration, or otherwise inducing the highest response at a given concentration.

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Chapter 9

Production of Olfactory Receptors and Nanovesicles Using Heterologous Cell Systems for Bioelectronic Nose

Hyun Seok Song and Tai Hyun Park

Abstract Olfactory receptors, belonging to a family of G protein-coupled receptors (GPCRs), which are involved in various important physiological processes, are integral membrane proteins composed of seven transmembrane helices. It is difficult to produce GPCRs including olfactory receptors (ORs) using heterologous cell systems, because of their strong hydrophobicity, and complicated structure. The production of ORs should be a critical process for the development of an olfactory receptor-based bioelectronic nose. Significant efforts have been made for the production of ORs for the utilization as recognition elements of bioelectronic noses, and also for other applications. In addition, the construction method of mammalian cell-derived nanovesicles containing ORs has been demonstrated and applied for an bioelectronic nose, due to their unique properties, and suitable size for integration with a nanosensor platform. In this chapter, advances in the production of ORs and nanovesicles using various heterologous cell systems for the development of a bioelectronic nose are described.

9.1 Production of Olfactory Receptors Using Heterologous Cell Systems

Olfactory receptors (ORs) belonging to the GPCR family recognize a number of odorous compounds with high selectivity, and trigger the signal transduction in olfactory neurons [1, 2]. These receptors are composed of 7 transmembrane spanning domains, and have strong hydrophobicity and complicated structures. Chemical determination and odorous coding can be accomplished by different types of receptors, expressed in olfactory neurons [3, 4]. Because of their specificity for odorous

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compounds and biomimetic properties, ORs have been utilized for the development of a high-performance bioelectronic nose [5–13]. Most GPCRs including ORs are poorly expressed in nature, and thus the heterologous expression of receptors is the critical process for the development of a protein-based bioelectronic nose [14, 15].

There are about 390 functional OR genes that have been identified in the human genome, and the family of the OR gene are distributed on almost every chromosome [9, 16–18]. The cloning of all functional OR genes has been completed, and each gene has been subclassified, according to their sequence conservation [18, 19]. Since the OR genes have an intronless coding region, of around 1 kb length, it is easy to amplify these genes by PCR, using human genomic DNA library as a template [1, 17]. The current information of ORs is mainly based on genes and mRNA studies, and computational prediction of structure, thus the understanding of actual properties of ORs is still limited [20–22]. In the case of other GPCRs, the high-resolution structures of only several GPCRs, including rhodopsin and human adrenergic receptors, which were determined by X-ray crystallography, are currently available [23–26]; however, the knowledge of the actual structure of GPCRs is also limited. To investigate the three-dimensional structure of GPCR with atomic resolution, large amounts of purified receptor protein with high purities are required [27]. However, it is very difficult to produce these receptors from heterologous cell systems, and thus it is essential to develop efficient production strategies for various processes. The production of ORs for the development of a bioelectronic nose requires several steps, including expression, solubilization, purification and reconstitution [19].

Previous studies have reported that the heterologous expression of ORs appears to be difficult, especially from bacterial cell [15, 28–31]. The expression of GPCRs in their cell membrane is very complicated, and quite unpredictable. The folding and membrane insertion mechanism when expressing has not been well understood. It has been assumed that eukaryotic GPCRs are difficult to be expressed in prokaryotic cell membranes with proper orientation, because of their different charge distribution and inserting mechanism [29, 30, 32]. Therefore, when those receptors are overexpressed in bacterial cells, they tend to be expressed as insoluble inclusion bodies [31]. The misfolded and aggregated forms of receptors derived from inclusion bodies have to be properly refolded to obtain their own function [29, 33]. For refolding GPCRs, the reconstitution in a lipid environment is required after the expression, solubilization and purification.

The mammalian expression system has been widely used for functional assay of GPCRs [34]. However, the information of the selectivity of ORs to specific ligands is still limited, since it is also difficult to express ORs in the mammalian cell system [35]. Although little is known about the reason for this difficulty, some reports suggested that ORs are easily retained in the epidermal reticular (ER), and degraded in the proteosome [36, 37]. Natively, olfactory neurons have particular machinery for proper targeting and the expression of ORs in the cell surface, but this machinery has not yet been identified [36, 38]. For the proper expression of ORs in heterologous mammalian cells, foreign signal peptides or accessory proteins are mostly required, for correct targeting to the cell membrane [39–41].

Although there are various difficulties in the production of olfactory receptors, including expression, solubilization, purification and reconstitution, it should be a critical step for the development of an olfactory receptor-based bioelectronic nose. Advances in the production of the olfactory receptor and nanovesicle containing olfactory receptor using bacteria and animal cell system will be discussed in this chapter.

9.2 Production of Olfactory Receptors Using Bacterial Cells

The production of GPCRs including olfactory receptors using bacterial cell as a host cell system appears to be difficult, due to many reasons, such as their different charge distribution, strong hydrophobicity and complicated structure [14]. The cells are easily lysed, when membrane proteins including GPCRs are expressed in bacterial cells [29]. In addition, they cannot contain large amounts of foreign membrane protein in their membrane. Therefore, the expression of GPCRs in bacterial cells normally tends to be as inclusion bodies [31, 42]. A few examples for successful purification and reconstitution of olfactory receptors from inclusion bodies have been reported [29, 42].

Even with these difficulties in using bacterial cells for the production of GPCRs, researchers have attempted to use bacterial cells, in most cases using *Escherichia coli* (*E. coli*), with some reasons, including low cost, homogeneity of the recombinant proteins, and short generation time [15, 43, 44]. The milligram quantities of membrane proteins, which are the suitable amount for the study of high-resolution structures and the utilization for the development of biosensors, can only be obtained by the production from bacterial cells as inclusion bodies [28, 31, 44]. The production of olfactory receptors has become easier, through optimization of the production process [6, 10, 13, 28, 29, 45]. For the development of a protein-based bioelectronic nose, the production of olfactory receptors from bacterial cells should be a critical process.

9.2.1 Large-Scale Production of GPCRs from Bacterial Cells

The bacterial cell allows an efficient host cell system for the production of recombinant protein, with low cost and easy process [43]. The bacterial expression system can be easily optimized for scaling up [15, 27]. Even with difficulties of the production of GPCRs using the bacterial cell system, it has become easier through advances in the expression and purification, and the development of large-scale production process [15, 27].

The utilization of GPCRs obtained from *E. coli* has several disadvantages. The bacterial cells including *E. coli* cannot offer the native G-proteins and other machin-

eries required for the receptor mediated signaling transduction, or the production of a fully functionalized receptor [27, 46–48]. In addition, the post-translational modifications cannot occur during the expression in *E. coli* [27]. For example, glycosylation is required for the ligand binding or G-protein coupling for some GPCRs, including rhodopsin, somatostatin and β_2 adrenergic receptors [49–51]. These disadvantages should be considered, before using *E. coli* as a host cell for the production of GPCRs.

The membrane environment of the host cell is very important for the functional expression of GPCRs with intact forms. However, the membrane composition of *E. coli* is very different from the eukaryotic cell membrane, and this can interrupt the insertion and location of recombinant receptors in membranes [15]. In addition, the production of functional membrane proteins can be slowed down by the reduction condition of the bacterial cytoplasm, which inhibits formation of disulfide bridges for the correct folding of membrane proteins [15]. In some cases, the fusion partner proteins, originating from periplasmic membrane protein in *E. coli*, such as the maltose binding protein (MBP), were applied for the successful insertion of GPCRs in the periplasmic oxidative environment. The utilization of MBP for the successful expression in membranes has been applied for human adenosine A_{2A} receptor, M_1 and M_2 muscarinic acetylcholine receptor, human $5HT_{1a}$ receptor, human β_2 a receptor and human cannabinoid CB_2 receptor [52–56]. In these cases, the expressions were improved, using the bacterial expression vector containing weak promoter element, culturing at low temperature or inducing with low concentration of IPTG, to reduce the toxicity to cell membranes. Furthermore, similar strategies were also applied using the outer membrane protein, LamB, and the periplasmic protein, alkaline phosphatase for the production of GPCRs [57–59].

The extraction from cell membranes should be the best way to produce the functional GPCRs. However, in many cases, the recombinant GPCRs have been overexpressed in *E. coli* as inclusion bodies [14, 15, 28, 29, 43]. Since the bacterial cells cannot contain large amounts of foreign recombinant protein in their membranes, the formation of an inclusion body allows high productivity [43, 60]. Moreover, the overexpression as an aggregated form of inclusion bodies can be protected from degradation by cytosolic and periplasmic proteases [61]. However, the aggregated form of GPCRs was usually inactive, and functional reconstitution with membrane environment after purification is required [60]. The purification process using chromatographic methods after the solubilization is quite fastidious to optimize [14, 29, 60]. In many cases, GPCRs overexpressed as inclusion bodies have extremely low solubility, and are difficult to be solubilized by detergents and chaotropic agents [14, 28, 29]. These aggregated forms of GPCRs can only be solubilized by strong anionic detergents. However, the treatment of these strong detergents easily denatures the proteins, and disrupts the functionalization of recombinant receptors [14, 29, 31]. In addition, the activity of affinity chromatography, which is widely used for the purification of recombinant proteins, is normally decreased by detergents [28, 29]. After purification, the reconstitution should be carried out with lipid membrane environment, to obtain functional receptors [14, 43]. The biological lipid membrane originating from cells has a complex environment, and desirable and

reproducible reconstitution cannot be guaranteed [27]. Therefore, the reconstitution of recombinant GPCRs is normally carried out with artificial lipid membranes [27]. Various artificial membrane compositions should be tested for reconstitution with membrane-mimetic environments [14]. The purification and reconstitution of recombinant membrane protein is often a matter of trial and error, using detergents.

Since there is no well-established strategy for the systematic and efficient production of GPCRs from inclusion bodies, there are not many examples reported. For the successful expression of GPCRs in *E. coli* at high-level, optimization of several factors is needed, including the promoter and origin in the bacterial expression vector, fusion partner, strain of *E. coli*, culture condition and induction condition [62]. These conditions should be different for each GPCR, and the optimization process can be time consuming and labor intensive [63]. The systematic evaluation of expression methodologies for obtaining a large amount of inclusion bodies of GPCRs in *E. coli* has been carried out on 100 mammalian GPCRs, by exploring various different expression vectors and *E. coli* strains [42]. The Gateway bacterial expression vectors and the C43 *E. coli* strain allowed a large amount of insoluble GPCRs. It is also demonstrated by other reports that the Gateway vector and the C43 strain offer high-level expression of GPCRs as inclusion bodies [28, 31, 62–64]. The production process was scaled up using large-scale fermentation, and several 100 mg of GPCRs per liter were produced [42, 65].

9.2.2 Production of Olfactory Receptors from Bacterial Cells

With advances in the production of GPCRs, the production of olfactory receptors from bacterial cells has become easier, and allowed efficient recognition elements for bioelectronic noses, with human-like performances.

The first example for successful production of an olfactory receptor from bacterial cell is the production of olfactory receptor (OR) 5 [29]. The OR5 was produced from *E. coli* as a glutathione-S-transferase (GST) fusion protein, in the form of inclusion body. A mutant form of OR5, which contained some positive charge in the loop connecting transmembrane domain 1 and 2, was constructed, to block the insertion into cell membranes. It is known that the loops with some positive charge make it difficult to translocate to the periplasmic side in bacterial cells [66, 67]. The toxicity along with the translocation in membranes was reduced, and the mutant form of OR5 was successfully expressed as the inclusion body. The overexpressed OR5 was solubilized with strong anionic detergent. It failed to use a GST affinity column for the purification of the receptor, which was probably because the GST domain was denatured and misfolded, under the condition of strong anionic detergent. However, a Ni-NTA agarose column allowed successful purification of hexahistidine tagged olfactory receptor. After the purification, the detergent condition was replaced with digitonin, which is a well-known detergent for the stabilization of GPCR [68], and reconstituted in lipid vesicles composed of artificial lipids. This study suggested that the difficulties in expression of OR might be because of the toxicity, during the insertion of receptors into the bacterial membranes. Fur-

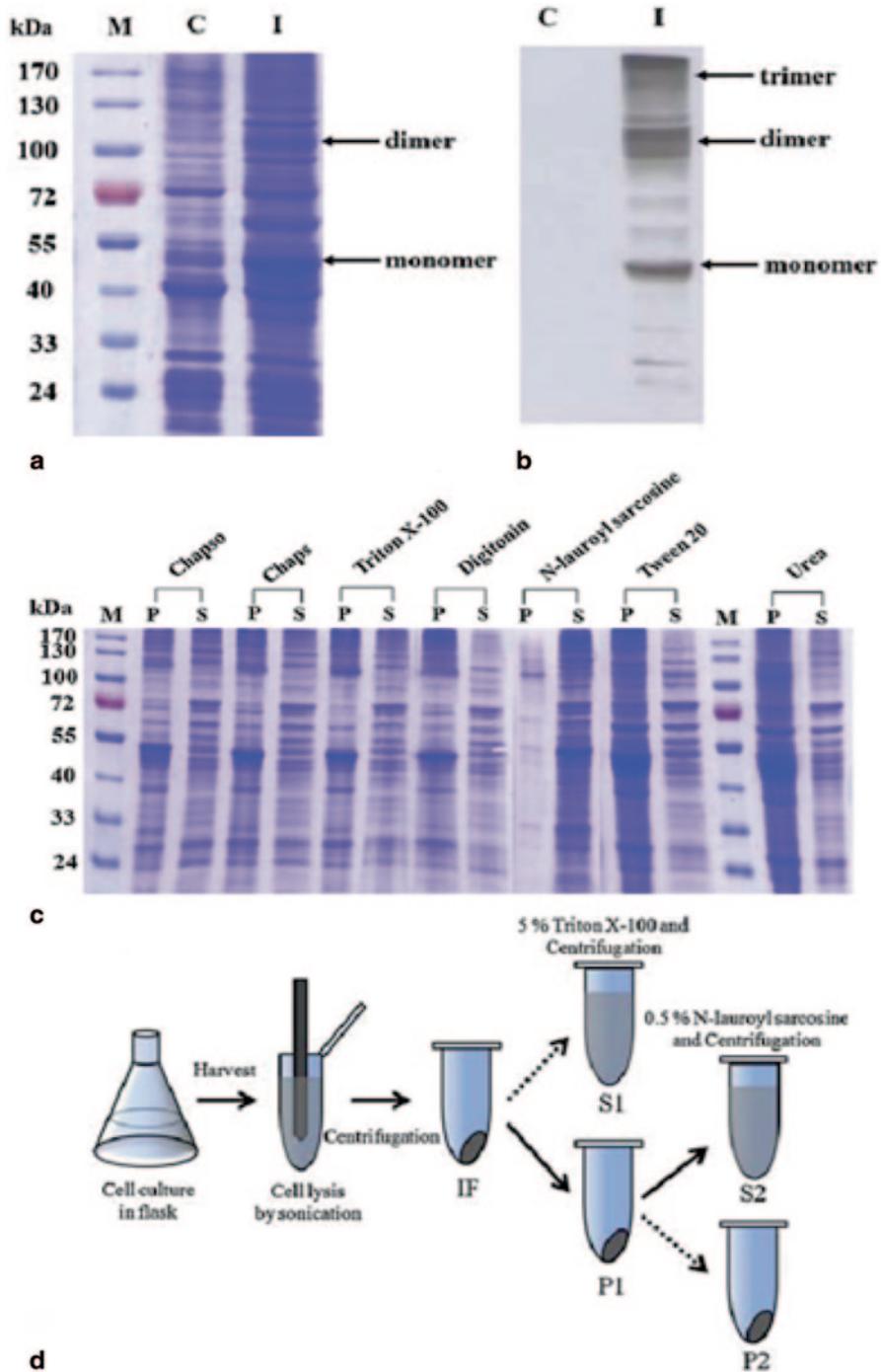


Fig. 9.1 **a** SDS-PAGE and **b** Western blotting of the expression of olfactory receptor. Lane M Protein size marker, Lane C Non-induced cells (control), Lane I Induced cells, **c** Solubilization of olfactory receptors using various detergents and a chaotropic agent, and **d** Schematic diagram of the column-free purification protocol using detergents. (Reprinted from Ref. [28] with permission from Elsevier.)

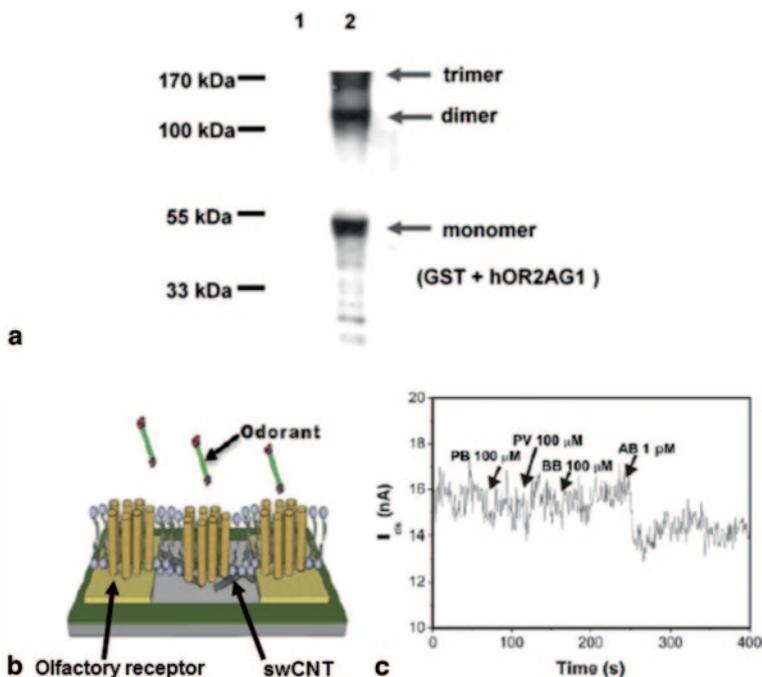


Fig. 9.2 **a** Western blot analysis of the hOR2AG1 expression. Lane 1, cytosol fraction, lane 2, insoluble fraction. **b** Schematic diagram of the OR-immobilized swCNT-FET. **c** The selectivity of hOR2AG1-functionalized swCNT-FET sensors. Arrows indicate the points of adding each odorant. *PB* propyl butyrate, *PV* pentyl valerate, *BB* butyl butyrate, *AB* amyl butyrate. (Reprinted from Ref. [6] with permission from Wiley.)

thermore, the OR in aggregated and misfolded state can be reconstituted with an efficient function through solubilization, detergent exchange and embedding with lipid environments [29].

The full-length of human olfactory receptor (hOR) 2AG1 was expressed in *E. coli* as an inclusion body, and purified by sequential treatment, using different detergents [28]. In this work, a suitable expression vector was screened, and it was found that the Gateway vector allowed high-level expression of OR in *E. coli* as the inclusion body (Figs. 9.1a, b). The aggregated form of expressed OR was difficult to be solubilized by various detergents and chaotropic agents, but the strong anionic detergent efficiently solubilized the receptor (Fig. 9.1c). The OR was first treated with weak detergent to remove impurity proteins, and the remaining insoluble fraction was then further treated with strong anionic detergent, to solubilize the OR protein (Fig. 9.1d). This work offered a simple method for the production of purified OR, with milligram quantities.

Along with advances in nanotechnology, nanomaterial-based sensor platforms that have high sensitivity are available [69, 70]. The unique chemical and electrical properties of nanomaterials have allowed high performance sensing devices [69–72]. Therefore, the integration of OR and nanomaterial-based sensor platform

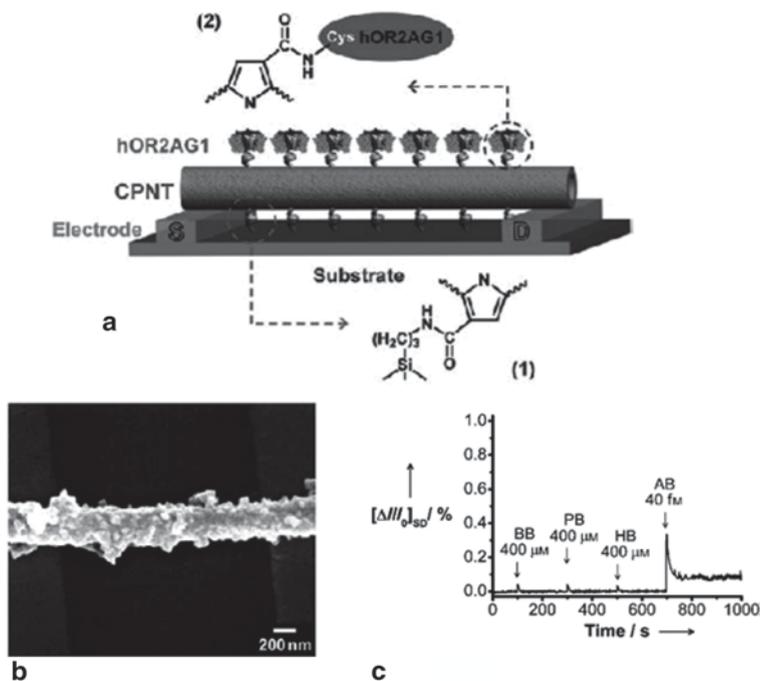


Fig. 9.3 **a** Schematic illustration. Only one nanotube is shown for clarity; covalent attachments (1) and (2) were used to bind CPNTs on the electrode substrate and to immobilize hORs to the nanotube, respectively. **b** Typical FE-SEM image of a hOR2AG1-conjugated CPNT. **c** The selectivity of hOR2AG1-functionalized swCNT-FET sensors. Arrows indicate the points of adding each odorant. BB butyl butyrate, PB propyl butyrate, HB hexyl butyrate, AB amyl butyrate. (Reprinted from Ref. [13] with permission from Wiley.)

opened up opportunities for the development of a bioelectronic nose showing high selectivity and sensitivity, with human-like performance [9, 72, 73]. For the development of a protein-based bioelectronic nose using a nanomaterial-based sensor platform, hOR2AG1 has been widely used as a model OR, because its biological function and specific odorant, amyl butyrate, are well known [74–76]. The ORs were successfully applied to the development of a protein-based bioelectronic nose, by integration with nanomaterial-based FET sensor platforms, including swCNT [6, 7], conducting polymer nanotube (CPNT) [13, 45], and graphene sensors [10]. The hOR2AG1 was produced using the method described above (Fig. 9.2a), and immobilized on swCNT-FET sensor by drying under vacuum condition (Fig. 9.2b) [6]. The hOR2AG1-immobilized swCNT-FET detected a specific odorant, amyl butyrate, with high selectivity and sensitivity (Fig. 9.2c). This work can be the first example for the development of a bioelectronic nose by the integration of nanomaterial-based sensor and the OR produced from bacterial cells. More details about the swCNT-based bioelectronic nose are described in Chap. 13. After this work, the hOR2AG1 was also utilized as the recognition element for the CPNT-FET-based bioelectronic nose [13]. The receptors were immobilized on carboxylated

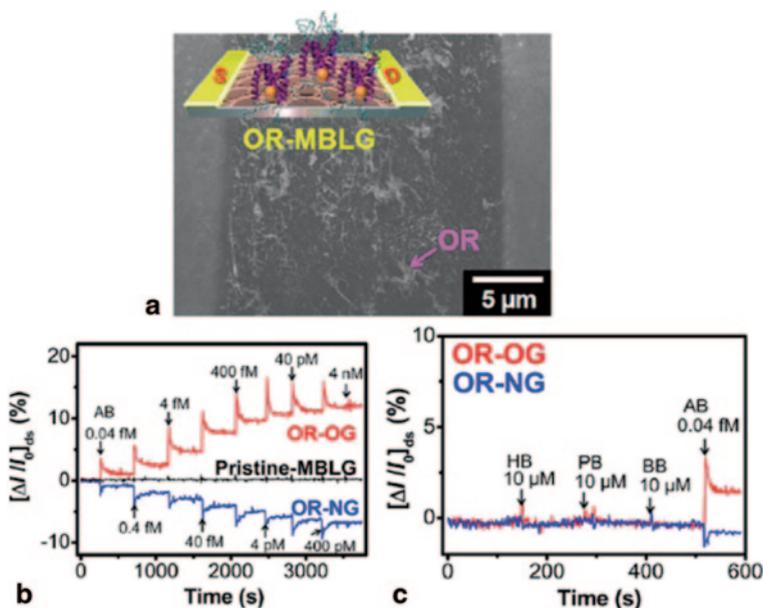


Fig. 9.4 **a** Typical FE-SEM images of the modified bilayer graphene (*BMLG*) surface after immobilization of the OR. **b** Real-time response of graphene FET based on OR-conjugated p type of oxygen plasma-treated graphene (*OG*) and n-type of ammonia plasma-treated graphene (*NG*) upon the stimulation of various concentration of amyl butyrate. **c** Selective responses of graphene-based bioelectronic nose *OG* and *NG* upon addition of non-targets (*HB* hexyl butyrate, *PB* propyl butyrate, *BB* butyl butyrate) and target (*AB* amyl butyrate) odorants (Reprinted from Ref. [10] with permission from Copyright (2012) American Chemical Society.)

CPNTs more elaborately by covalent bonding, and this immobilization strategy offered excellent electrical properties, and quantitative control of the receptor immobilization (Figs. 9.3a, b). The bioelectronic nose showed specific odorant detection with high sensitivity at the femtomolar detection limit, and with high selectivity (Fig. 9.3c). More details about the CPNT-based bioelectronic nose are described in Chap. 14. The graphene-based sensor was also used for the development of a bioelectronic nose by the immobilization of hOR2AG1 [10]. The OR was immobilized on graphene surface by surface modification (Fig. 9.4a). The hOR2AG1-immobilized graphene-based bioelectronic tongue detected the target odorant with high selectivity and ultrasensitivity, at the detection limit of the concentration down to attomolar level (Figs. 9.4b, c). The unique physical properties of graphene, such as extremely high carrier mobility and capacity, an ambipolar field-effect, and a highly tunable conductance, allow the development of high-performance electrochemical sensors [77, 78]. The method for the production of ORs using *E. coli* with the Gateway vector system, and purification using detergents, was also applied, to produce other ORs and GPCRs. Using these GPCRs as recognition elements, high-performance receptor-based biosensors have been developed, by the integration with highly sensitive secondary transducers [6, 7, 10, 13, 28, 45, 79–81].

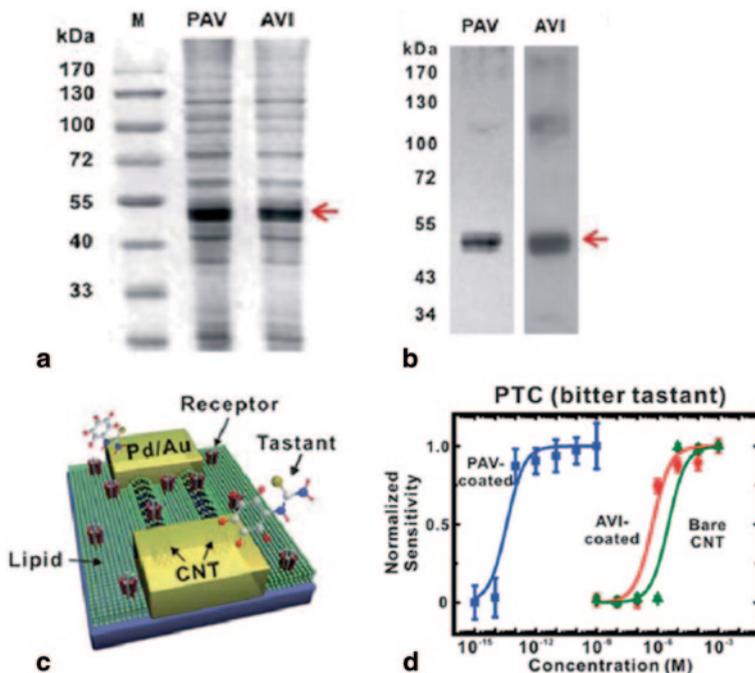


Fig. 9.5 **a** SDS-PAGE of the hTAS2R38, human taste receptor protein, expressed in *E. coli*. PAV and AVI represent the receptor protein types of tasters and non-tasters, respectively. **b** Western blot analysis of the hTAS2R38 expressed in *E. coli*. The dark bands marked by the arrow indicate the expression of human taste receptor protein. **c** Schematic diagram showing the structure of human taste receptor functionalized swCNT. **d** Response of PAV-functionalized, AVI-functionalized and bare CNT-FETs to PTC. (Reprinted from Ref. [79] with permission from Royal Society of Chemistry.)

9.2.3 Production of Taste Receptors and Other Receptors from Bacterial Cells

These approaches developed for the bioelectronic nose were applied to the development of bioelectronic tongues utilizing taste receptors, and opened up opportunities for the development of various biosensors using GPCRs as recognition elements. Advances in the production of GPCR using bacterial cells offered efficient recognition for the development of high-performance biosensors, including bioelectronic tongues, neurotransmitter sensor, and peptide hormone sensor. The human bitter taste receptors, hTAS2R38s, were successfully produced from inclusion bodies in *E. coli*, and utilized as recognition elements for the development of a bioelectronic tongue with the integration of a nanomaterial-based sensor platform including single-walled carbon nanotube (swCNT) [79], and conducting polymer nanotube (CPNT) [81] field effect transistor (FET). It is known that sequence variants in the hTAS2R38 gene correlate with different bitterness recognition for tasting bitter compound phenylthiocarbamide (PTC) and propylthiouracil (PROP), and this

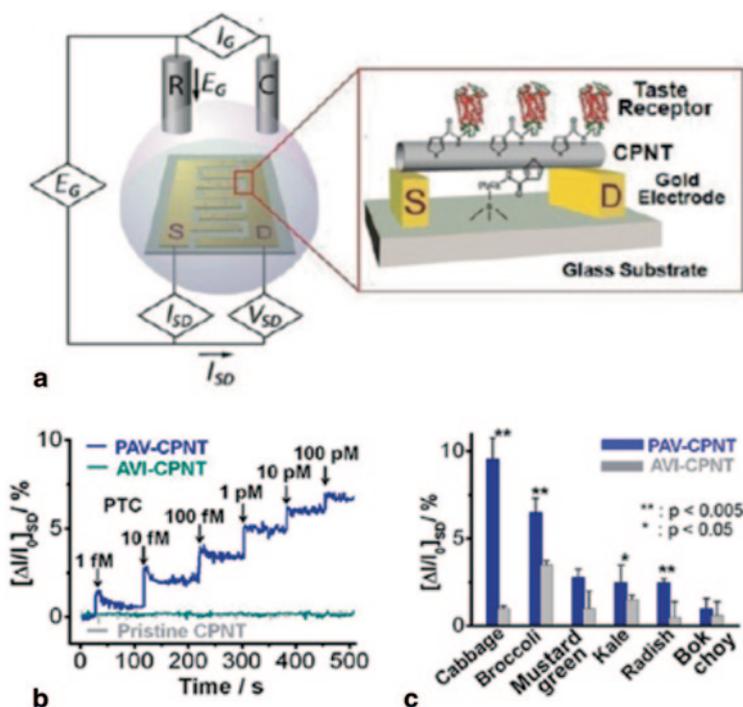


Fig. 9.6 **a** Schematic diagram showing a liquid-ion gate FET using hTAS2R38-functionalized CPNT and one nanotube shown for clarity on interdigitated gold microelectrodes. **b** Real-time responses of FETs using PAV and AVI type hTAS2R38-functionalized CPNT and pristine CPNT stimulated with PTC. **c** Responses of PAV and AVI type hTAS2R38-functionalized CPNTs to various cruciferous vegetables. Differences in responses from PAV and AVI-CPNT to cabbage, broccoli, kale, and radish were highly significant (** $p < 0.005$, * $p < 0.05$ by Student's t-test). (Reprinted from Ref. [81] with permission from Copyright (2013) American Chemical Society.)

different bitter taste perception is important in genetic and anthropological studies [82, 83]. Each taster (PAV) and non-taster (AVI) type of hTAS2R38s was produced from *E. coli* (Figs. 9.5a, b), and used as recognition elements for bioelectronic tongues [79, 81]. The hTAS2R38 was functionalized with swCNT-FET (Fig. 9.5c) and offered high selectivity and sensitivity for the target tastant detection, with human-like performances. The different types of hTAS2R38s-functionalized swCNT-FET showed different bitter taste perception (Fig. 9.5d) [79]. The hTAS2R38s were also functionalized on CPNT-FET, and showed high selectivity and sensitivity to target bitter tastants (Figs. 9.6a, b). The hTAS2R38-based bioelectronic tongue using CPNT-FET exhibited different bitter-taste perception to PTC, PROP, and even to anti-thyroid toxin in vegetables, which corresponded to the haplotype of hTAS2R38 (Figs. 9.6b, c) [81]. These bioelectronic tongues can be utilized as a substitute for cell-based assays, and study of the mechanisms of human taste. Recently, human muscarinic acetylcholine receptor (mAChR) was produced from *E. coli*, and utilized as a recognition element for the detection of the neurotransmitter,

acetylcholine, by integration with swCNT-FET. The mAChR-based neurotransmitter sensor showed high selectivity and sensitivity to acetylcholine [84]. In addition, the class B GPCR, human parathyroid hormone receptor (hPTHr), was also successfully expressed as an inclusion body in *E. coli*, and purified [80]. The produced hPTHr was applied to the development of a hormone sensor, by integration with the conducting polymer nanoparticle field effect transistor, and offered high selectivity and sensitivity for peptide hormone detection, with human-like performance. In these works, the Gateway vector system also allowed high-level expression of GPCR in *E. coli*.

9.3 Production of Olfactory Receptors Using Animal Cells

9.3.1 Production of Olfactory Receptors Using Mammalian Cells

The mammalian cells present a suitable environment, including a lipid membrane composition closed to native tissues, for the production of fully functional ORs [15, 27, 39, 85, 86]. In addition, these cell systems offer native machineries, including G-protein and ion channels, for OR-mediated signal transduction [87–89]. Thus, the mammalian cell system can be the most appropriate for functional study of ORs, and also for the development of a cell-based bioelectronic nose, using whole cell expressing ORs in cell membranes [88, 90–93]. About 20 ORs have been identified for their specific odorants, using the screening assay with mammalian cell systems [88, 89, 95–101]. Although there are many advantages, it has been difficult to utilize the mammalian cell system for the production of ORs for the development of a cell-based bioelectronic nose and for functional study, because of difficulties in the expression of ORs [15, 27, 88]. The mammalian cell system cannot produce the recombinant proteins in large amount, compared with the bacterial cell system [15, 27, 88]. Furthermore, ORs are easily degraded in the proteasome, when expressed in mammalian cells [36, 37, 101].

Since ORs do not possess the import sequence, fusion tags and accessory proteins are required for the enhancement of expression of ORs in cell membranes [9, 88, 102]. HEK-293 cells offer a high-level expression of rhodopsin, with efficient translocation to the plasma membrane [103]. By taking this advantage, the first 20 amino acids of bovine rhodopsin, a rho-tag, was found, that facilitates translocation of synthesized ORs to the plasma membrane [88]. This approach has been widely used as a model system for the study of ligand specificity and function of ORs [88, 100, 104, 105]. In addition, there are also some examples of the use of import sequences from other GPCRs, which allowed efficient membrane integration for ORs [99, 106]. The receptor transporting proteins (RTPs) were also screened as accessory proteins for targeting ORs to the cell surface [39, 107]. It was discovered

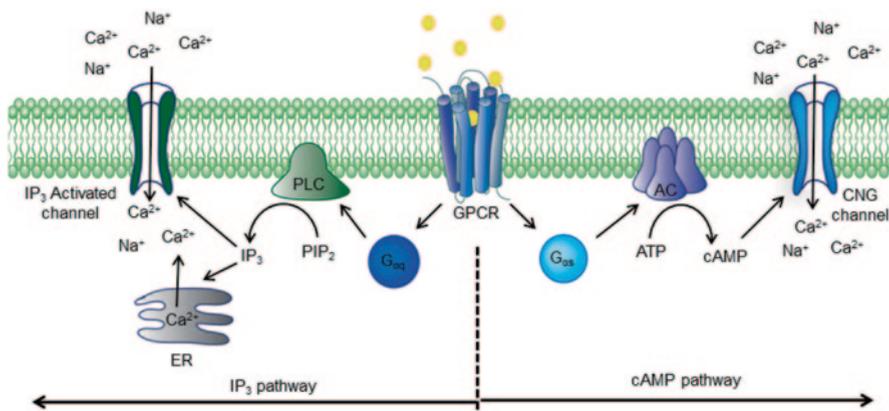
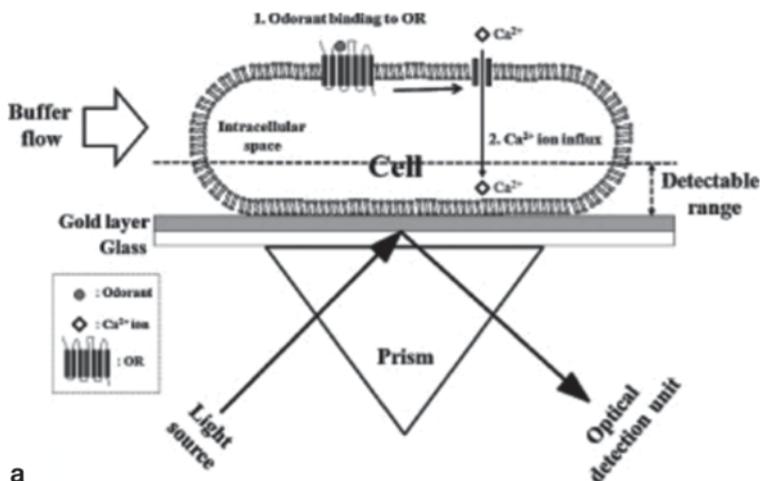


Fig. 9.7 Olfactory receptor-mediated signal transduction. The cytosolic ions are increased by cAMP or IP₃ pathway.

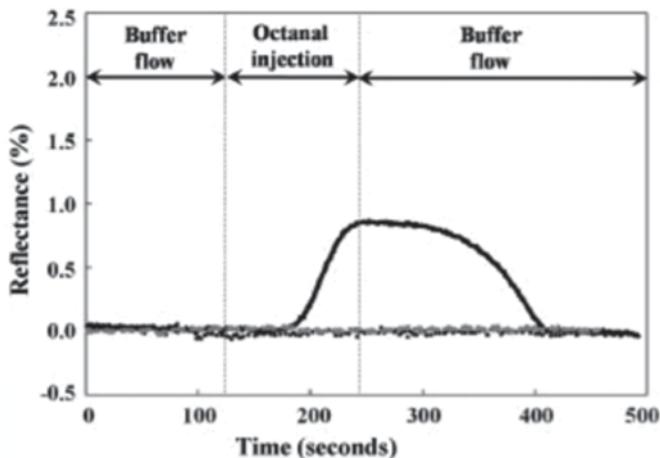
that RTPs promote cell surface expression of ORs, and enhance the responses to odorants in HEK-293 cells co-expressed with ORs [39]. The receptor expression enhancing protein (REEP), and heat shock protein (Hsc70t) have also been identified as accessory proteins, for the enhancement of proper expression of ORs in cell membrane [39, 74, 108]. These fusion tag and accessory proteins allowed efficient expression of ORs in the mammalian cell system, for the development of cell-based bioelectronic noses, and high-throughput screening of ORs.

Usually, expression levels of GPCRs including ORs are very low, even with fusing tags and accessory proteins, but there are some cases for the production of GPCRs by scaled-up suspension culture of stable cell lines [109, 110]. Due to the toxicity of the high-level expression of GPCRs to cells, it is difficult to obtain reliable stable cell lines for suspension culture [111–113]. Inducible mammalian cells have been constructed, and allowed high-level (some mg/L quantities) expression of GPCRs [111–113]. After screening of many GPCRs, it was found that the Semliki Forest virus vector with mammalian host cell allows high level expression of GPCRs for crystallization [114]. Even with time consuming and labor intensive process, mammalian expression systems have the possibility of being applied to the development of a receptor-based bioelectronic nose using fully functional ORs.

The mammalian expression systems, HEK-293 cells in most cases, have been applied for the development of cell-based bioelectronic noses. The HEK-293 cell system offers olfactory neuron-like OR-mediated signal transduction [90–92]. The cyclic adenosine monophosphate (cAMP) pathway is the major signal transduction pathway involving ORs [87, 115, 116]. When the specific olfactory receptor binds to the OR, it causes a conformational change in the receptor, and then G protein is dissociated to downstream intracellular signaling proteins, or target functional proteins. The enzyme, adenylyl cyclase, can be activated by the alpha subunit of G protein, and exchanges ATP with cAMP. A cyclic-nucleotide-gated (CNG) channel is finally opened, and leads to an influx of Ca²⁺ and Na⁺ (Fig. 9.7). The cytosolic ions are also increased through OR-mediated 1,4,5-triphosphate (IP₃) pathway [117, 118] (Fig. 9.7). The spe-



a



b

Fig. 9.8 **a** Principle of the cell-based bioelectronic nose for odorant detection using SPR. Odorant binding to OR triggers Ca²⁺ ion influx, and SPR detects the increase of intracellular components. **b** SPR response to the injection of odorant, octanal in this case, in Ca²⁺ standard solution (*black*) and in Ca²⁺-free solution (*gray*). (Reprinted from Ref. [92] with permission from Elsevier.)

cific odorants of ORs expressed in the surface of HEK-293 cells can be detected, by measuring OR-mediated ion influx with secondary sensor platforms.

Mammalian cells expressing ORs were combined with optical or electrochemical sensor platforms, such as surface plasmon resonance (SPR), micro-electrode array (MEA) and light-addressable potentiometric (LAPS), for the development of cell-based bioelectronic noses and tongues [90–92, 119, 120]. For these sensors, mammalian cell systems expressing ORs allow cultivable artificial olfactory cells, with olfactory neuron-like signal transduction. The signal transduction triggered

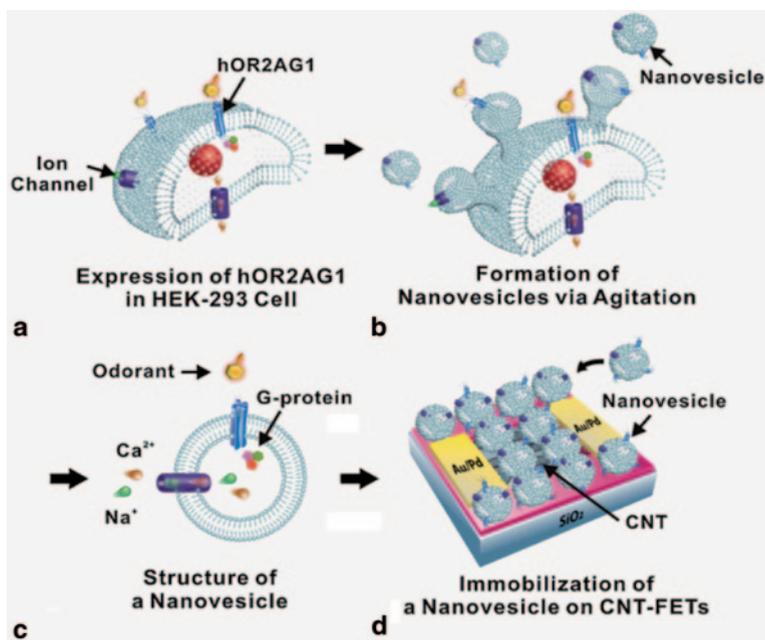


Fig. 9.9 Schematic diagram depicting the preparation of nanovesicles containing hOR2AG1, and the hybridization of the nanovesicles with a carbon nanotube-based FET transducer, to build a nanovesicle-based bioelectronic nose. (Reprinted from Ref. [121] with permission from Elsevier.)

by the specific interaction of odorants to ORs causes Ca^{2+} ion influx through the CNG channel or endogenous channel, and the specific binding can be detected by measuring the changes in intracellular components, using sensor platforms like SPR (Figs. 9.8a, b).

9.3.2 Production of Olfactory Receptor-Containing Nanovesicles Derived from Mammalian Cells

Nanomaterial-based sensor platforms offer highly sensitive electrochemical sensors, and they offer high-performance bioelectronic noses, when integrated with ORs. However, it seems to be very difficult to develop cell-based bioelectronic noses by the combination of nanomaterial-based sensors and mammalian cells expressing ORs, because of their sizes. Normally, the size of mammalian cells is about $10\ \mu\text{m}$ in diameter, which is too big, when compared with nanomaterial. The OR-mediated signal transduction should highly depend on the viability of the cells, and it is difficult to maintain cells on sensor platforms with a suitable condition for the measurement of signaling. In addition, the whole cell should generate enormous noise signal from the metabolism, to the highly sensitive nanomaterial-based sensor

platform. To overcome these limitations for the development of a cell-based bioelectronic nose using a nanomaterial-based sensor platform, nanovesicles derived from mammalian cells expressing ORs in their membranes have been constructed [121, 122].

The nanovesicles derived from the mammalian cells expressing ionotropic 5-HT₃ receptor, and the receptor-mediated signal transduction, were successfully monitored [123]. These nanovesicles exhibited whole cell-like cellular signaling with miniaturized sizes [123]. By taking these advantages, nanovesicles containing ORs have been constructed from mammalian cells expressing ORs, and applied to cell-based bioelectronic noses [121, 122]. After the construction of mammalian cells expressing ORs (Fig. 9.9a), the cells are treated with cytochalasin B, which allows the cytoskeletons to become unstable, then the nanovesicles are budded out (Fig. 9.9b). These nanovesicles containing ORs exhibit whole cell-like OR-mediate signal transduction (Fig. 9.9c). After the production of nanovesicles, they were immobilized on the swCNT-FET sensor platform, to build a nanovesicle-based bioelectronic nose (Fig. 9.9d). The nanovesicles derived from cells showed a sphere-like shape, with a uniform diameter of around 200 nm (Fig. 9.10a). The nanovesicles contained efficient amounts of ORs (Fig. 9.10b), and exhibited OR-mediated signal transduction, which was measured by Ca²⁺ assay, using fluorescent Fura dye (Fig. 9.10c). Then, these nanovesicles were immobilized on the swCNT-FET sensor platform. The selective binding of odorant to OR was detected by monitoring OR-mediated cellular signaling, using swCNT-FET with high sensitivity and selectivity (Fig. 9.10d). As an example, this nanovesicle-based bioelectronic nose can be used for the fast assessment of food quality [122]. Hexanal is produced by lipid oxidation during the incubation, and can be an indicator of the oxidation of food. For this purpose, nanovesicles were produced from mammalian cells expressing the canine OR, which binds to hexanal. Nanovesicles containing the OR were immobilized on swCNT-FET, and detected hexanal with high selectivity and sensitivity. This nanovesicle-based bioelectronic nose also detected hexanal in spoiled milk, without any pretreatment process.

9.3.3 Production of Olfactory Receptors Using Insect Cells

Insect cell types, such as *Spodoptera frugiperda* (Sf9 and Sf21) and *Trichoplusia ni* (Hi₅ and MG₁), can be selectively infected by the baculovirus *Autographa californica*, which has a double-stranded DNA covered by a lipid membrane. The gene of interest is inserted into the baculovirus DNA, using a homologous recombination. The baculovirus DNA has a strong polyhedrin promoter. Polyhedrin is one of the major components of the protective matrix, and is nonessential for viral propagation in cell culture. Therefore, the gene of interest can be inserted by the replacement of the Polyhedrin gene [124]. Along with the advances in cell culture technology, and transfer vectors, and the commercial availability of reagents, insect cells have been able to be cultured in suspension with scale-up in large fermenters [27]. Insect cells

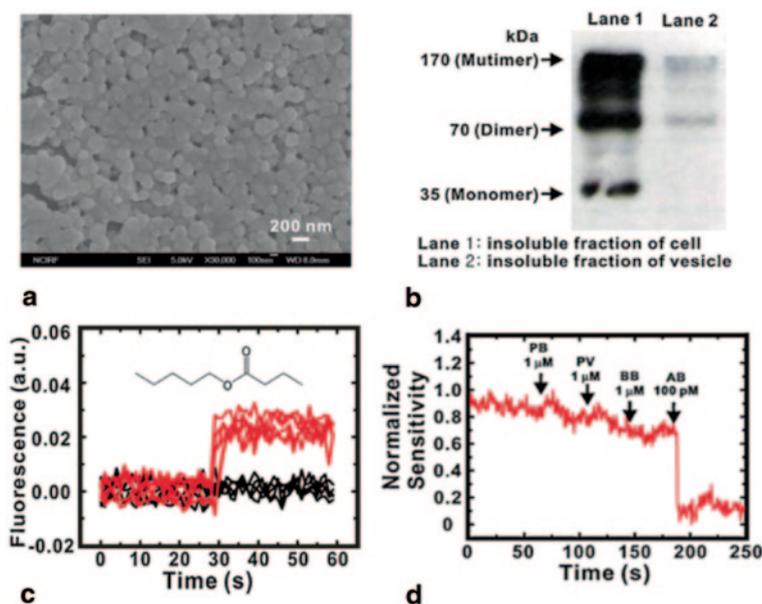


Fig. 9.10 **a** FE-SEM image of nanovesicles. **b** Western blot analysis of hOR2AG1 protein expressed in HEK-293 cells and nanovesicles. **c** Transient Ca^{2+} ion signaling assay of nanovesicles containing hOR2AG1 and Fura2-AM. **d** Graph showing conductance changes in real-time after the introduction of different odorant molecules. *PB* propyl butyrate, *PV* pentyl valerate, *BB* butyl butyrate, *AB* amyl butyrate. (Reprinted from Ref. [121] with permission from Elsevier.)

provide post-translational modifications, such as phosphorylation, fatty acid acylation, and glycosylation, and recombinant proteins exhibit similar characteristics to their native counterparts [124, 125].

Another advantage to the use of insect cell system is a correct folding of GPCRs and certain G_{α} subunits [126–128]. The insect cells have showed high efficiency for the production of GPCRs. The amounts of these receptors are 25–600 times higher than those obtained from mammalian cells, and the receptors exhibited correct function for the specific ligand binding [129–133]. In addition, it has been reported that various signal sequences from baculoviral, prokaryotic or eukaryotic origin successfully increased the amount of secreted soluble proteins [134–136].

Since ORs were first successfully cloned and expressed in Sf9 cells in 1993, some studies have been reported for the production of ORs and the functional assay, using the insect cell system [137]. Two ORs, rat OR olp4 and human OR-17-4, were expressed in the membrane of insect cells with the baculovirus infection [138]. It was found that lysophosphatidylcholine is a suitable detergent for efficient solubilization of the overexpressed ORs, and compatible for the purification. After solubilization, the ORs were purified by affinity chromatography using nickel nitrilotriacetic acid resin, and subsequently by cation-exchange chromatography, and then reconstituted in phospholipid vesicles. In another example, human OR17-209

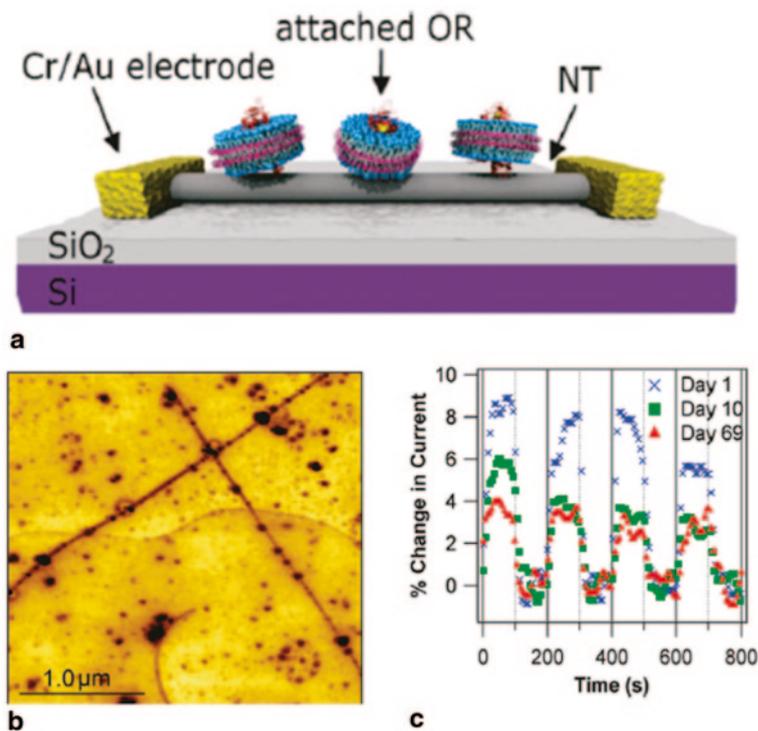


Fig. 9.11 **a** Schematic of a carbon nanotube transistor functionalized with mouse ORs in nanodiscs. **b** AFM image demonstrating preferential attachment of His-tag-labeled mouse OR in micelles to Ni-NTA-functionalized carbon nanotubes. **c** Real-time response of disc packaged OR-NT devices to specific odorant over 10 weeks. (Reprinted (adapted) with permission from reference [5]. Copyright (2011) American Chemical Society.)

and 17-210 were expressed in Sf9 cells colocalized with G_{α} subunits, and odorant-induced calcium response was successfully measured [139].

ORs produced from insect cells allowed efficient recognition elements for the bioelectronic nose, by integration with the CNT sensor platform [5]. Three mouse OR proteins were overexpressed in Sf9 cells, with N-terminal His-tags for purification and attachment on the CNT surface (Fig. 9.11a). The mouse ORs were solubilized with digitonin, and purified using magnetic beads treated with Ni-NTA. Purified mouse ORs were then reconstituted in detergent micelles and nanodiscs that are disk-shaped protein lipid particles designed to self-assemble, with well-controlled size and composition [140, 141]. The CNT sensor devices were functionalized with carboxylated diazonium salts, and the reconstituted ORs were immobilized on CNT surface with covalent bonds (Figs. 9.11a, b). The mouse ORs-based sensors showed high performance for the detection of odorants, compared with the detergent micelles, and nanodiscs allowed significant enhancement of mouse ORs stability in solution (Fig. 9.11c).

9.4 Conclusion and Perspective

ORs allow high selectivity and human nose-like performance for the bioelectronic nose. Therefore, the production of ORs or cells expressing ORs should be a critical process for the development of the bioelectronic nose. Along with advances in the production of ORs using heterologous cell systems, including bacterial, mammalian and insect cells, ORs have been applied as very efficient sensor elements for bioelectronic noses. However, the production of ORs still remains as a bottleneck. To mimic the natural human nose more closely, multiplexed sensors functionalized with various different human ORs should be developed. There are about 390 functional OR genes that have been identified in the human genome, and the suitable conditions for their production will be different from each other. For practical applications and successful commercialization, some technical issues, including the stability, functional reproducibility and mass production, will be required to be established. Therefore, more elaborate process for the production of olfactory receptors, including expression, solubilization, purification, reconstitution and immobilization of the sensor material, need to be further investigated.

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Chapter 10

Biosensors Based on Odorant Binding Proteins

Krishna C. Persaud and Elena Tuccori

Abstract Both the sensillary lymph of insects and the nasal mucus of vertebrates contain large amounts of small soluble proteins, odorant-binding proteins that specifically and reversibly bind odors and pheromones. Proteins from different sources have affinities toward a wide range of compounds with different sizes and shapes. They can be easily expressed in heterologous systems, they show high thermal stability and it is possible to modify their binding sites by site-directed mutagenesis. We describe the development of an odor sensing biosensor array based on immobilization of odorant binding proteins on to suitable transducers. Using a quartz crystal microbalance platform as a transduction element, it is possible to detect and measure quantitatively concentrations of volatile analytes at parts per million concentrations in air.

10.1 Introduction

A biosensor can be defined as an analytical device that converts a biological interaction into a measurable electrical signal [1]. Biosensors are commonly composed of a recognition element (receptor), a signal conversion unit (transducer) and an output interface. It is possible to classify them on the basis of commonly used receptor and transducer elements as summarized in Fig. 10.1. This involves a combination of two steps: a recognition step and a transduction step. The recognition step involves a biological sensing element or receptor that can recognize biological or chemical analytes in solution or in the ambient atmosphere. The receptor may be proteins, such as antibodies or enzymes, DNA, peptide sequences or whole cells. The receptor elements are in close contact with a transducer that converts the analyte-receptor interaction into a quantitative electrical or optical signal [2].

The detection of chemical signals in the environment, which provides information on food, mates, danger, predators and pathogens, is essential for the survival of most mammals and insects. Animals have developed a highly sophisticated olfactory system, able to distinguish between thousands of diverse volatile compounds.

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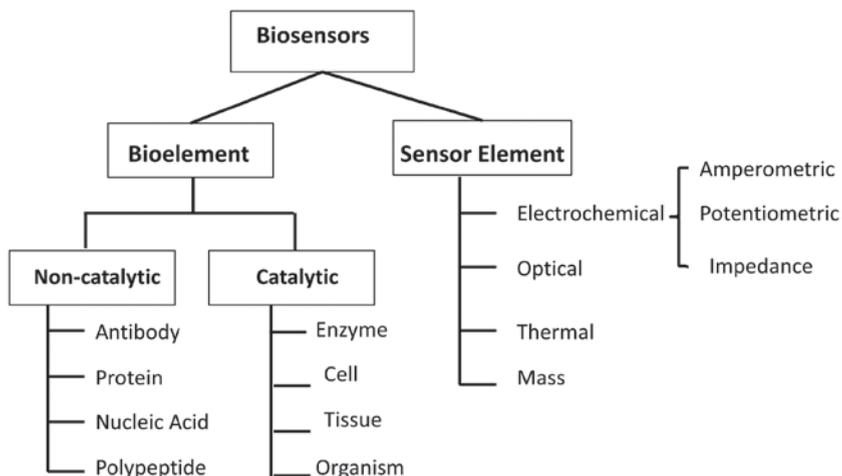


Fig. 10.1 Biosensor transduction methods

Humans, for example, can detect many thousands of different molecules [3]. There is much interest in development of olfactory biosensors that may mimic some aspects of performance of the olfactory system and these activities have been reviewed by Persaud (2012) [4] and Du (2013) [5].

Vertebrates and insects detect volatile compounds from the environment and integrate these signals with other sensory stimuli such as sight and hearing in order to obtain a complete map of the immediate environment. In vertebrates and in insects, the olfactory neurons are separated from the air by a protective layer made up of the nasal mucus and sensillary lymph, respectively. The odorants, and pheromones which are hydrophobic and volatile molecules, have to cross this hydrophilic barrier to reach the olfactory receptor neurons. Both the sensillary lymph of insects and the nasal mucus of vertebrates contain large amounts of small soluble proteins, odorant-binding proteins that specifically and reversibly bind odors and pheromones [6]. The very high concentrations of these proteins in their respective fluids, as well as their rapid turnover, suggest functions important in the perception of olfactory stimuli. They are involved in the binding of numerous hydrophobic ligands with affinity in the micromolar range. The high conformational stability of these proteins as well as the wide variety of OBPs isolated in mammals and insects make them interesting in constructing biosensors that can also function as chemical sensors for volatiles [7]. In this chapter we describe the development of an odor sensing biosensor array based on odorant binding proteins.

10.1.1 Biosensor Recognition Elements

The recognition element is the biological component of the biosensor that produces the signal. There are various types of recognition elements, ranging from whole

cells to specific molecules. Recognition elements can be divided into two general categories: non-catalytic elements and catalytic elements [8, 9].

Non-catalytic elements, such as antibodies, protein receptors, polypeptides, DNA, are often used for direct detection biosensors, in which the interaction with the target compound is monitored in real time. Antibodies are the most commonly used non-catalytic recognition elements, because they are highly specific, versatile and have high affinities towards the target molecules.

For the catalytic elements, the recognition elements can be enzymes, organelles, whole cells or organisms. They are used primarily in indirect detection biosensors, in which the interaction between the biological component and the target analyte releases a detectable second molecule.

Enzymes are the most widely used catalytic detectors, because they have high level of amplification in biorecognition processes and good selectivity. The most important enzymes from an analytical point of view are the oxidoreductases, which use oxygen or nicotinamide adenine dinucleotide (NAD) to catalyze the oxidation of substrates, or hydrolases, which catalyze the hydrolysis of compounds. For example, glucose oxidase (GOD) catalyses the oxidation of glucose to gluconic acid, which forms the basis of glucose monitoring for diabetics [1].

10.1.2 Transducers

The transducer converts the bio-recognition event into a measurable signal. Transducers can be clustered in four main classes on the basis of the method used [10]:

1. Electrochemical detection methods;
2. Optical detection methods;
3. Acoustic (mass detection) methods;
4. Thermal detection method (not described here).

Amperometric and potentiometric systems typify the most commonly used electrochemical transducers. The detection of analytes by the biological elements of biosensor often generates chemical species that can be measured by electrochemical methods. The principle of operation of amperometric biosensors is defined by a constant potential applied between a working and a reference electrode. The imposed potential promotes a redox reaction at the electrode surface, which produces a current. The magnitude of this current is proportional to the concentration of electro active species present in solution [11–13]. The simplest amperometric biosensor in common usage is the Clark oxygen electrode (Fig. 10.2). This consists of a platinum cathode at which oxygen is reduced and a silver/silver chloride reference electrode. When a potential is applied to the platinum cathode, a current proportional to the oxygen concentration is produced. In potentiometry, a glass membrane or a polymeric membrane electrode is used for measuring the membrane potential resulting from the difference in the concentrations of H^+ or other positive ions across the membrane. Amperometric or potentiometric transducers can be employed in the

Fig. 10.2 Clark oxygen electrode. The reaction chamber is separated from the electrodes by a semipermeable membrane, which permits oxygen to diffuse from the reaction buffer into the potassium chloride solution that bathes the electrodes: a platinum cathode and a silver anode. A voltage is applied between the electrodes and the resulting current (in the μA range) is proportional to the concentration of oxygen

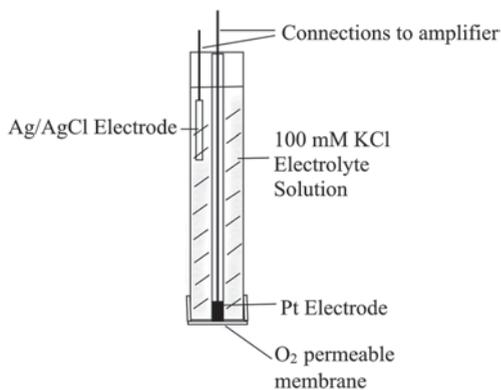
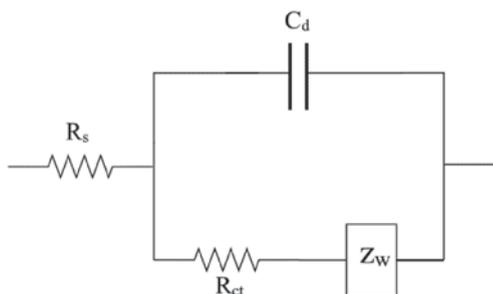


Fig. 10.3 Randles equivalent circuit. Impedance measurements are often fitted to the Randles equivalent circuit, where R_{ct} is the charge-transfer resistance, C_d is the differential capacitance, R_s is the solution-phase resistance and Z_w is the Warburg diffusion element



case of catalytic receptor elements, mainly enzymes that convert the target analyte into a detectable product.

Biosensors based on impedance, can be also classified as electrochemical transducers. Impedance measurements involve application of a small sinusoidal alternate current (AC) voltage probe and determination of the current response. Impedance measurements are often fitted to the Randles equivalent circuit shown in Fig. 10.3, where R_{ct} is the charge-transfer resistance, C_d is the differential capacitance, R_s is the solution-phase resistance and Z_w is the Warburg diffusion element [14]. The Randles circuit describes the Faradaic impedance. In this case, it is necessary to have a redox species in solution where it is possible to monitor the charge transfer resistance. Impedance sensors detect a change in one of these equivalent circuit parameters due to the direct interactions of the target analyte with the probe.

Optical sensors rely on the optical transduction of the signal and comprise ultraviolet, visible and infrared spectrophotometry in transmission or reflectance modes. The relationship between the incident light intensity and the transmitted radiation is given by the Beer–Lambert law. Optical methods have been used classically to determine analyte concentrations. Properties like absorption, refractive indices, fluorescence, phosphorescence, chemiluminescence, etc., can be used in order to

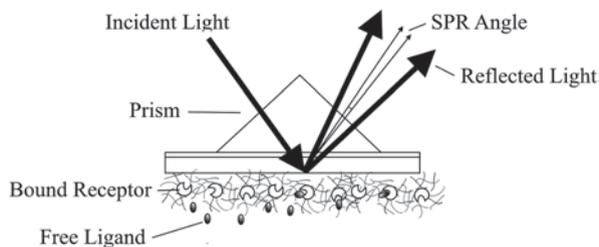


Fig. 10.4 Surface plasmon resonance sensor. SPR measures minute changes in refractive index at and near the surface of the sensing element. SPR measurement is based on the detection of the attenuated total reflection of light in a prism with one side coated with a metal. When a p-polarized incident light passes through the prism and strikes the metal at an adequate angle, it induces a resonant charge wave at the metal/dielectric interface that propagates a few microns. The total reflection is measured with a photodetector, as a function of the incident angle. For example, when an ligand binds to a recognition element (protein, antibody or other) that is immobilized on the exposed surface of the metal the measured reflectivity increases. This increase in reflectivity can then be correlated to the concentration of the ligand

monitor the biological recognition in biosensors. The devices can be miniaturized by using optical fibers, which act as light guides. The detectors are often semiconductor photodiodes. These devices are often used for remote analysis as the light signal is resistant to electrical noise. Optical fiber biosensors can be used in combination with different types of spectroscopic techniques, e.g. absorption, fluorescence, phosphorescence, surface plasmon resonance, etc [15].

Surface Plasmon Resonance (SPR) is a real-time, label-free, optical detection method for studying the interaction of soluble analytes with immobilized ligands or receptors [16–18]. SPR measures minute changes in refractive index at and near the surface of the sensing element. SPR measurement is based on the detection of the attenuated total reflection of light in a prism with one side coated with a metal (Fig. 10.4). When a p-polarized incident light passes through the prism and strikes the metal at an adequate angle, it induces a resonant charge wave at the metal/dielectric interface that propagates a few microns. The total reflection is measured with a photo detector, as a function of the incident angle. For example, when an antigen binds to an antibody that is immobilized on the exposed surface of the metal the measured reflectivity increases. This increase in reflectivity can then be correlated to the concentration of the antigen [19].

Mass transducers measure small changes in mass due to the interaction between the analyte and the biological component of the biosensor. The piezoelectric silica crystals called quartz crystal microbalances (QCM) are the most common mass transducers (Fig. 10.5) and is used to measure very small mass changes in the order of picograms [20–22]. The principle is based on the piezo-electric properties of quartz crystals. Indeed, if an electrical field is applied through quartz, the inner dipoles are re-orientated and a crystalline mechanical strain is observed. When the

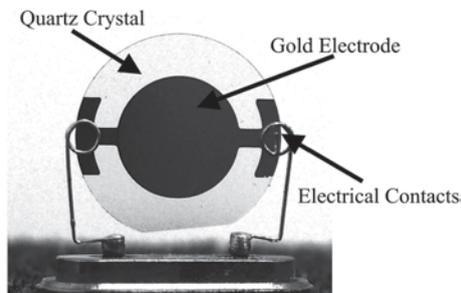


Fig. 10.5 Quartz crystal microbalance. A typical quartz crystal microbalance (QCM) used for mass measurements. If a potential difference is applied between the electrodes of the QCM, the physical orientation of the crystal lattice is distorted, resulting in a mechanical oscillation of a standing shear wave across the bulk of the quartz disk at a characteristic vibrational frequency (i.e. the crystal's natural resonant frequency). The direction of the oscillation depends on the exact geometry of the cut of the quartz crystal

crystal is included in an appropriate electronic circuit, the oscillation frequency measured is closed to the resonant frequency and the generated wave amplitude reaches a maximum value. Modification of a physical characteristic of the resonator, for example changes in global mass or thickness, lead to a variation of the resonant frequency. The frequency change is directly proportional to the mass of the crystal [23]. For biosensors, mass changes occurring when the modified transducer surface interacts with the detected species are common, and this change can be easily measured.

10.1.3 Immobilization

One key factor in the construction of a biosensor is to develop an immobilization method for binding biomolecules on the surface of the transducer. The immobilization technique should not alter the activity, structure and function of the biological component and should assure long-term stability of the active layer of the biosensor. Biological films need to be immobilized using reproducible methods, and once formed these should adapt to different environments, maintaining their stability and activity during changes in temperature, pH, ionic strength and chemical composition [19, 24–26].

The principal methods of immobilization are:

1. Physical or chemical adsorption;
2. Covalent binding;
3. Entrapment within a membrane, surfactant matrix, polymer or Microcapsule;
4. Cross-linking between molecules.

The immobilization method employed depends on many factors, but in general the method needs to be compatible with the biomolecules to be immobilized, the sensor surface or matrix and ultimately the end use of the sensor.

Adsorption of biomolecules from solution onto solid surfaces can proceed via either physical or chemical interactions. Physical adsorption involves Van der Waals forces, ionic binding or hydrophobic forces, whereas in chemical adsorption there is a sharing or transfer of electrons to form a chemical bond. The main advantage associated with direct adsorption onto solid surfaces is that is a simple method which can be performed under mild conditions. In general, however, biomolecules that are adsorbed on to a surface exhibit some degree of reversibility, and with few exceptions, the forces involved in the binding are not very strong. Moreover, irregular distributions of randomly oriented proteins are commonly observed on the surface [27, 28]. Since such protein molecules exhibit multiple-binding sites, they can bind to solid surfaces through various groups in a disorganized manner. Despite these problems, simple adsorption remains the major method used in clinical assays.

An alternative approach to attach biomolecules on sensor surfaces is via covalent binding. Biomolecules are immobilized on solid surfaces through the formation of defined linkages. Covalent binding of biomolecules to the surface is a procedure resulting in minimal loss of biomolecules activity. This method has been employed to improve uniformity, density and distribution of the bound proteins, as well as the reproducibility of the surfaces. Problems associated with instability, diffusion, aggregation or inactivation of proteins can also be overcome by using covalent immobilization. Biomolecules such as enzymes and proteins expose many functional groups on their surface that can be used for covalent immobilization on the transducer; these include amino-acid side chains (e.g. amino groups of lysine), carboxyl groups (aspartic acid and glutamic acid), sulfhydryl groups (cysteine), etc. It is important that functional groups involved in the immobilization reaction of biomolecules on the surface do not result in a loss of activity. Suitable functional groups which are available for covalent attachment are also present on some transducer materials (hydroxyl groups on silica). Metal surfaces such as gold and silver can be modified by reaction with hydroxyalkanethiols to generate hydroxyl, carboxyl or amino groups which may react with enzymes or proteins. This technique includes the Self Assembled Monolayer's (SAMs) method. SAMs are well organized two- or three-dimensional supramolecular structures formed by the adsorption of an active surfactant on a solid surface [29–31] (Fig. 10.6). The spontaneous self assembly is driven by specific interactions between the head functional groups of the “self-assembling molecules” and the surface, followed by a self-organization of a monomolecular film on the surface, which is stabilized by non-covalent interactions among the same molecules. This process is widely used to modify solid surfaces.

The class of monolayer, commonly used in biosensor applications is based on the strong adsorption of disulfides ($R-S-S-R$), sulfides ($R-S-R$) and thiols ($R-SH$) on a metal surface, gold, platinum, silver [32]. Gold is the substrate more commonly used for growing SAMs, since it is possible to obtain thin gold films by thermal evaporation; it is inert to the gases present in the atmosphere and is easy to clean.

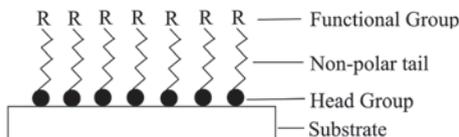


Fig. 10.6 Self assembled monolayers. The spontaneous self assembly is driven by specific interactions between the head functional groups of the “self-assembling molecules” and the surface, followed by a self-organization of a monomolecular film on the surface, which is stabilized by non-covalent interactions among the same molecules

Thiols are chemisorbed on gold through the oxidation of the S-H bond, followed by a reductive elimination of hydrogen and formation of thiolate ions, while the adsorption of disulfides is due to a simple oxidative addition of the S-S bond on the gold surface. The formation of a self assembly monolayer of alkylthiols on gold surfaces has a biphasic kinetic behavior. After an initial fast step which is led by the reaction of the sulphur group on the gold surface, a slow second phase starts the formation of a crystallized surface, where alkyl chains relax from the disordered state and organize into unit cells, thus forming a two-dimensional crystal [33].

SAMs of thiols on gold seems to be the most promising technique for making protein biosensors. Alkylthiols used in the SAMs often carrying carboxylic functional groups that may be easily activated by carbodiimide, forming stable peptide bonds with the proteins' amino groups.

The procedure of entrapping biological components in polymer gels, membranes or surfactant matrices has been used with success in the past and it is still widely employed [34]. The immobilization of an enzyme in a polymeric gel or behind a membrane is a relatively straightforward process. A number of polymers have been used for the inclusion of enzymes, cells and organelles. These include polyvinyl alcohol, polyvinyl chloride, polycarbonate, polyacrylamide and cellulose acetate. Methods used for the entrapment of biological components, however, suffer from the disadvantage of leakage of the biological species during the use, resulting in a loss of activity [35].

Cross-linking of biological components by multifunctional reagents affords good stability to the adsorbed enzymes or proteins. Glutaraldehyde, which couples with the lysine amino groups of enzymes, is by far the most common cross-linking agent in biosensor applications. Biosensors, where the enzymes were immobilized in a glutaraldehyde matrix or in combination with natural polymers as chitosan, were often used [36]. However, there are a number of disadvantages associated with this method: the reaction is difficult to control, the protein layer formed is usually gelatinous and not rigid and large amounts of biological material are required. Cross-linking can result in the formation of multilayer's of protein or enzyme resulting in low activity of the immobilized layers and large diffusion barriers to the transport of the biological species may result, leading to slow interactions [35].

10.2 Odorant Binding Proteins

Discovered almost simultaneously by Pelosi and coworkers in vertebrates [37] and by Vogt and Riddiford in insects [38], it was shown that the nasal mucus of vertebrates and the chemosensillar lymph of insect antennae contain large amounts of small soluble proteins, odorant-binding proteins, that specifically and reversibly bind odor molecules and pheromones [6, 39, 40]. The very high concentrations of these proteins in their respective fluids, as well as their rapid turnover, suggest functions that are important for the individual or for the species. They are involved in the binding of numerous hydrophobic ligands with affinity in the micromolar range. Some similar proteins such as pheromone-binding proteins (PBPs) [41], major urinary proteins (MUPs) in rodents [42–46] or salivary lipocalin (SAL) in the boar [47, 48] are clearly involved in vertebrate chemical communication, binding with high specificity sex pheromone components. On the contrary, the sub-class of odorant binding proteins (OBPs) has the ability to bind a wide range of ligands, without displaying high specificity [6, 39, 40].

When OBPs were first isolated in vertebrates, it was hypothesized that they could be responsible for recognizing olfactory stimuli acting as carriers or scavengers for the hydrophobic molecules of odorants, but their exact role in olfaction is still uncertain. It is largely agreed that OBPs function as solubilizers for odorants [49–52]. Generally, odorants are small hydrophobic molecules that are unable to easily cross the air-liquid interface of the olfactory epithelium in vertebrates or the sensillary lymph in insects. Upon binding to the hydrophilic water soluble OBPs, odorants become solubilized and can thus be transported across perireceptor space, to odorant receptors (ORs). This serves to protect the ligands against degradation by enzymes, such as UDP-glycosyltransferases and sensillary esterases [53]. Opposed to the view that OBPs function as general odorant carriers, the numerous OBPs discovered and the selectivity of each of these for odorant subclasses, in addition to interactions with specific subclasses of olfactory sensory neurons, suggest that OBPs serve a much wider function [54, 55].

It is now proposed that OBPs are involved in the peripheral processing of olfaction. This is demonstrated by the generation of LUSH mutant *Drosophila* flies, which fail to display normal behavioral responses to odorants. Kim et al. (1998) [56] developed mutant flies for LUSH, an OBP expressed in the third antennal segment of *Drosophila*, from a 3 kb genetic deletion, which included a transcription unit for LUSH, thereby preventing its expression. These LUSH mutants did not display the normal aversive behavior to high concentrations of short-chain alcohols, observed in control flies. Interestingly, the reintroduction of the LUSH transgene allowed recovery of the avoidance response. Xu et al (2005) [57, 58] further demonstrated that LUSH mutants do not exhibit social aggregation in response to the pheromone 11-cis vaccenyl acetate. Together, these findings highlight OBPs as essential for olfaction, and necessary for processing of specific odorants. It may suggest that OBPs are selectively binding to distinct odor subgroups, and thus, in combination with the selectivity of ORs, contribute to the responsiveness and sensitivity of OSNs, and ultimately, evoked behavioral responses.

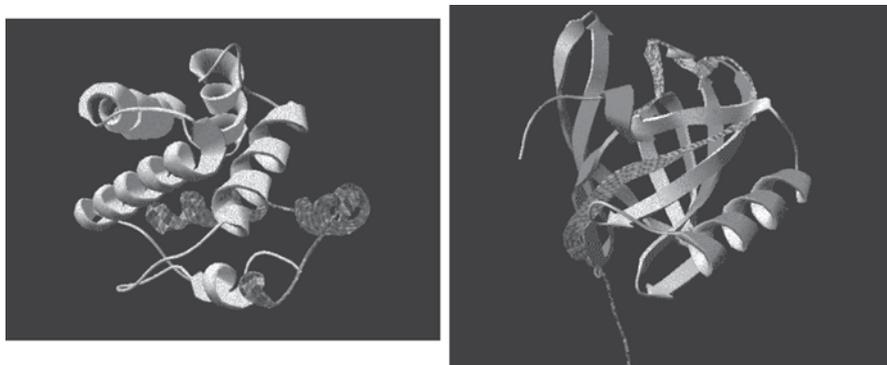


Fig. 10.7 Structure of OBPs from insects and vertebrates. The figure shows OBPs from the wasp *Polistes dominula* (left), and pig *Sus scrofa* (right)

10.2.1 Structure of Odorant Binding Proteins

The secondary structures of OBPs are widely different between vertebrates and insects. The insect's OBPs are mainly organized in α -helical domains, in contrast to the β -barrel motif found in vertebrates (Fig. 10.7).

The three dimensional structure of an insect OBP is very compact and stabilized by three interlocked disulphide bridges connecting six cysteines, which is the fingerprint of this protein family. The first OBP of insects was discovered at the beginning of the 1980's in the giant moth *Antheraea polyphemus* [38] using a tritium-labelled specific pheromone (E, Z)-6,11-hexadecadienyl acetate as a probe. A great number of proteins similar in their amino acid sequences to the OBP were later identified in many Lepidopteran species, *Lymantria dispar* [59], *Manduca sexta* [60], *Bombyx mori* [61] and many others. According to their length and the number of cysteines, insect OBPs can be grouped into: classic OBPs (having the typical six-cysteine signature), tandem OBPs (constituted by two classic OBPs linked by few amino acids), C-plus OBPs (containing more cysteines in addition to the six of the conserved motif), C-minus OBPs (presenting only four of the six conserved cysteines), and atypical OBPs (having a variable number of additional cysteines and generally a longer C-terminus) [62–67]. Beside odorant binding proteins, a second class of polypeptides has been identified in the lymph of chemosensilla: chemosensory proteins (CSPs). OBPs are believed to be an evolved form of CSPs which are mainly present in primitive arthropods [68].

Vertebrate odorant-binding proteins were discovered almost simultaneously with insect OBPs using a ligand-binding approach. Pelosi et al. [37] detected in the nasal olfactory mucosa of bovines and other mammals soluble proteins able of binding 2-isobutyl-3-methoxypyrazine, an odorant with a low detection thresholds. Several members of this family were later purified from different mammals: pigs, rabbits [69], mice [70], porcupine [71] and humans [72]. OBPs expressed in mammals are dimers or monomers of acidic polypeptides of about 17–20 kDa, belonging to the

DSDIAVKKYL HAVPEPVLAK CLKESGLEAD KDKLLSDEST VDQGGKFSCLI
ACTLKDNGALVNGELKYDVL SELLSKLLTN KEDKLQERLE KACIPEGA
NAKNDCEYIG KIMQCKLSKA KEMGL

Fig. 10.8 Amino acid sequence of OBP1 from the wasp *Polistes dominulus*

superfamily of lipocalins [6]. They are synthesized in several glands located in the respiratory region of the nasal tissue, such as the lateral nasal glands and the glands of the septum [73–75]. Vertebrate OBPs are mainly organized in a β -barrel structure, with a calyx-shaped cavity, made up of eight antiparallel β -sheets with a short segment of α -helix at the C-terminus [76, 77]. The ligand binding site is located in the core of the β -barrel, as was demonstrated by the use of a selenium-containing ligand, 2-amino-4-butyl-5-propylselenazole [78]. Figure 10.7 compares the structure of vertebrate and insect OBPs.

OBPs from different sources display specific affinities toward a wide range of compounds with different sizes and shapes. They can be easily expressed in heterologous systems, they show high thermal stability and it is possible to modify their binding sites by site-directed mutagenesis. These factors make them attractive for the design of biosensors that can be used for detection of ligands in the liquid phase or in vapor phase.

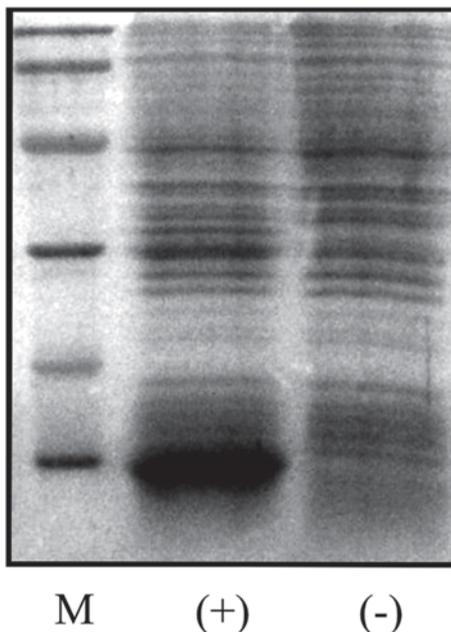
10.2.2 Construction of an OBP Biosensor

10.2.2.1 Protein Expression

Because the amino-acid sequences of many OBPs are available, it is now a fairly straightforward procedure to create plasmids containing the appropriate gene sequence coding for a particular protein using either vertebrate or invertebrate OBPs. For example, the amino acid sequence for OBP1 from the wasp *Polistes dominulus* is shown in Fig. 10.8. The nucleotide sequence of the OBPs can be easily insert inside a plasmid, such as pET (Promega). The obtained expression vector was used to transform *E. coli* BL21(D3) cells (Agilent technology). The construct included the nucleotide sequence coding for the protein, an ATG codon at the 5' end and a stop codon at the 5' end. These two extra codons were inserted in the sequence of primers in the forward and reverse direction respectively, to allow the correct expression of the protein.

Bacteria colonies were grown overnight in 10 ml Luria-Bertani/Miller broth containing 100 mg l⁻¹ of ampicillin. The culture was diluted 1:100 with fresh medium and grown at 37 °C until the absorbance at 600 nm reached 0.7 AU. At this stage, 0.4 mM isopropyl thio- D-galactoside was added to the culture to induce expression. After 2 h at 37 °C, the cells were harvested by centrifugation, resuspended in 50 mM Tris-HCl pH 7.4 and lysed by sonication. The recombinant OBP was present at this stage in the supernatant and was expressed with yields of about 15 mg l⁻¹

Fig. 10.9 Electrophoretic analysis in denaturing conditions (14% SDS-PAGE) of bacterial cultures expressing OBP, sampled before (–) and after (+) induction with isopropyl-beta-D-thiogalactopyranoside



of culture. The proteins were purified by two chromatographic steps on anion-exchange resin DE-52 (Whatman), using a gradient of 0.5 M NaCl in Tris buffer, followed by gel filtration on Superose-12. Fractions were analysed by SDS-PAGE and by UV spectroscopy to evaluate the amount of DNA co-eluted with the protein. At the end of the purification procedure, the proteins were more than 95% pure, as judged by SDS-PAGE, and virtually free from DNA.

The protein was expressed in good yields (around 15 mg of OBP per litre of bacterial culture) and in soluble form.

Figure 10.9 shows the electrophoretic analysis in denaturing conditions (14% SDS-PAGE) of crude bacterial cultures expressing OBP, sampled before (–) and after (+) induction with isopropyl-beta-D-thiogalactopyranoside. The expressed protein had a molecular weight of about 15 kDa.

10.2.2.2 Quartz Crystals

Piezoelectric AT- cut quartz crystals, with a resonance frequency of 20 MHz and 7.95 mm of diameter were used as the transduction elements for an OBP biosensor. The crystals were coated on both sides with a layer of gold (Au geometric surface of 4.9 mm diameter). The gold was deposited on the quartz surface with an adhesion layer of titanium.

10.2.2.3 Protein Immobilization

Proteins were immobilized on the gold surfaces of the quartz crystals using two different methodologies:

- direct covalent immobilization
- Covalent immobilization—Self assembled monolayer (SAM)

Before immobilization of proteins, the gold surface was rinsed with absolute ethanol and double distilled H₂O in case of new crystals. If quartz crystals were to be reused, they were cleaned by dipping the crystal in Piranha solution (1:3=30% H₂O₂: H₂SO₄) for few minutes to remove any organic residues from the surface.

10.2.2.4 Direct covalent immobilisation

For direct covalent immobilization, we modified the OBP by adding a cysteine residue at the N-terminal end of the protein—allowing a stable bond to be formed between the thiol group of cysteine and the gold surface. The immobilisation was carried out by spreading 5 µl of proteins (2.3 mg ml⁻¹) on the gold surface. The protein was added about every 2 hours for at least four times. After that, the surface was gently rinsed with sterile double distilled H₂O and was left to dry. The same procedure was applied for the gold electrode on the other side of the QCM.

10.2.2.5 Covalent Immobilization

OBPs were immobilised on the gold surface through covalent immobilization via a Self assembled monolayer (SAM). Thiocetic acid (TA) ((R)-5-(1,2-dithiolan-3-yl) pentanoic acid). The sulphur atoms of the TA forms a strong bond with the gold, while the other end of the molecule is free to bind to the proteins. TA (100 mM in absolute ethanol) was dropped on the gold surface of the crystal, repeatedly every 20 min, for at least 2 hours. The same procedure was used for the gold electrode on other side of the quartz crystal. The SAM procedure was carried out in a controlled environment under nitrogen. The quartz crystal was then rinsed with an excess of absolute ethanol and was left to dry at air. In order to activate the carboxylic acid groups of the SAM, 20 µl of a solution consisting of a mixture of ethyl(dimethylaminopropyl)carbodiimide (30 mM) and N-hydroxysuccinimide (60 mM) was placed on the gold surface for 2 hours. The solution was then rinsed with distilled water and was dried at air. These procedures for the SAM activation were applied to both sides of the gold surfaces for all the quartz crystals. The immobilization of proteins on the activated SAM layer was carried out by pipetting the OBP solution onto the gold surface and leaving it for 1 hour before gently rinsing with distilled water and drying in air. The amount of protein deposited on to the gold surface corresponded to about 10 µg of OBP.

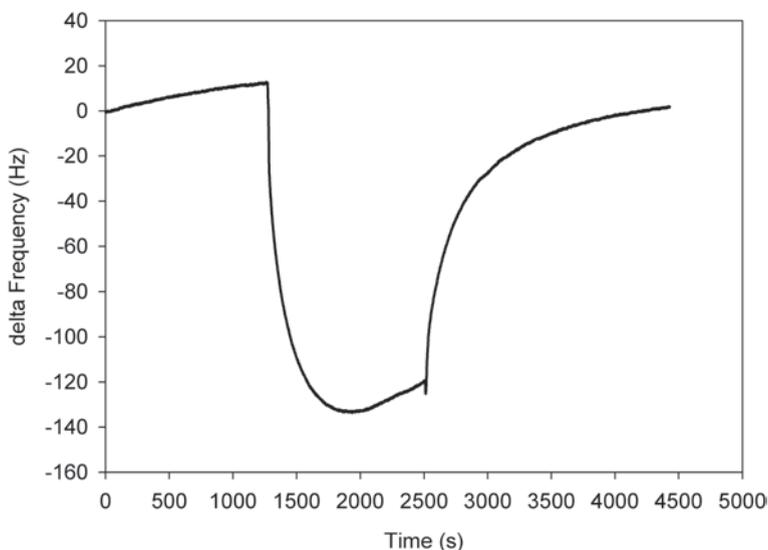


Fig. 10.10 Response of a QMB coated with *P. dominulus* OBP to a pulse 350 ppm of 2-isobutyl-3-methoxypyrazine in air

The best performance were obtained using covalent immobilization by using self assembled monolayers rather than direct covalent binding of the proteins.

10.3 Sensor Responses

Although the binding affinities of odorant binding proteins have been characterized to a range of analytes in solutions [49, 79, 80], there is little data available about how they interact with ligands in the vapour phase. Here we report our first experiments in this area.

The raw response signal from a QCM with immobilized odorant binding proteins from the *Polistes dominulus* to 350 ppm of 2-isobutyl-3-methoxypyrazine in air are shown in Fig. 10.10. The decrease of the quartz crystals basic oscillator frequency due to the changes of mass over time is recorded as the sensing signal. Sensors were stabilized under a constant flow of air before the introduction of target gas in order to obtain a reference frequency and eliminate the effect of flow dynamics. The baseline frequency was then re-established by a flow of clean air in order to remove bound analytes. The sensor showed good reversibility as well as stability over time, although the baseline frequency measured was prone to long term drift. Control experiments with gold surfaces on bare crystals indicated that responses specific to the presence of OBPs were being detected.

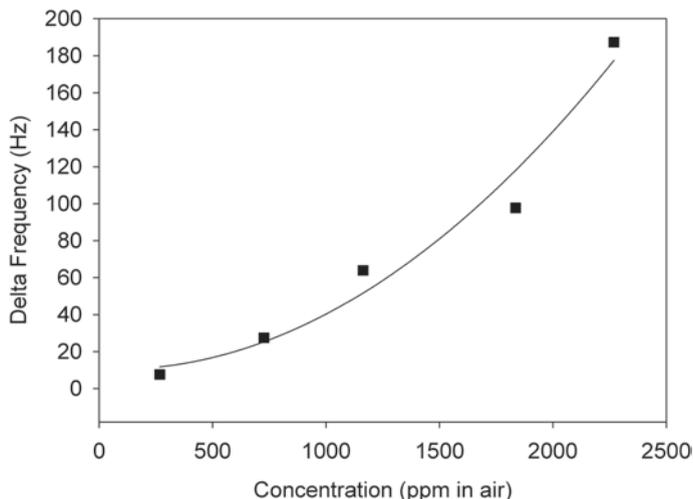


Fig. 10.11 Concentration-response curve for 2,3-dimethylpyrazine—QMB coated with pig OBPM2. A quadratic polynomial $f=y_0+ax+bx^2$ was fitted with coefficients $y_0=10.57$, $a=-0.005$, $b=3.45E-5$ with $R^2=0.97$

Intriguingly, pyrazines are a group of chemicals to which good responses are normally found from proteins over a range of species from ligand binding experiments carried out in solution [80]. In the vapour phase, for all species of proteins immobilized on quartz crystal microbalances we observed a concentration-dependence in the responses to these compounds. The change in frequency of the QMB is proportional to concentration of analyte in the vapour phase as illustrated in Fig. 10.11, which can be fitted with a quadratic polynomial. In this case the concentration-response curve of pig OBP to 2,3-dimethylpyrazine is shown, and similar response curves with different initial slopes are seen with other types of OBPs, or with other analytes for the same OBP. Mass transduction mechanisms in QMBs have been reviewed by Mecea [81] who indicates that the QMB is not only a sensitive mass sensor but it is also an actuator generating a mega gravity field on the surface of the quartz resonator. It is claimed that the very high mass sensitivity of the QCM is explained by the very high acceleration acting on the deposited film.

We have investigated the selectivity of proteins from different sources to a range of different pyrazines. This indicated that the binding sites are sensitive to the size and shapes of the ligands. There are some intriguing similarities and differences in the trends found. Both the protein from the pig and the protein from the wasp gave similar trends in terms of response—2-isobutyl-3-methoxypyrazine always giving the best response, although the protein from the wasp was less sensitive than the pig protein as shown in Fig. 10.12.

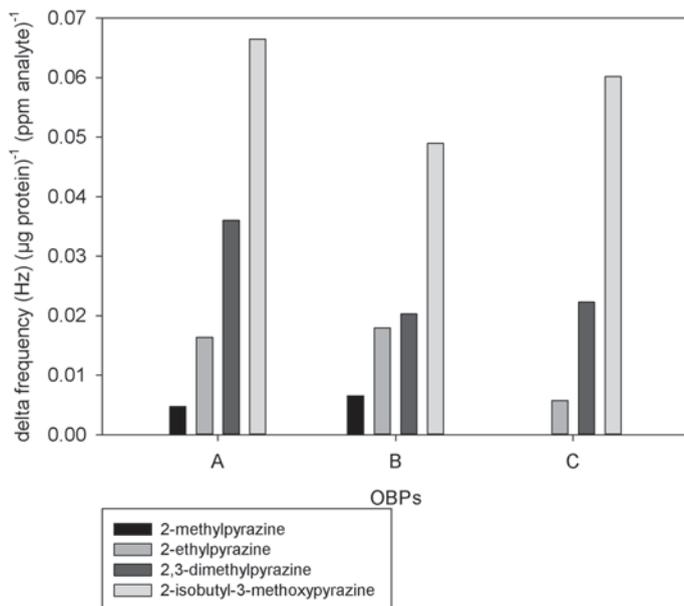


Fig. 10.12 Normalised responses of *A*—pig *Sus scrofa* OBP, *B*—wasp *P. dominula*, *C*—locust *L. migratoria* OBP immobilized on quartz microbalances to pyrazine derivatives, 2-methyl pyrazine, 2-ethyl pyrazine, 2,3-dimethylpyrazine, 2-isobutyl-3-methoxy pyrazine

10.4 Conclusions

The exceptional stability of OBPs to thermal denaturation and proteolytic degradation makes these proteins interesting for incorporation into sensing devices. There is now a large body of knowledge available on the protein sequences, ligand binding specificities and affinities, as well as crystal structures for key members of the family, so it is possible to tailor proteins in the future for selected target analytes. Few papers so far describe the potentialities of OBPs as biosensors. We show here that it is possible to express different OBPs from many sources using conventional molecular genetics methods. These proteins can be immobilized and are able to capture volatile analytes from the vapour phase. Using a quartz crystal microbalance platform as a transduction element, it is possible to detect and measure quantitatively concentrations of volatile analytes at ppm concentrations in air. Further work is necessary to improve the versatility of these new sensors in matching the complexity and variety of environmental odours.

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Chapter 11

Optical Methods in Studies of Olfactory System

Sang Hun Lee, Seung-min Park and Luke P. Lee

Abstract The olfactory receptor (OR) comprises the largest multi-gene G protein-coupled receptor (GPCR) family by playing a critical role in recognizing thousands of odorant molecules. Odorant-OR pairs have been characterized using various functional assays, and have provided an understanding of molecular basis in olfaction as well as characterizing specificity between agonist and antagonist. This chapter introduces the most commonly employed, labeled or label-free optical techniques employed to identify the odorant-OR pairs on a cellular and molecular level, and reviews recent developments in odorant binding assays to ORs with optical methods such as Ca^{2+} imaging, reporter-gene technology, surface plasmon resonance (SPR) and so on. For OR and GPCR study, a set of optical technologies including—but not limited to—Raman spectroscopy, photonic crystal, and total internal reflection (TIR) are also discussed in an analytical science point of view.

11.1 Molecular and Neural Basis of Olfaction

11.1.1 Olfactory System

Landmark discovery of the gene family encoding vertebrate olfactory receptors (ORs) in rats by Buck and Axel has led to a detailed understanding of the molecular and neurological bases in the olfaction [1]. The initial steps in an olfaction take place in olfactory sensory neurons located in the olfactory epithelium inside the nasal cavity. These neurons are responsible for the detection of odorants and the generation of the neural signal that is transmitted to the brain via olfactory bulb as shown in Fig. 11.1. Based on this process, the olfactory sensory system can detect thousands of different single and mixed odorants. For many organisms, the olfac-

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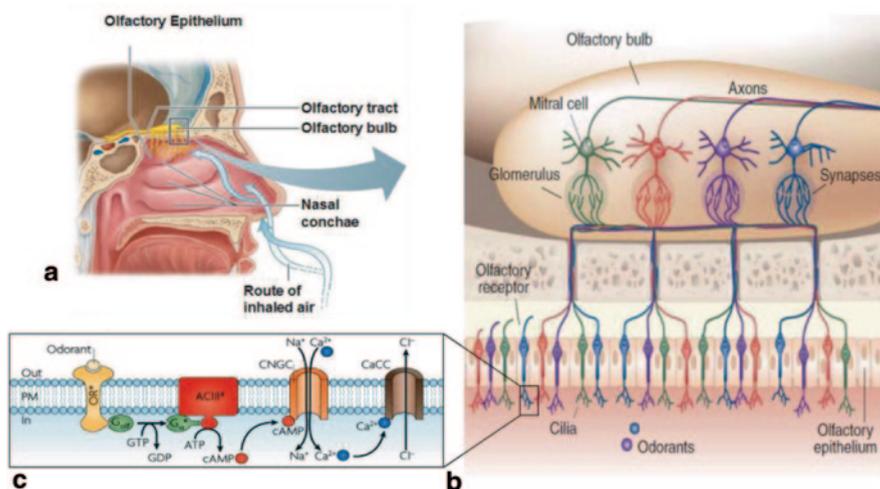


Fig. 11.1 Functional anatomy and structure of the olfactory system. **a** Localization of the olfactory apparatus in a human. **b** Structure of the olfactory epithelium showing the different cell types and the connecting from axons to glomeruli of the olfactory bulb. Bipolar olfactory sensory neurons are embedded in a small area of OE. These neurons project axons to the olfactory bulb of the brain. (Reprinted from Ref. [5] with permission from NPG). **c** Schematic diagram of olfactory signal transduction pathway. When an odorants binds to an OR protein (OR) in the olfactory cilia membrane a G protein ($G_{\alpha_{olf}}$) is activated, leading to an increases in the generation of cAMP from ATP by adenylyl cyclase (ACIII). Olfactory cyclic nucleotide-gated channels (CNGC) conduct Na^+ and Ca^{2+} into the cilia. Ca^{2+} in turn opens Ca^{2+} -activated Cl^- channels (CaCC), which as intracellular Cl^- concentration is high, leads to Cl^- efflux. (Reprinted from Ref. [34] with permission from NPG)

tory sensory system can efficiently distinguish among numerous odorant molecules, specifically hydrophobic organic molecules at very low concentrations [2–5]. Unlike unique receptor-ligand interactions, the olfactory sensory system is based on combinatorial codes where a single receptor type can respond to many different odorants [6–8]. The broad tuning of olfactory cells with overlapping odorant response profiles allows for the identification and discrimination of odor signals for ORs, revealing a combinatorial receptor coding scheme in the entire system [9–11].

Numerous ligand-binding assays have been developed and used to figure out binding specificity with various ORs. These assays have generally utilized olfactory neurons or heterologous cells to express recombinant ORs and allow detection of OR-ligand pairs for the purpose of research in the olfaction mechanism. Researchers have also developed a much broader range of olfactory biosensor platforms such as surface plasmon resonance (SPR) [12–15], quartz crystal microbalance (QCM) [16–19], nanomaterial based-field effect transistor (FET)-type sensors [20–25], light-addressable potentiometric sensor (LAPS) [26–29], and microelectrode array [30–32] that utilize characterized OR-ligand interactions to validate the sensors [33].

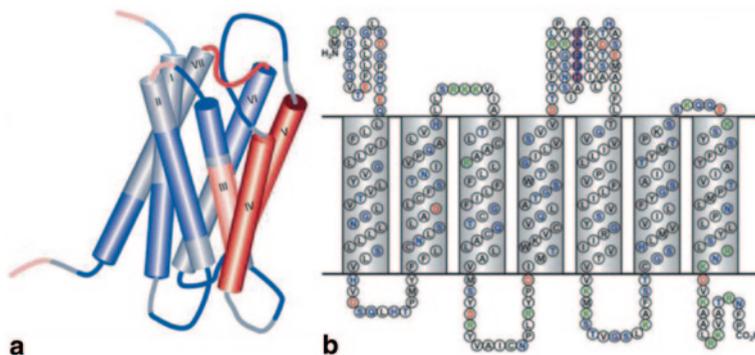


Fig. 11.2 **a** Schematic view of the proposed three-dimensional structure of the OR. The each color indicates the conserved (*blue*) and variable (*red*) regions. (Reprinted from Ref. [3] with permission from NPG). **b** Secondary structure prediction with amino acid aligned to the TM helix and loop regions. The each color represent as follows: hydrophobic residues in black, polar residue in green, positively charged in blue, and negatively charged in red metal ion-binding site in orange on blue. (Reprinted from Ref. [42] with permission from NAS)

11.1.2 Olfactory Receptor

ORs belong to the G protein-coupled receptor (GPCR) family and play a critical role in recognizing thousands of odorant molecules in the olfactory system. In the last decade, the Human Genome Project has enabled the comprehensive analysis of the OR gene family and revealed the genomic structure and distribution of OR genes from various organisms. It has been estimated that the OR family includes at least several hundred members [35, 36]. Analysis of the human genome has revealed 1,000 unique OR genes along with 350 potentially functional genes. Recent studies indicate that some 70% of all human OR genes may be pseudogenes, compared with fewer than 5% in rodents or lower primates [37–39]. The human OR genes, like those of other mammals, were classified as class I (fish-like) ORs, originally identified in fish, and class II (terrestrial-type) ORs [40, 41]. Within the family of ORs, there is a range of similarity, from less than 40% to over 90% identity in sequence. Interestingly, there is a region of hyper variability, where sequences show particularly strong divergence (Fig. 11.2).

ORs are seven-transmembrane (7TM) domain proteins, sharing many features with other G protein-coupled receptors (GPCRs), including a coding region that lacks introns, a structure that predicts seven α -helical membrane-spanning domains connected by intracellular and extracellular loops of variable lengths, and numerous conserved short sequences as shown in Fig. 11.1 [3, 42]. The odorant-binding site in OR proteins has been elucidated using a functional assay, showing that a binding pocket constructed by TM helices provides the molecular basis for agonist and antagonist specificity [10]. A multitude of up to 1,000 OR and numerous combinatorial odorant recognition properties have made it difficult to assign ligand profiles to an individual OR [43]. The question also rises whether the ligand specificity deter-

mined in heterologous cells really reflects *in vivo* responsiveness of the target OR. As such, caution must be prioritized when evaluating structure-activity relationship of ORs *in vitro* expression systems [44].

11.1.3 Olfactory Signal Transduction

Odorant stimulation elicits a signal transduction cascade mediated by OR expression on the surface of the olfactory neuronal cilia. The olfactory signal cascade is generated through two pathways: cAMP and IP₃. The cAMP cascade is dominant in transmitting odorant signals in the olfactory neurons, whereas the role of the inositol-1,4,5-triphosphate (IP₃)-mediated pathway remains unclear [45]. The initial step in the cAMP pathway is binding of odorant molecules to specific OR proteins on the cilia surface [8, 34, 46]. Ligand binding triggers a change in the OR structural conformation via the activation of a membrane-bound type III adenylyl cyclase (ACIII) in an olfactory-specific G protein subunit (G_{αolf}). ACIII leads to the generation of cyclic adenosine monophosphate (cAMP), which directly opens a heteromeric cyclic nucleotide-gated (CNG) in the cilia membrane. The opened CNG-channel leads to an influx of Ca²⁺ and Na⁺, and subsequent opening of the Ca²⁺-activated Cl⁻ channel results in the depolarization of olfactory cells through cation influx as shown in Fig. 11.1 (c). The secondary mechanism in olfactory signal transduction involves the generation of IP₃ from phosphatidyl inositol 4,5-bisphosphate (PIP₂) by phospholipase C [4, 47]. For example, the specific binding of diacetyl to ODR-10 is the OR protein of the nematode *Caenorhabditis elegans* (*C. elegans*), which triggers signal transduction through the IP₃ pathway. Activated OR subsequently activates phospholipase C (PLC), which converts the phosphatidyl inositol 4,5-bisphosphate (PIP₂) into inositol 1,4,5-triphosphate (IP₃). The IP₃ opens the Ca²⁺ channel on the surface of endoplasmic reticulum (ER), which increases cytosolic Ca²⁺ ion. Ultimately, both the cAMP- and IP₃- pathway can be analyzed by simply measuring the influx or efflux of Ca²⁺ ions upon ligand stimulation.

11.2 Labeled Optical Methods for Odorant Binding Assay

Fluorescence or bioluminescence measurements are some of the techniques used in standard optical assays developed for monitoring cellular activation events. For the characterization of ORs, these basic optical methods include Ca²⁺ imaging, cAMP-reporter assay, fluorescent resonant energy transfer (FRET), bio-luminescence resonant energy transfer (BRET), and so on, used to measure the interaction between the OR and odorant in the cellular level that result from signal transduction. These cell-based assays, which use cells as the sensing elements, have many advantages over other techniques in molecular interaction study and can be used to derive functional information of biologically active analytes. This section provides a general overview of the most common types of optical detection technique.

11.2.1 Intracellular Ca^{2+} Imaging-based Odorant Sensing

Measuring the change in intracellular Ca^{2+} levels via olfactory signal transduction is a very useful tool for examining the function of the OR proteins expressed in olfactory neuron and heterologous cell systems [45, 48]. In this regard, Ca^{2+} imaging is a basic strategy for detecting physiological odorant responses by measuring the temporal and spatial properties of Ca^{2+} changes caused by odorant stimuli. Ca^{2+} signals in the cytosol and organelles are important for cellular signal transduction and are usually measured using a synthetic fluorescent chelator, such as Fura-2. Odorant stimulation causes Ca^{2+} entry through CNG channels in individual responsive neurons, which is then regulated by a series of signal transductions. Essentially, if an OR specifically binds with a ligand, a signal cascade induces an influx of Ca^{2+} ions. Intracellular Ca^{2+} ions then bind to Fura-2, which was previously loaded into cells. The excitation wavelength of Fura-2 changes from 380 to 340 nm when Fura-2 binds to the Ca^{2+} ions. As a result, the increase in the amount of intracellular Ca^{2+} ions can be estimated by the ratio of fluorescence emissions (excitation at 340/380 nm).

Identification and functional characterization of human OR (hOR)17-4 by the ratio-fluorometric Ca^{2+} imaging was reported by Spehr et al. [49]. This expression of hOR17-4 protein in a heterologous HEK-293 cell line allowed for the identification and structure-function analysis of cognate receptor-odorant pairs using single cell Ca^{2+} recording as shown in Fig. 11.3. An *in situ* Ca^{2+} -imaging technique was adopted to monitor odorant responses of more than several hundreds of neurons simultaneously through an intact coronal slice of the olfactory epithelium. The sensitivity and resolution of Ca^{2+} -imaging were high enough to distinguish between olfactory neurons with threshold concentrations for a particular odorant at the single cell level. Increasing odorant concentrations resulted in increases in the numbers of odorant-responsive neurons. This methodology is powerful tool to visualize spatial distributions of odorant responsive neurons at a cellular resolution, and to construct odor maps in a coronal view of the olfactory epithelium [50].

Ca^{2+} imaging technique can be used for identification of OR-odorant pair and structure-activity relationship. In a study from Wetzel and co-workers, an odorant screening strategy in heterologous cells was demonstrated using Ca^{2+} imaging [51]. A mixture of 100 different odorants (Henkel 100) elicited a transient increase in intracellular Ca^{2+} , and a specific single odorant component to human olfactory receptor (hOR) 17-40 protein was identified by subdividing the odorant mixture into progressively smaller groups. Ca^{2+} imaging was also applied for de-orphaning of the OR through high-throughput screening using fluorescence imaging plate reader (FLIPR) experiments [43]. Ca^{2+} imaging and HeLa/OR heterologous cell systems were applied to a large-scale odorant-receptor that screened and established receptor-specific odorant profiles, resulting in the de-orphaning of two ORs for the odorants from an expression library of 93 receptors. Unfortunately, the de-orphaning of OR is still complicated by its combinatorial odorant coding and lack of efficient assay tools. Although ORs are efficiently expressed in various mammalian cell lines (e.g., HEK-293, COS-7, Hana3A, *X. laevis* oocyte and CHO-K1 cells) [51–55],

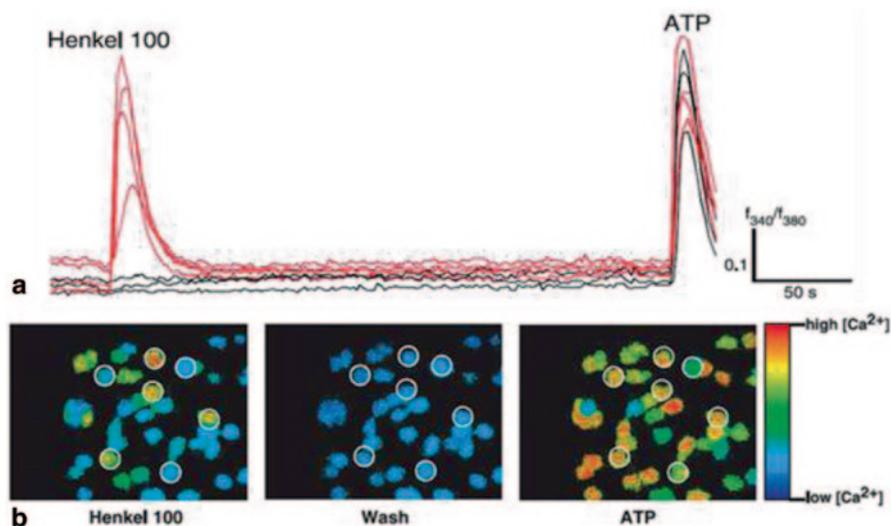


Fig. 11.3 Identification of odorant-responsive cell in Ca^{2+} imaging experiments. **a** Ca^{2+} changes in individual cells. The integrated fluorescence ratio (F340/380 nm) for various fura-2-loaded cells is shown as a function of time. **b** In a randomly selected field of view, the complex odorant mixture Henkel 100 induced transient Ca^{2+} signals in transfected HEK-293 cells (red traces). Adenosine triphosphate (ATP) served as a control of HEK cells excitability. Ca^{2+} changes in individual cells are indicated in pseudocolors. (Reprinted from Ref. [49] with permission from AAAS.)

the heterologous expression system still requires additional components that allow for expression of enough OR proteins on the cell membrane and enhancement of the signal transduction efficacy. Co-expression of Ric8B greatly facilitates signal transduction of ORs by enhancing GDP-GTP exchange activity of G_{os} [56]. RTP (receptor-transporting protein) and REEP (receptor expression enhancing protein) assists and promote the cell surface expression of OR proteins by acting as a kind of chaperone [23, 55, 57].

In terms of odorant quality, individual olfactory neurons appear to have broad odorant tuning specificity that is determined based on structural features in odorant molecules such as differences in terminal groups, chain lengths, and positions of functional groups [58]. Furthermore, single responsive cells, in conjunction with a certain odorant, showed an increased in response to additional odorants with higher concentrations. Data from the Ca^{2+} imaging suggested a combinatorial receptor code model in which different odorants are recognized by overlapping sets of OR [9]. In the case of OR proteins, odorants can function both as agonists and antagonist, suggesting that the interactions between ORs and odorants is quite complicated [59]. The Touhara research group reported that the odorants can inhibit odorant responses of ORs at the receptor level by Ca^{2+} imaging in the olfactory neuron olfactory epithelium [11]. As an example, the eugenol response of mouse OR-EG was potently blocked by some structurally related odorants such as methyl isoeugenol and isosafrol. In complex mixtures of odorants, antagonism between odorants at

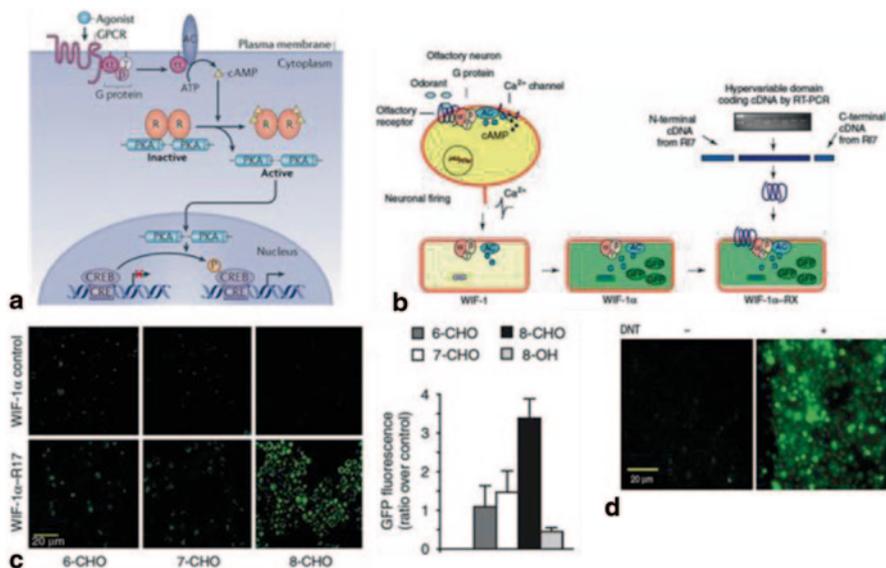


Fig. 11.4 Reporter gene technology for whole-cell olfactory biosensor using fluorescence and bioluminescence. **a** An analyte or a stimulus i.e odorant specifically activates a regulatory gene sequence via signal transduction that controls the reporter gene expression and the synthesis of the reporter protein, which is then measured by fluorescence or bioluminescence. (Reprinted from Ref. [65] with permission from NPG). **b** Yeast cells containing rat ORs were used as a 2,4-dinitrotoluene (DNT) detection. Schematic showing the construction of olfactory yeast strain WIF-1 α -RX. Transfection with a plasmid containing the GFP gene formed the WIF-1 α strain, in which GFP expression serves as a reporter. **c** Specificity of WIF-1 α -ORI7 response. Cells were incubated with hexanal (6-CHO), heptanal (7-CHO), octanal (8-CHO) or octanol (8-OH). **d** Response of WIF-1 α -Olfir226 strain to DNT. (Reprinted from Ref. [66] with permission from NPG)

the receptor level could be involved in the perceptual complexities seen in odorant mixtures. This phenomenon, known as mixture suppression, has been verified in behavioral experiments, as well as at the cellular and olfactory epithelial levels for various OR [43, 48, 60, 61].

11.2.2 Reporter Gene-based Selective Odorant Sensing

Reporter gene technology represents one of the major recent achievements in molecular biology. Reporter genes are DNA sequences that encode an easily detectable protein or enzyme such as luciferase or GFP [62–64]. The rate of fluorescence and bioluminescence output is directly proportional to the concentration of the indicator material present. The principle of reporter gene technology applied to the development of whole-cell olfactory biosensors is shown in Fig. 11.4.

The cAMP responsive binding protein is a well-characterized transcription factor regulated by cAMP [50]. The transcription factor cAMP-responsive element

binding protein (CREB) and its binding site (cAMP response element, CRE) have been suggested to play a major role in reporter gene assay. CREB belongs to the family of basic leucine zipper transcription factors and modulates gene transcription by binding to the CRE in the promoter regions of various genes. In the CRE-based odorant sensing system, odorant binding to OR stimulates adenylyl cyclase (AC) via G protein activation. Activated AC causes a rise in intracellular cAMP from ATP, which activates protein kinase A (PKA). The catalytic unit of PKA can then move into the nucleus and phosphorylate a CREB to CREB-P, allowing it to bind to a CRE in the promoter of a target gene, thus increasing transcription [63, 67]. Generally CRE/CREB-driven gene expression via ligand stimulation can be measured as luciferase activity or fluorescence protein expression. As mentioned above, cAMP is the second messenger involved in olfactory signal transduction. Thus, it would be of interest to measure cAMP since it is a molecule that transmits the signal from the membrane to the metabolic machinery of the cytosol.

Katada and co-workers reported that odorant-induced cAMP response can be observed using a CRE-regulated luciferase reporter gene assay [52]. A luciferase reporter gene assay using the zif268 promoter, known to contain CREs, was adopted to amplify the cAMP signals. An intracellular cAMP increase upon exposure to the proper agonist initiates a cascade that leads to CRE-mediated gene expression. In addition, odorant-induced cAMP increases via *G_{αs}* and AC activation can be measured directly using an enzyme-linked immunoassay [43]. Bioluminescence measurements through a luciferase reporter were applied in response to odorant stimulation [68]. Yeast functional activity, which co-expresses rat OR (rOR) I7 and *G_{olf}*, was evaluated by a luciferase reporter placed under an inducible promoter, triggered by the specific odorant to its related OR interaction, and having the dose-response curves, from 10^{-12} to 10^{-4} M of a specific odorant, plotted as a difference to negative controls. The luciferase reporter can be used as a useful tool for simple, rapid odorant screening of ORs to provide olfactory coding mechanisms. Fukutani and co-workers reported a bioluminescence reporter-based 2,4-dinitrotoluene (DNT)-sensing system using OR-expressing yeast [69]. A biomimetic odor-sensing system was constructed with engineered yeast expressing OR, using firefly luciferase (*luc*) as the reporter. In addition, Radhika et al. used *S. cerevisiae* to screen for the rat OR226 gene that possesses sensitivity for DNT, an analog of the explosive trinitrotoluene [66] (see Fig. 11.4). This screening was accomplished by constructing a chimeric OR receptor that both the N- and C-terminal regions of the endogenous protein replaced with the rOR17 receptor. This system can be expected to enable the quantification of flavors, fragrances, and/or the avoidance of harmful agents or explosive accidents.

11.2.3 Fluorescence and Bioluminescence Resonant Energy Transfer-based Odorant Sensing

Recent progress in molecular biology has made several biotechnological tools such as FRET and BRET available. These developments provide inroads to continuous

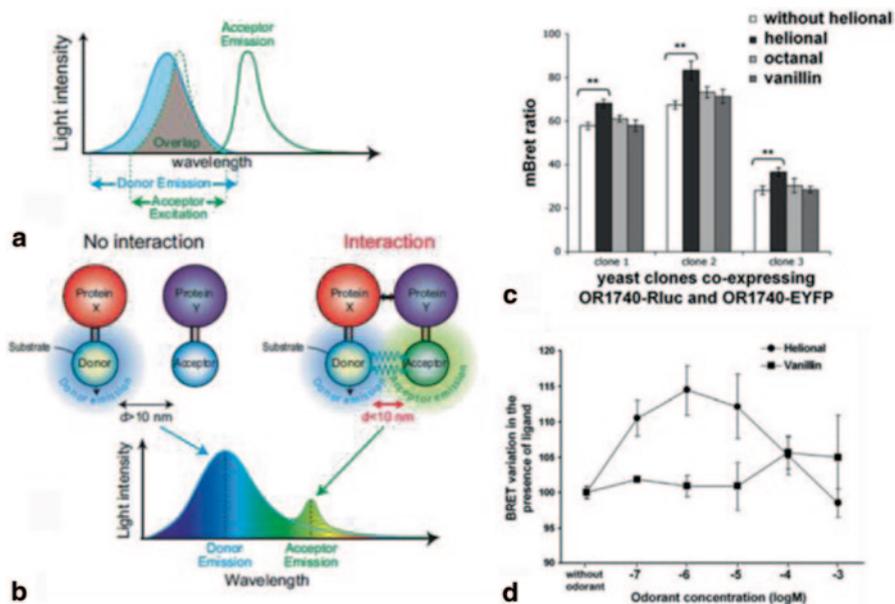


Fig. 11.5 The principle of BRET-based assay. **a** To have an energy transfer between a donor (light-producing enzyme) and an acceptor (fluorophore), the emission spectrum of the donor must overlap with the excitation spectrum of the acceptor. **b** The energy transfer can occur only when the donor and the acceptor are spatially close. BRET signal will be measured using fusion with protein of interest when the two proteins studied physically interact. (Reprinted from Ref. [70] for **a** and **b** with permission from John Wiley & Sons, Inc). **c** Odorant-induced BRET signal measurement. Crude membranes from three different clones co-expressing hOR1740-Rluc and hOR1740-EGFP were used to perform BRET assays without or with odorants (helional as a hOR1740 agonist) **d** BRET level variation upon hOR1740 stimulation with different helional concentrations. (Reprinted from Ref. [71] for **c** and **d** with permission from ASBME)

in vivo monitoring of biological events via signal cascades such as gene expression and protein-protein interaction. Such technical progresses have led to the development of sensitive and selective bio-analytical tools like recombinant whole-cell biosensors. In order to investigate protein-protein interactions, one protein is fused to the donor and the other to the acceptor. If the two fusion proteins interact and the distance between the energy donor and acceptor is less than 10 nm, a resonance energy transfer occurs and an additional light signal corresponding to the acceptor reemission can be detected (see Fig. 11.5).

Fluorescent methods which include FRET, utilize specific changes in conformation or interaction of fluorescently labeled molecules as a result of a biological process, to produce an increase or decrease in fluorescence [72]. Such interactions can be measured within, or independently of a cell. German et al. described an insect OR protein by the FRET technique [73]. Fusion proteins containing cyan fluorescent protein (CFP) or yellow fluorescent protein (YFP) were produced using the baculovirus-mediated expression. In another example, the use of FRET-

based “cameleon”, which is the genetically encoded calcium-sensitive fluorescence protein, was reported to visualize odorant-evoked intracellular calcium concentration changes [74, 75]. Cameleons for measuring the level of intracellular Ca^{2+} ions are genetically engineered proteins that can detect free Ca^{2+} and are comprised of tandem fusions of a blue or cyan mutant of the green fluorescent protein (GFP), calmodulin, calmodulin-binding M13 fragment of myosin light chain kinase. If Ca^{2+} binds to the calmodulin of these cameleons, the distance between the two GFP variants shortens and FRET follows. The light emitted from the first GFP variant (458 nm) excites the other GFP variant (480 nm), and the second variant emits a photon with an even longer wavelength (520 nm). Hence, the FRET between the GFP variants can be used to monitor the localized Ca^{2+} signals in olfactory cells upon ligand stimulation [75].

BRET studies are conducted commonly during the heterologous expression of GPCRs in mammalian cells [76]. The BRET method is based on the FRET occurring in some marine species (i.e. *Renilla reniformis*) and leading to a non-radiative energy transfer between an energy donor and an energy acceptor [70]. The homodimerization of the hOR17-40 protein and its involvement in receptor activation upon odorant ligand binding was addressed by BRET approaches [71] (see Fig. 11.4). This study demonstrated that the BRET signal increases upon odorant ligand stimulation, which supports a conformational change of the OR. Modulation of the BRET signal upon stimulation with increasing amounts of helional displays a bell-shaped curve and a two-state model (active and inactive states) of OR addressed by different ligand concentrations. Most BRET studies in GPCR rely on the detection of the consequences of GPCR activation by monitoring the conformational change of the receptor dimer [77–79]. Also, a cAMP sensor using YFP-Epac (known as a cAMP-regulated guanine nucleotide exchange factor)-Luc, a quantitative cAMP BRET-sensor assay able to monitor the modulation of cAMP levels in cells, has been developed and used to follow G protein activation by GPCRs [80] (Fig. 11.6).

11.2.4 Total Internal Reflection Based Sensor

Total internal reflection fluorescent microscopy (TIRFM) provides high signal to noise ratio by collecting signals from a thin region of a biological specimen [82]. In total internal reflection, one can expect there will be evanescent wave propagating into the second medium at the boundary according to Maxwell’s equation. Assuming harmonic oscillation of an electric field of the incident light, the transmitted electric field, denoted E_T can be obtained through the combination of Maxwell equation and Snell’s law as shown in equation, derived from the Helmholtz equation with dispersive, homogeneous, isotropic conditions.

$$\nabla^2 E + \frac{\omega^2}{c^2} \epsilon E = 0$$

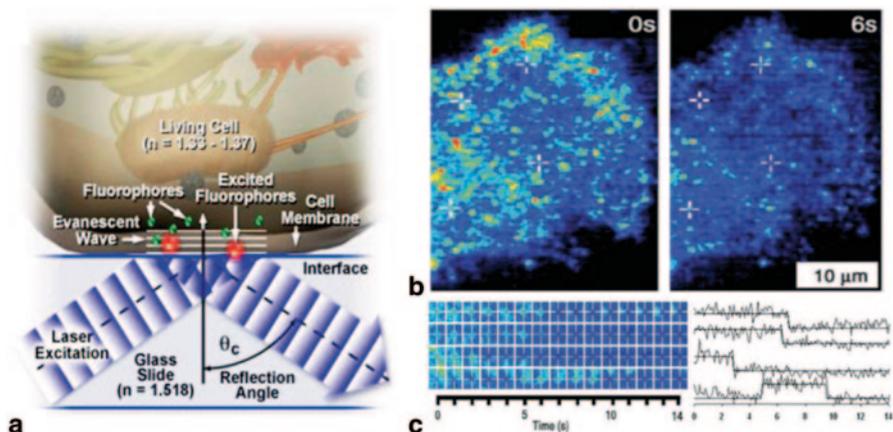


Fig. 11.6 **a** The concept of total internal reflection fluorescence (TIRF) microscopy. TRIF employs the properties of an induced evanescent wave to selectively illuminate and excite fluorophores in a restricted region adjacent to a glass-water. At a critical angle, the light is totally reflected from the glass/water interface, rather than passing through and refracting in accordance with Snell’s law. The reflection generates a very thin electromagnetic field (usually less than 200 nm). Fluorophores in the membrane near the glass interface (small green spheres) are excited by the evanescent wave and subsequently emit secondary fluorescence (red). (Reprinted from Davidson with permission from the Florida State University). **b** Detection of single molecules of eGFP-PH123 in the lamella of a living mouse myoblast. Individual TIRF images taken 0, and 6 s after the start of recording. **c** Representative images of four different individual fluorescent spots marked by cross-hairs. Right graph shows variation in the average fluorescence of the total area. (Reprinted from Ref. [81] with permission from ASBMB)

$$E_T = E_0 e^{-\kappa z} e^{i(kx - \omega t)}$$

where

$$\kappa = \frac{\omega}{c} \sqrt{(n_1 \sin(\theta_i))^2 - n_2^2}$$

and

$$k = \frac{\omega n_1}{c} \sin(\theta_i)$$

Here θ_i stands for the incident angle of the electric field, n for refractive index of a medium, k for propagating vector, ω for oscillating frequency and c for the speed of the light. This equation displays a property of the wave propagating along x -axis and its exponential attenuation along z -axis. This attenuation in z -axis is responsible for the evanescent field, which is highly localized on the penetrated plane. Typical order of this attenuation due to the factor of κ in the equation is around 100 to 200 nm depending upon the parameters.

Because of its evanescence property, a restricted area of interest—typically less than 200 nm—will allow extremely narrow sample profiling, which enables compact and high-resolution observation of a large number of molecular events in cellular surface. Examples are direct observation of cell adhesion, binding of cells by bacterial toxin [83] and dimerization of GPCR [84] by using an Alexa Fluor594 conjugated N-formyl peptide ligand. Since TIRFM is a universal microscopic technique to observe biological processes, it is critical to combine this technology with other representative biochemical methods to envision biological processes. Combination of the two conventional biochemical technologies—FRET and TIRF provides synergistic effects on probing physiological implication of the M_2 -GABA_B heterodimer [85]. Also combination of TIRFM with SNAP-tag protein labeling system [86] (labeling cell-surface GPCRs with small organic fluorophore which enables the specific, covalent attachment of virtually any molecules to a target protein) was demonstrated to visualize individual GPCRs on the surface of living cells. And this allowed a time-lapse monitoring with the spatial arrangement, mobility, and supramolecular organization of GPCRs [87].

11.2.5 *Fluorescent Correlation Spectroscopy Based Study on GPCR*

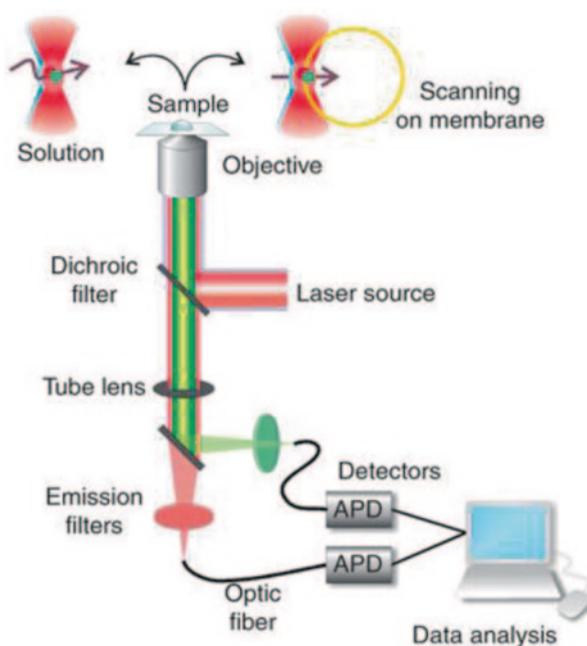
Fluorescent Correlation Spectroscopy (FCS) is a powerful tool used to examine molecular level dynamics/kinetics through correlation analysis of fluctuation of a target fluorescent intensity in equilibrium. FCS provides very sensitive analysis on molecule kinetics by observing an extremely small number of analytes ranging from nM to pM, typically in an extremely confined volume ($\sim 1 \mu\text{m}^3$). As a result, this powerful observation technique enables one to measure the diffusion of target analytes (mostly protein) in intact living cells. GPCRs are related with many proteins via molecular association/dissociation dynamics and an ideal molecular process to be monitored by the FCS. The one-dimensional autocorrelation is given by the equation [88]

$$G(\tau) = \frac{1}{N} \frac{1}{\sqrt{1 + \tau / \tau_d}} \exp \left[\frac{\tau}{\tau_f} \frac{1}{\sqrt{1 + \tau / \tau_d}} \right]$$

where N stands for the number of the analyte in the confined volume, τ_d for the diffusion coefficient of the analyte and τ_f for an external kinetic factor.

A typical FCS set-up as shown in Fig. 11.7 is comprised of illumination laser optics with 405–633 nm wavelength lasers and a dichroic mirror through which the lasers are guided to a microscope objective [89]. The laser then is tightly focused onto the site of analytes, yielding a very confined area of analysis. Fluorescent bursts by laser excitation are recorded using a highly sensitive

Fig. 11.7 A typical FCS set up on an inverted laser-scanning microscope. In a fixed focal volume, diffusion and binding of labeled proteins in aqueous environment can be monitored with FCS. To measure the molecular events in the membrane, scanning FCS is employed and the focal volume is scanned vertically through the membrane. (Reprinted from Ref. [89] with permission from NPG.)



semiconductor based photodetector, avalanche photodiode (APD). Information regarding molecular kinetics including can be acquired through FCS analysis including diffusion coefficient of analytes (denoted as τ_d), average concentration of analytes (denoted as N), and kinetic factor by external perturbation (denoted as τ_p) as shown in equation 1. In GPCR applications, fluorescently labeling the molecule of interest is the very first step to utilize this powerful technique. The detailed information can be found in a review paper by Jakobs et al. [90]. Also the FCS application for single-cell pharmacology is well described by Briddon et al. [91]. Other detailed methods for examining GPCRs including FCS itself, were well introduced in the book by Poyner et al. [92]. Examples of GPCR applications are numerous. First, Herrick-Davis et al. determined the diffusion coefficient and oligomeric size of GPCR by using an FCS and photon counting histogram (PCH) and concluded that dimeric 5-HT_{2C} receptors freely diffuse within the plasma membrane [93]. Gao et al. [94] utilized FCS to identify SP-bound NK1R-containing NLPs for measuring their dissociation constant (~ 83 nM) in aqueous solution. For general FCS applications in observing intracellular events, two review papers are very helpful to understand the practical and theoretical applications of FCS to cell biology [95, 96].

11.3 Label-free Optical Methods for ORs and GPCRs

Optical techniques have been developed using a wide range of platforms and are potentially useful for high-throughput ligand screening and functional analyses of hundreds of ORs. This section provides detailed label-free optical techniques for receptor-ligand assays.

11.3.1 Surface Plasmon Resonance (SPR) Based Odorant Sensing

SPR is a well-known and powerful technique that can measure molecular interactions on the surface of a sensor chip in real-time without any labeling. SPR detection relies on the measurement of a refractive index change at a metal surface which has been functionalized with probe molecules [97, 98]. Basically, a surface plasmon (SP) wave is an electromagnetic wave which propagates along the boundary between a dielectric and a metal interface [99–101].

An SPW is a transverse-magnetic TM wave (magnetic vector is parallel to the plane of interface) and is characterized by the propagation constant and electromagnetic field distribution. The propagation constant of an SP wave, can be depicted as below:

$$k_{SP} = \frac{\omega}{c} \sqrt{\frac{\epsilon_d \cdot \epsilon_m}{\epsilon_d + \epsilon_m}}$$

where, ω is the angular frequency of the SP wave, c is the velocity of light in vacuum, and ϵ_d and ϵ_m are dielectric functions of the dielectric material and metal, respectively (see Fig. 11.8). The electromagnetic field of an SP wave is confined at the metal-dielectric boundary and decreases exponentially into media. The SP wave propagates in the x - and y -axis along the metal/dielectric interface, for distances of tens to hundreds of microns and decays evanescently in the z -axis. The interactions between the biomolecular layer and metal surface lead to a change in the plasmon resonance conduction such as angle and wavelength shift [102]. These interactions can be measured the reflectivity of light as a function of either angle of incidence (at constant wavelength) or wavelength (at constant angle of incidence).

The degree of the change in the propagation constant of an SPW depends on the refractive index (RI) change and its distribution regarding the profile of SPW field. Therefore, the RI change (Δn) by the binding event, produces a change in the propagation constant ($\Delta\beta$), which is directly proportional to the RI change:

$$\text{Re}\{\Delta\beta\} \cong k\Delta n$$

where k denotes the free-space wave number. If the RI change is caused by a binding event occurring within a distance from the surface d , the corresponding change in the propagation constant can be expressed as follows:

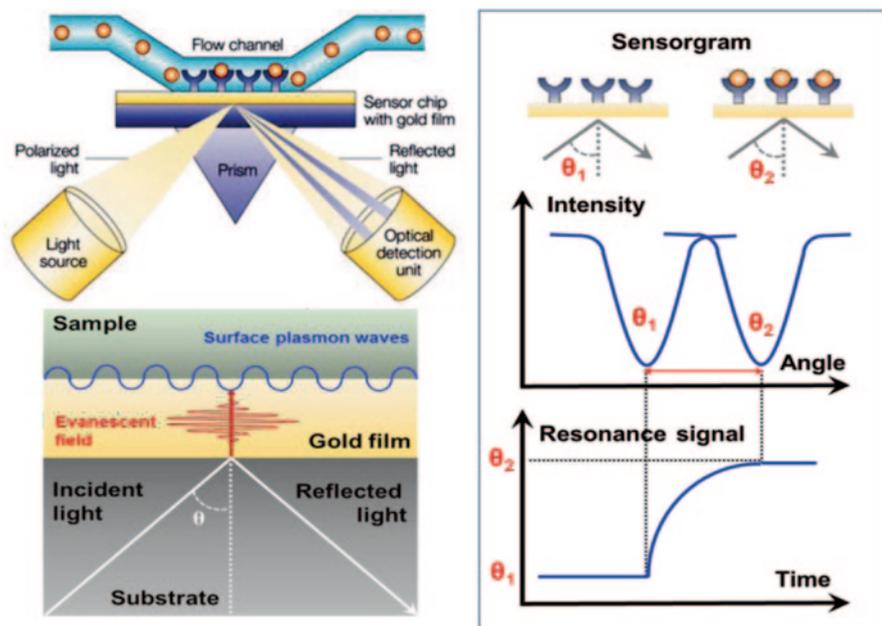


Fig. 11.8 Schematic diagrams illustration of surface plasmon resonance biosensor. (Reprinted from Ref. [98] with permission from NPG)

$$Re\{\Delta\beta\} \cong \frac{2n_s \cdot n_f \cdot k^2 d}{\sqrt{Re\{\epsilon_m\}}} \Delta n = k \Delta n$$

where n_f and n_s denote the RI of the biomolecular and the background dielectric medium (sample), respectively. The propagation constant of SP wave by the binding event is proportional to the RI change and depth of the area [101]. Based on this theory, Fig. 11.8 shows the principles of the SPR sensor. An evanescent wave appears on the interface between a gold (Au) surface and a prism when light is irradiated onto the Au surface through the prism. A SP, a compression wave of electrons, on the Au surface fronting the sample solution is excited by the evanescent wave. When the wave numbers of the SP and evanescent waves are equal, the intensity of refraction is reduced because the photon energies are used to excite the SP resonance. The resonance angle, which is the incident angle when the refraction intensity is minimum, is affected by the refractive indices of the thin Au film and the attached solution. As such, the resonance angle is markedly shifted by the binding of specific ligands to an immobilized biomolecules on the Au thin film.

Recently, several studies have attempted to use SPR to investigate the interactions between ORs and odorants. SPR sensors can provide tools to understand the molecular mechanisms of odorant detection, with the direct evaluation of competitive

OR-odorant interactions or indirect detection via signal transduction by the binding OR-odorant in living cell. SPR techniques are implemented for real-time monitoring of step-by-step surface immobilization to test the functional response of an immobilized OR [103]. ORs were immobilized onto a gold surface functionalized by mixed self-assembled monolayers and biotin/neutravidin. The study showed that the specific immobilization of capturing the OR via an antibody yields a uniform orientation of OR protein onto the surface while keeping the receptor activity preserved by a binding assay. Vidic et al. reported that the rOR17 and hOR17-40 could be functionally expressed in *S. cerevisiae* [15]. They also developed yeast-derived nanosomes with OR protein-grafted SPR technique to quantitatively evaluate OR stimulation by an odorant (see Fig. 11.9).

In another example, Benilova et al. described OR-carrying nanosomes based olfactory biosensor using SPR [104]. The hOR17-40 was heterologously co-expressed with G_{olf} protein in yeast, and nanosomes were prepared and then specifically immobilized. The result showed the bell-shaped response profile with two maximum (1 nM and 1 μ M) observed for the helional, which is a specific odorant. Interestingly, the molecular mechanisms by focusing on dynamic interactions between OR, odorant binding protein (OBP) and odorant was investigated using the SPR technique [14]. OBPs can bind and solubilize volatile odorants, facilitating their diffusion through the mucus barrier towards ORs. The high-affinity binding of a porcine OBP to a human OR has been demonstrated, suggesting that a specific OR-OBP association may occur in the absence of any odorant [105]. Results show that SPR-based assay enables the direct demonstration of interactions between the three components involved in the initial step of olfactory signal transduction.

The SPR technique was used for the analysis of interaction between living olfactory cells and odorants. This technique was applied to the cell-based measurement of odorant molecules [13, 102]. ODR-10, with the help of the rho-tag import sequence, the OR protein of the nematode *C. elegans*, was expressed on the surface of the HEK-293 cell and cultured in Au film. Exposure of the cells to diacetyl, an odorant molecule specific to the ODR-10, induced a SPR signal. The ODR-10 on the *C. elegans* neuron is coupled to an IP_3 pathway, which leads to the release of Ca^{2+} from the intracellular stores such as ER [106, 107]. This intracellular signaling through the binding of odorant molecules to OR can make SPR signals. In other example, Real-time monitoring of odorant-induced cellular reaction via signal transduction was demonstrated using an SPR system [12]. The key features are the intracellular signal transduction triggered by the specific binding of odorants to the ORs and the SPR response generated by the intracellular change. The SPR response to the odorant molecules was quantitative and selective. It was linearly dependent on the odorant concentration and the response signal was much lower than other odorant molecules sharing similar chemical structures and properties.

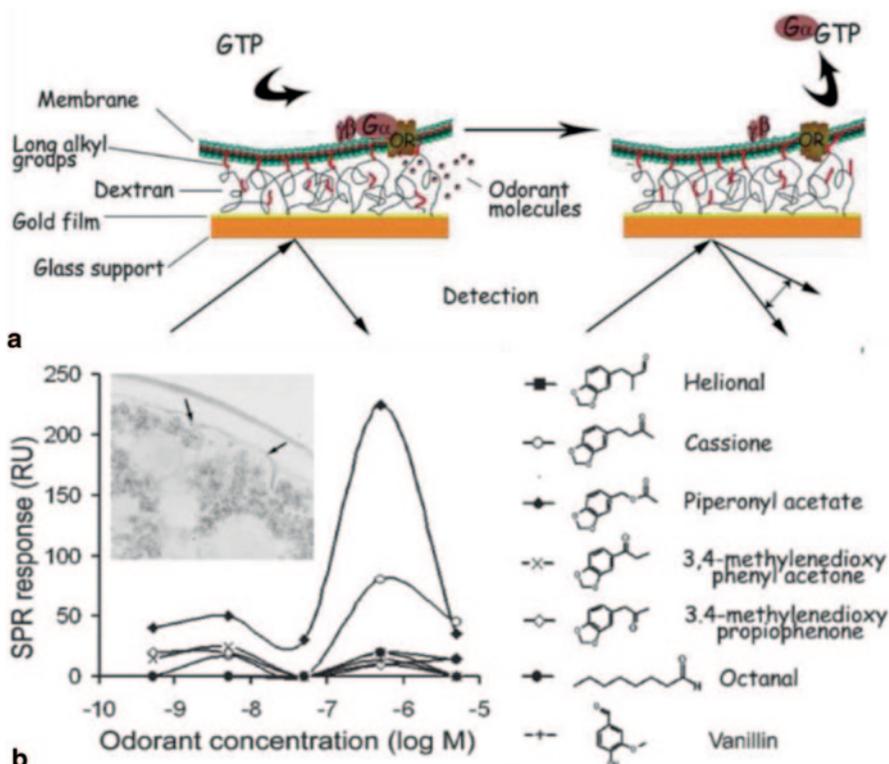


Fig. 11.9 **a** Principle of SPR sensor system using yeast nanosome for odorant detection. The scheme presents ruptured and fused nanosomes. Upon stimulation of an OR with an odorant, the G_{α} subunit in the presence of GTP is activated and desorption of G_{α} from the lipidic bilayer can be measured. **b** Detection of odorants in immobilized nanosomes containing OR protein by SPR sensor. Differential SPR responses obtained upon stimulation of the ORs in nanosomes with various odorants. Arrows indicate the expression of OR protein on the membrane of yeast cells in inset. (Reprinted from Ref. [15] with permission from RSC)

11.3.2 Raman and its Derivative Technique

Visible wavelength scattered by certain molecules differs from that of the incident beam and is conferred the shifts in wavelength depending upon the chemical structure of the molecules responsible for the scattering as shown in Fig. 11.10. These phenomena can be understood throughout the inelastic scattering and Raman spectroscopy probes the vibrational transitions in a sample through the collection and analysis of scattered photons after sample excitation by laser. A classical picture of Raman and Rayleigh scattering with a diatomic molecule can be explained by following equations. Starting with the harmonic incident light, $E = E_0 \cos(\omega_i t)$ will induce dipole from the E-field as below:

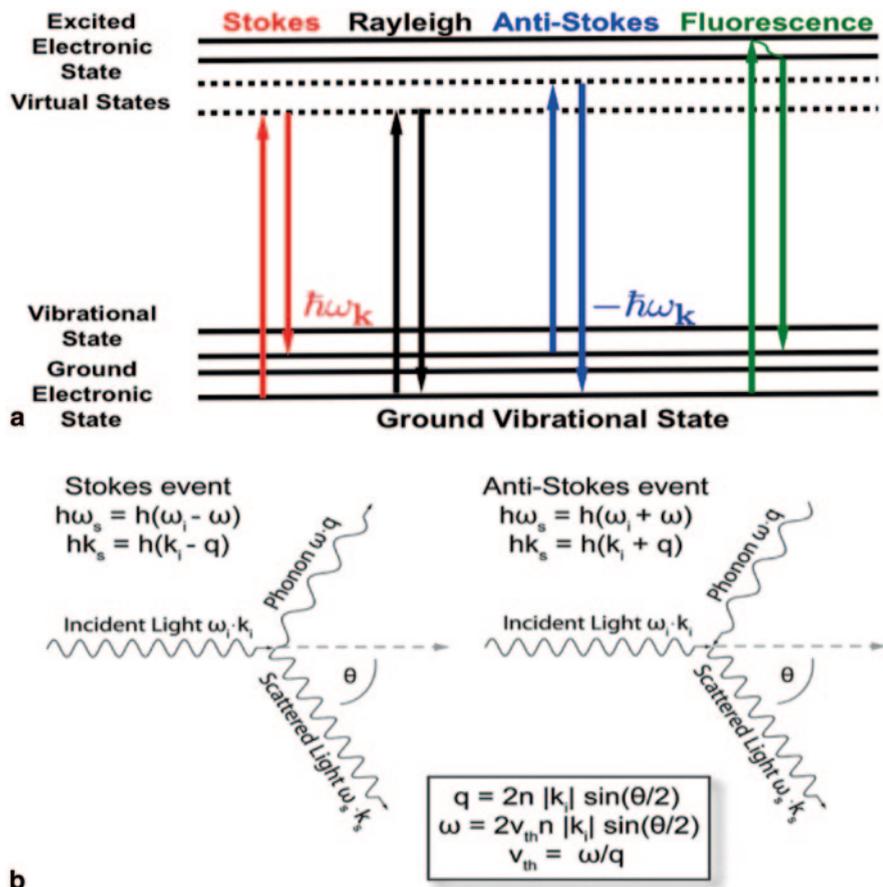


Fig. 11.10 **a** Energy diagram of Raman (Stokes and Anti-Stokes), Rayleigh (elastic scattering) and fluorescence. Raman spectra are different from fluorescence in terms of their shift wavelengths. While fluorescence is anchored at their shifted wavelength, Raman shift can take any wavelengths. **b** Inelastic processes of Stokes and Anti-Stokes. (Reprinted from Ref. [108] with permission from Schweizerbart, Science Publishers)

used for determine the absorption mechanisms of these peptides. The absorption mechanisms were then extensively studied.

11.3.3 Photonic Crystal-based Optical Sensing

Periodic nanostructures with dielectric or metallo-dielectric properties are extremely sensitive in optical modulation of nearby photons with regards to their environmental changes or perturbation. This is, labeled as a photonic crystal sensor, which garners extreme sensitivity. Periodicity of photonic crystal nanostructures defined by crystal symmetries, lattice formation and its reciprocal lattice determine

the conduction of electrons in crystalline solids. This periodicity strengthened by Bloch state, significantly enhances the detection capability by fine-tuning several parameters for the photonic crystal. According to Bloch state, the energy eigenvalues can be depicted as below:

$$\varepsilon_n(\vec{k}) = \varepsilon_n(\vec{k} + \vec{K})$$

where \vec{K} stands for periodicity of the reciprocal lattice vector (Fig. 11.12).

The unique eigen-states become very effective in resonance mode. The resonance mode can be modulated when refractive indices of the surrounding environments changes or the analyte is absorbed on a sensing surface, by changing the resonance condition of the Fabry-Perot microcavity:

$$2 \frac{2\pi}{\lambda} n d \cos \theta + \alpha = (2m + 1)\pi \quad \text{where } (m = 0, 1, 2, \dots)$$

α stands for the *Goos–Hänchen* phase shift between sensing surface and bulk environment, θ for the incident angle of the beam, n for the refractive index.

Because of its extreme sensitivity, it has been used to build a general-purpose platform for label-free and fluorescent assays [121–123]. The Cunningham research laboratory develops a method to investigate alterations of cell adhesion induced on photonic crystal sensors by exposing them to drugs with selective activation of a sub-class GPCR. Schematics of commercial photonic crystal product and their mechanisms are shown in Fig. 11.11. BIND® (SRU Biosystems) employs photonic crystal structures to provide sensitive measurements of changes in binding or adherence adjacent to the BIND Biosensor surface. These biosensors incorporate a novel nanostructured optical grating which reflects a narrow range of wavelengths of illuminating light with broadband light. BIND is able to test numerous biomolecular interactions including receptor activation, cell adhesion, protein-protein binding and so forth. Specifically, they have demonstrated a GPCR subtype (G_i , G_q , G_s , $G_{12/13}$ coupled) activation based on a variety of cellular responses including calcium mobilization, β -arrestin localization or second messenger levels.

Corning Corporation have also developed an EPIC® system for a high-throughput label-free screening platform based on optical biosensor technology [120]. Numerous applications on cell-based GPCR assays can be found [124–126] and compared to other label-free detection methodologies to provide interesting side-to-side evaluation [127, 128]. Fang et al. organized a list of publications regarding a label-free optical biosensor utilizing resonant waveguide gating for whole cell GPCR assays [129, 130]. These optical waveguide structures are fabricated by technologies based on microelectromechanical systems (MEMS); therefore it can be easily integrated with microfluidics for precise analyte controls over the optical waveguide. Many types of the microfluidic and nanofluidic systems have shown its compatibility with a variety of MEMS (i.e. electrochemical pump [131], microheater [132], NEMS resonator [133] etc.). In addition, a wide range of these micro and nanofluidic system (down to few nanometer [88]) will allow the same scale analysis of analytes incorporated onto this optical waveguide or any MEMS structure for receptor-relevant analysis.

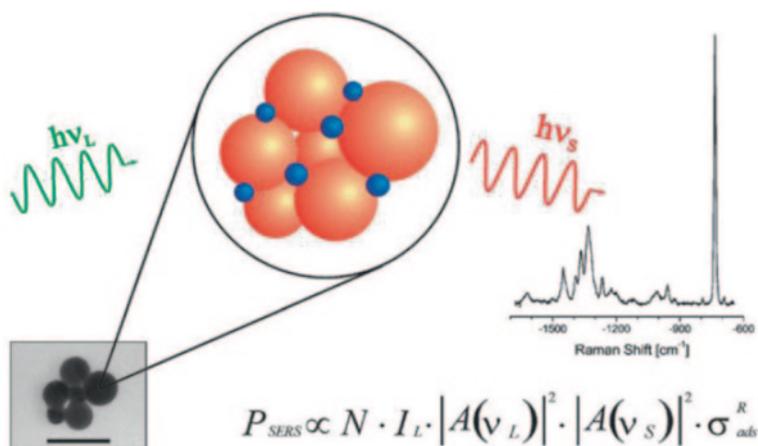


Fig. 11.11 Surface-enhanced Raman scattering (SERS) schematic is shown. Target molecules (represented by *blue dots*) are attached to metal-particles (*orange balls*). Inset shows the TEM graph of the actual particles. (Scale bar: 100 nm). The spectrum shown as an example was observed from 10^{-9} M adenine in a solution of silver slurry. (Reprinted from Ref. [119] with permission Elsevier)

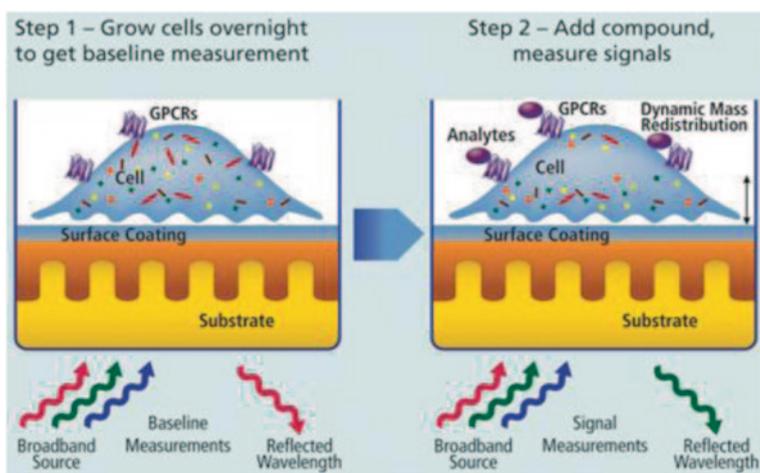


Fig. 11.12 Principle of label-free cell-based assay. A detection scheme of cellular events was demonstrated. A broadband light source will be filtered to a narrow range of wavelengths enabling sensitive and label-free detection. This reflects biological processes in Corning EPIC® systems. (Reprinted from Ref. [120] with permission GEN)

11.3.4 *Fourier Transform Infrared Spectroscopy for GPCR Study*

Fourier Transform Infrared Spectroscopy (FTIR) offers an analysis based on infrared spectra from absorption, emission, photoconductivity or Raman scattering from complex biological macromolecules. Mostly FTIR is to measure the absorption spectra from each wavelength. A typical setup for FTIR is shown in Fig. 11.11. As the name of this technique implies, the Fourier transformation as below is required to convert the acquired data to the actual spectra in a reciprocal space [134] where ω is the angular frequency.

$$\hat{f}(\omega) = \int_{-\infty}^{\infty} f(x)e^{-2\pi\omega x} dx$$

The measured interferogram, I , is given by

$$I(x) = \int_0^{\infty} \hat{I}(\nu) \cos(2\pi x \nu) d\nu$$

This measure intererogram is converted into the computed spectrum denoted \hat{I} by Fourier transformation.

$$\hat{I}(\nu) = 2 \int_0^{\infty} I(x) \cos(2\pi x \nu) dx$$

The Michelson's interferometer is the core of the FTIR spectrometer for splitting beam into two paths so that the paths of the two beams are different resulting in interference. Assuming the distance between two paths is l , the light intensity can be described as:

$$I = |\vec{E}|^2 = |\vec{E}_1|^2 + |\vec{E}_2|^2 + 2\vec{E}_1 \cdot \vec{E}_2 \cos(\theta)$$

which can be approximated to

$$I(l) = 2I + \cos(kl)$$

This intensity can be transformed into the spectrum by Fourier transformation as below:

$$\begin{aligned} &= \int_0^{\infty} 1 + \cos(kl)G(k)dk = \int_0^{\infty} G(k)dk + \int_0^{\infty} G(k) \frac{e^{ikx} + e^{-ikx}}{2} dk \\ &= \frac{1}{2}I(0) + \frac{1}{2} \int_{-\infty}^{\infty} G(k)e^{ikx} dk \end{aligned}$$

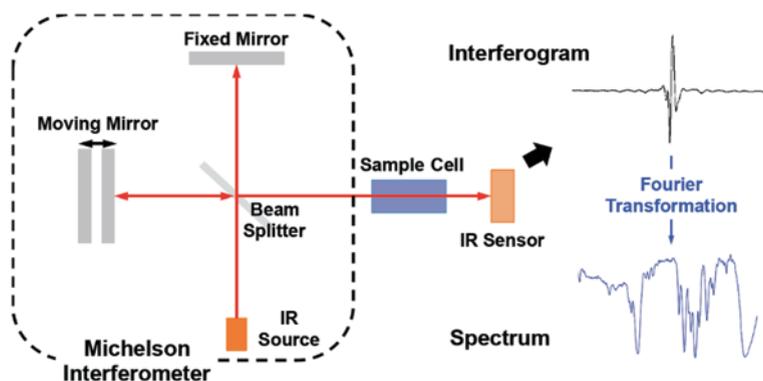


Fig. 11.13 A representative setup for FTIR microscopy. After data acquisition, the Fourier transformation converts raw data into analyzable spectra in a reciprocal domain

Thanks to this advanced technology, the accuracy of wavenumber is very sensitive with the errors within the range of $\pm 0.01 \text{ cm}^{-1}$ (Fig. 11.13).

Since the working condition resides in infrared spectra, target analytes should be incorporated with IR-active tags such as azido probes [135] which has antisymmetric stretch vibration for absorption at $\sim 2,100 \text{ cm}^{-1}$. Combining with molecular dynamic (MD) simulation allowed researchers to study the conformational diversity of rhodopsin in membrane environment, which yields perfect switching with high fidelity and rhodopsin/G protein transducin coupling [136]. The structural changes occurring in rhodopsin was dynamically monitored using FTIR-difference spectroscopy, while the rhodopsin underwent characteristic conformational change after photoisomerization of its 11-*cis*-retinal chromophore [137]. FTIR spectroscopy leads to the argument of an active opsin conformation presence in the crystal structure [138]. Vogel et al. demonstrated a conformation, which is similar to light-activated rhodopsin at neutral pH ranges [139], while low pH provides an environment for opsin in an inactive conformation [140]. The heterotrimeric G protein transducin plays a key role in opsin conformation in the opsin crystal resulting from co-crystallization of opsin with the key fragment from the transducin [141]. Further details regarding this argument can be found in the reference [138].

11.4 Conclusion

We have reviewed recent progress in various optical techniques focused on how optical techniques can be applied to detect specific odorant-OR interactions (see summary in Table 11.1). Our understanding of olfactory sensory systems by these optical techniques as well as other approaches has grown impressively in recent years as a result of intense efforts to characterize the mechanisms underlying olfaction. The subtle mechanisms for monitoring however are not completely elucidated, and many questions remain open. Still, recent accomplishments in optical

Table 11.1 Summary of the various optical techniques to utilize ORs and GPCRs as a biological sensing element

Transduction system	Receptor type	Analyte	Key features	Literature
<i>Labeled optical method</i>				
Ca ²⁺ imaging	hOR17-4	Bourgeonal	Fluorescence, intracellular Ca ²⁺ imaging, single cell	Spehr et al.
	Olf43	Citronellal	Fluorescence, intracellular Ca ²⁺ by FLIPR, Cell population	Shirokova et al.
Reporter gene-based system	Olf226	2,4-dinitrotoluene	Fluorescence, CRE-mediated GFP expression	Radhika et al.
	mOR-EG	Eugenol	Bioluminescence, CRE-mediated luciferase expression	Katada et al.
FRET	rOR17, ODR-10	Octanal, Diacetyl	Calmodulin-based Ca ²⁺ measurement, whole cell	Ko et al.
BRET	hOR17-40	Helional	Bioluminescence resonance energy transfer	Wade et al.
FRET-Total Internal Reflection	M ₂ -GABA _B	–	Dimer formation measurement by FRET	Boyer et al.
FCS	5-HT _{2C}	Psychoactive agent	Confined analysis, Diffusion analysis and single molecule sensitivity	Herrick-Davis et al.
<i>Label-free optical method</i>				
SPR	rOR 17	Octanal	Surface plasmon resonance, whole cell	Lee et al.
	hOR17-40	Helional	Surface plasmon resonance, nanosome	Vidic et al.
	β2AR	Catecholamines	Vibration spectrum and surface enhanced resonance	Kennedy et al.
Photonic crystal-based sensor	PAR ₁	Thrombin	Ligand induced dynamic mass redistribution, whole cell analysis	Fang et al.
	β2AR	Epinephrine		
	LPA ₁	Lysophosphatidic acid		
FTIR	Rhodopsin	11- <i>cis</i> -retinal chromophore	Fourier transform infrared spectra	Jager et al.

techniques have demonstrated new and unique opportunities towards a sensitive and selective biophotonic nose as well as basic olfaction research in combinatorial code and the *in situ* topographic map in the olfactory bulb and brain.

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Chapter 12

Carbon Nanotube-Based Sensor Platform for Bioelectronic Nose

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Abstract Since carbon nanotubes (CNTs) have an extremely large surface-to-volume ratio, the electrical properties of CNTs can be easily changed by the adsorption of small molecules. Due to this attribute, CNT-based sensors can detect small molecules with a high sensitivity. Recently, bioelectronic noses based on CNTs have been developed by immobilizing olfactory receptors or nanovesicles on the surface of CNTs. By taking advantages of CNT structures, these bioelectronic nose devices allowed one to detect target odorants with a high sensitivity. Furthermore, they exhibited highly selective responses to target odorants with a single-carbon-atomic resolution just like human olfactory systems. These bioelectronic nose devices based on CNTs can be utilized for various practical applications such as food screening, medical diagnostics, and the fabrication of artificial noses.

12.1 Carbon Nanotube-Based Device Fabrication

12.1.1 Carbon Nanotubes

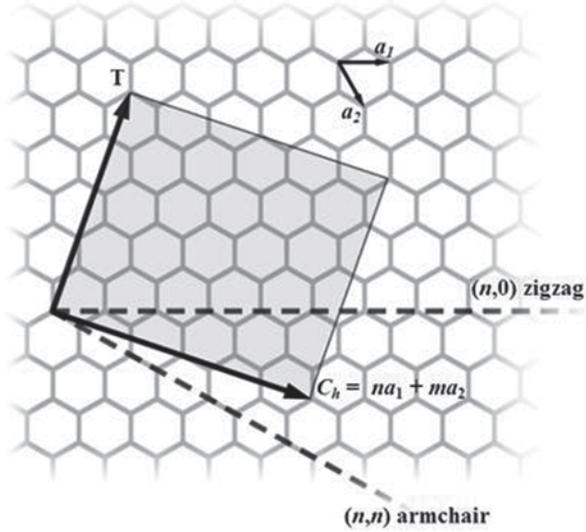
A tube made of a single or multiple graphene layers rolled up into a hollow cylinder is called a carbon nanotube (CNT) [1]. A single-walled CNT (SWCNT) consists of a single graphene sheet with the diameter of about 1~2 nm, while a multi-walled CNT (MWCNT) consists of several graphene sheets. Among those nanotubes, special attentions have been focused on SWCNTs, since they can have semiconducting properties which are optimal for electronic device applications. Despite the fact that all SWCNTs are based on a hexagonal honeycomb lattice, different orientations in the basic structure with respect to a nanotube axis lead to electrically different

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Fig. 12.1 Honeycomb lattice of carbon atoms in graphene. A graphene piece with different direction was rolled up to form a CNT



materials. Such atomic structures can be specified by a vector which corresponds to the section of the SWCNT perpendicular to the tube axis. As shown in Fig. 12.1, the translational vector T is the direction of a nanotube axis. The C_h is a chiral vector which can be specified by the pair of integers (n, m) , and the a_1 and a_2 represent each unit vectors. Then, the chiral vector can be written like

$$C_h = na_1 + ma_2 \equiv (n, m), \quad (n, m \text{ are integer}, 0 \leq m \leq n) \quad (12.1)$$

The chiral angle θ is defined by taking the inner product of C_h and a_1 , yielding an expression for $\cos\theta$,

$$\cos\theta = \frac{C_h \cdot a_1}{|C_h||a_1|} = \left(\frac{2n+m}{2\sqrt{n^2+m^2+nm}} \right) \quad (12.2)$$

In particular, if n equals to m in the chiral vector C_h ($\theta=30^\circ$), the tube is called an armchair tube. If m equals to zero ($\theta=0^\circ$), then we call the tube a zigzag tube. For all other configurations, the tubes are known as chiral tubes (Fig. 12.1).

The electrical properties of SWCNTs can be predicted by periodic boundary conditions from the chiral vector C_h . Briefly, if $n-m$ is the integer multiple of three, then the SWCNT has metallic properties else it possesses semiconducting properties [1]. The electrical properties of the SWCNTs can also be predicted by the atomic structures of the SWCNTs. For example, the armchair SWCNTs always exhibit metallic properties, whereas zigzag and chiral SWCNTs exhibit either metallic or semiconducting properties [1].

Due to the versatile electrical properties of CNTs, they exhibit many interesting phenomena such as a single electron tunneling, a spin-polarized electron transport,

a resonant tunneling, and a field emission [2–4]. Furthermore, CNTs have been utilized to build the surprisingly wide range of applications such as nanochannels for field-effect transistors [5], a surface probe microscopy [6], a new electronic nanomaterial for quantum wires [7], nanologic circuits [8], non-volatile memories [9], display technology by field emission [10], transparent and conducting electrodes or films [11], actuators [12], light emitting diodes [13], and highly sensitive biological and chemical sensors [14, 15].

12.1.2 Surface-Programmed Assembly for Carbon Nanotube-Based Devices

Recently, various methods have been developed for the massive fabrication of CNT network-based devices [16–23]. “Surface-programmed assembly” is one of the most versatile methods. Figure 12.2a shows the schematic diagrams depicting the surface-programmed assembly processes [5].

In this method, first, the self-assembled monolayer (SAM) of organic molecules with non-polar terminal groups is patterned on solid substrates so as to create polar and non-polar regions on the surface of the substrates [5]. Here, an octadecyltrichlorosilane (OTS) SAM is utilized on silicon oxide (SiO_2) substrates. The OTS SAM patterning can be carried out by a conventional photolithography method (Fig. 12.2a). In this process, a photoresist layer is first patterned onto a SiO_2 substrate with a short baking time (<10 min at 95°C). Note that heating up the photoresist-patterned substrates for a long time can result in residual photoresist on the substrate surface even after a development process. Such residual photoresist can inhibit the formation of a high-quality OTS SAM on the substrates. Then, the substrates are thoroughly rinsed with anhydrous hexane to remove residual water molecules on the surface of substrates. And, then, the substrate is placed in the OTS solution (1:500 v/v in anhydrous hexane) for ~ 100 s so that OTS SAM is formed on the bare SiO_2 surface. Subsequently, the photoresist patterns on the substrates are removed by acetone. Using this process, a high-quality OTS SAM can be patterned on substrates, leaving the surface of the substrates unaltered.

CNT suspensions are prepared by putting CNTs in o-dichlorobenzene and applying a sonication for ~ 20 min. When the OTS-patterned substrates are placed in the well-dispersed SWCNT suspension, CNTs are adsorbed onto the bare SiO_2 region of the substrates. Note that the CNTs in dichlorobenzene have strong affinity to polar surfaces, while they do not adhere to non-polar surfaces. Therefore, the non-polar molecular patterns on substrates can guide the adsorption of CNTs directly onto the polar surface regions of the substrates. This selective adsorption property of CNTs allows one to precisely pattern the CNT networks onto the desired locations on solid substrates [5].

Metal electrodes can be fabricated on the CNT networks via an additional photolithography process. It should be noted that the CNT adhesion onto polar surface regions is so stable that additional photolithography processes can be performed

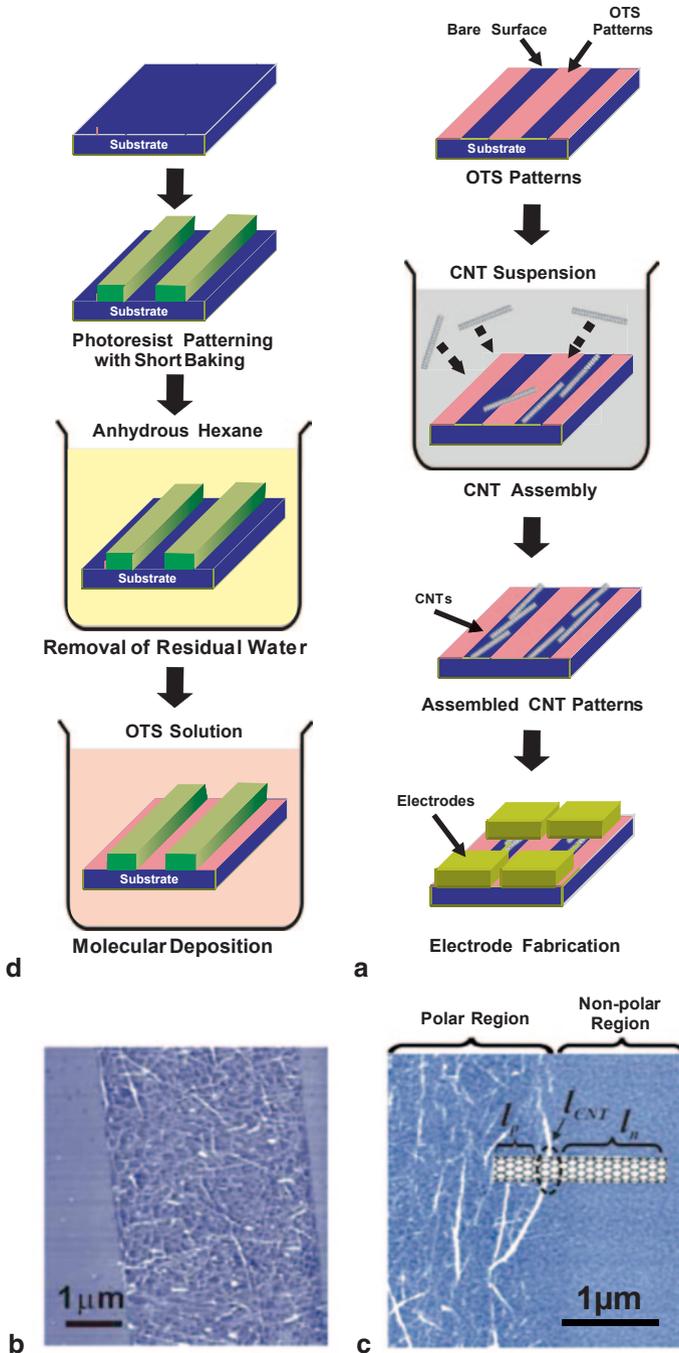


Fig. 12.2 “Surface programmed assembly” method for the massive production of CNT-based sensor transducers. **a** Schematic diagram depicting the fabrication process for CNT-based sensor transducers via the “surface-programmed assembly” method. **b** AFM topography image of patterned CNT networks on a SiO₂ substrate. The figures were adapted with permission from Lee et al. [5] **c** AFM topography image showing the sliding motion of a CNT near a pattern boundary. (The figure was adapted with permission from Im et al. [25])

directly on the CNT networks. Using the photolithography process, a photoresist layer is patterned on the CNT networks. Then, a metal film is deposited on the photoresist-patterned samples via a conventional thermal evaporation method. Lastly, the metal electrodes are fabricated by a lift-off process with acetone.

Using the above “surface-programmed assembly” method, the CNT network-based devices can be simply fabricated with any desired structures in large-scale. Therefore, this method should be a powerful strategy for the large-scale assembly of CNT-based devices which can be utilized for practical applications such as electrical sensors.

Figure 12.2b shows the atomic force microscopy (AFM) images of the selectively adsorbed CNTs in the shape of line patterns. The CNTs are confined only to the polar regions of the substrates and define the line-shaped patterns well. Here, it is worth discussing a few interesting phenomena of CNT adsorptions during the surface-programmed assembly process. One interesting phenomenon related to the CNT adsorption process is a “self-limiting” mechanism. When the substrates are placed in CNT suspensions, the CNTs are first adsorbed quickly, but the number of adsorbed CNTs reaches the saturated value soon [5, 24]. Such adsorption behavior of CNTs can be explained by Langmuir isotherm [24, 25]. Here, the density Θ of adsorbed CNTs at the time t can be written as

$$\Theta(t) = \Theta_0 \frac{C}{C + (k_d / k_a)} [1 - \exp(-(k_a C + k_d)t)] \quad (12.3)$$

where Θ_0 , C , k_a , and k_d represent the maximum surface density of adsorbed CNTs, the concentration of CNT suspensions, association coefficient, and dissociation coefficient, respectively [24, 25]. In this Langmuir isotherm-like adsorption behavior, the adsorption rate is proportional to the CNT concentration and the number of vacant sites on substrates, and the desorption rate is proportional to the number of adsorbed CNTs. It means that the CNTs adsorbed on the substrate can block the additional adsorption of CNTs and the number of adsorbed CNTs can be self-limited [5]. Therefore, this “self-limiting” adsorption behavior of CNTs allows one to prepare CNT networks with a uniform spatial density.

Another important phenomenon in the surface-programmed assembly process is the alignment of adsorbed CNTs on polar surface regions. As shown in Fig. 12.2c, adsorbed CNTs near the pattern boundary can make a “sliding” motion and can be aligned along the channel direction to stay inside the polar surface regions. In this “sliding” kinetics, the final conformation of a CNT is determined from the energy minimum condition such that the length of the CNT portions lying on a non-polar surface region (l_n) and other CNTs (l_{CNT}) is minimized, and that lying on a polar surface region (l_p) is maximized [25] (Fig. 12.2c). Therefore, the CNTs adsorbed near the pattern boundaries could be aligned along the channel direction without any external forces. This alignment behavior of the CNTs can be a mean to precisely control the alignment structure of CNT networks [25].

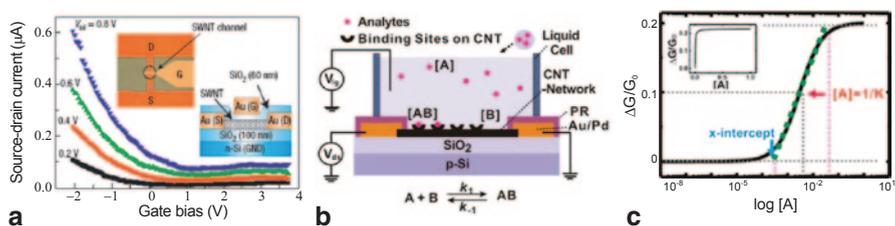


Fig. 12.3 Basic characteristics of a CNT-based transducer and the mechanism of CNT-based sensors. **a** Gating effect of a top-gate CNT-FET under different source-drain voltages. The insets show the optical image (*left*) and the cross-sectional structure (*right*) of a fabricated CNT device where S, D, and G are source, drain, and gate electrodes, respectively. (Adapted with permission from Lee et al. [5]) **b** Schematic diagram depicting the theoretical model for CNT-based sensors. The $[A]$, $[B]$, and $[AB]$ represent the concentration of analytes in bulk solution, the surface density of binding sites, and the surface density of adsorbed analytes, respectively. It is assumed that analytes A adsorb to the binding sites B following the Langmuir isotherm process. Then, adsorbed analytes AB generate the sensor response, $\Delta G/G_0$. **c** Graph showing the typical response of CNT-based sensors. (Adapted with permission from Lee et al. [26])

12.2 Carbon Nanotube-Based Sensor Transducer

12.2.1 Mechanism of Carbon Nanotube Transistor-Based Transducers

In this section, various sensing mechanisms and applications of CNT-based biosensors will be discussed. Figure 12.3a shows gate voltage dependent electrical characteristics with the schematics of a typical CNT-field effect transistor (CNT-FET) [5]. A typical CNT-FET is composed of source, drain and gate electrodes as shown in the left inset, and the source and drain electrodes are connected by CNTs (the right inset in Fig. 12.3a). The gating effect of a CNT-FET on different biases (Fig. 12.3a) shows a decrease in a source-drain current as the gate voltage is swept from negative to positive, which is a typical p-type behavior.

Figure 12.3b and c illustrate the *mechanism* and the *theoretical model* of CNT-based sensors, respectively [26]. In this model, it is assumed that analytes A bind to the finite number of binding sites B on solid substrates. Then, the binding between A in the bulk solution and B follows the Langmuir isotherm model. Thus, bound analytes and free analytes in solution maintain an equilibrium state in general. It should be noted that the binding sites of CNT sensors vary depending on sensor types. For example, the binding sites of CNT-based gas sensors are usually the bare surfaces of CNTs [27, 28]. In the case of CNT-based biosensors, specific receptor molecules fixed on CNT surfaces work as binding sites [29]. In Fig. 12.3b, c, $[A]$, $[B]$, $[AB]$, and $[B]_{max}$ represent the concentration of analytes in the bulk solution, the surface density of the binding sites on CNTs, the surface density of adsorbed analyte molecules, and the maximum surface density of the binding sites on CNTs, respectively. The surface density of adsorbed analytes can be expressed by the following Langmuir isotherm equation:

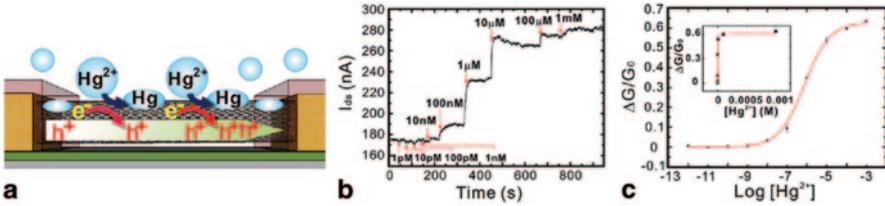


Fig. 12.4 Hg^{2+} sensor as an example of basic sensors based on bare CNTs. **a** Plausible mechanisms for a Hg^{2+} detection. **b** Real-time current measurement obtained from a CNT-FET sensor after the injection of Hg^{2+} solutions at various concentrations. **c** Conductance change of the CNT-FET sensor by the injection of Hg^{2+} solutions at various concentrations. The red line indicates the fitting curve for the estimation of equilibrium constant. (Adapted with permission from Kim et al. [30])

$$[\text{AB}] = [\text{B}]_{\text{max}} \times \frac{[\text{A}]}{[\text{A}] + 1/K} \quad (12.4)$$

with the equilibrium constant $K = k_1/k_{-1}$, where k_1 and k_{-1} are the *association* and *dissociation* constants, respectively. If we assume that the conductance changes of a CNT-based transducer (ΔG) are linearly proportional to the number of adsorbed analytes, the sensor sensitivity $|\Delta G/G_0|$ of the CNT transistor transducer can be approximated as $|\Delta G/G_0| \sim k[\text{AB}]$, where k is a constant representing the response characteristics of the CNT transistor transducer. Thus, we can write the sensitivity $|\Delta G/G_0|$ of the CNT transistor transducer as,

$$|\Delta G/G_0| = k[\text{B}]_{\text{max}} \times \frac{[\text{A}]}{[\text{A}] + 1/K} \quad (12.5)$$

By fitting measured data using this equation, we can estimate the equilibrium constant K between A and B.

12.2.2 Examples of Basic Sensors Based on Carbon Nanotubes

The extremely large surface to volume ratio of CNTs is favorable for the adsorption of molecules. In addition, CNTs exhibit significant changes in their conductance when small molecules are adsorbed. Based on these properties, CNT-FETs have been employed to detect specific molecules. For example, Kim et al. [30] developed Hg^{2+} sensors based on the redox reaction between CNTs and Hg^{2+} ions (Fig. 12.4a). They employed the fact that the adsorption of Hg^{2+} ions on the surface of CNTs leads to the reduction of the Hg^{2+} ions and the oxidation of the CNTs. In this process, the CNTs give electrons to the Hg^{2+} ions, and then, consequential hole injections cause conductance increases in CNT junctions due to the *p-type* characteristics of CNTs. Using the CNT-based Hg^{2+} sensors, the authors found that the injection of 10 nM Hg^{2+} solution increased the conductance of the CNT channel (Fig. 12.4b). Note that 10 nM is the maximum allowable level of Hg^{2+} ions in drinking water

according to an environmental protection agency regulation. From the calibration curve (Fig. 12.4c), we can recognize that the CNT-based sensor responded to Hg^{2+} ions in a wide dynamic range ($10 \text{ nM}^{-1} \text{ mM}$). They fitted the data with the Langmuir isotherm equation and found that K was $\sim 1.4 \times 10^6 \text{ M}^{-1}$ and standard electrode potential E_0 was $\sim 0.67 \text{ V}$, which were consistent with previously reported values.

However, sensors based on bare CNTs often respond to the adsorption of *non-specific* molecules, which limits its selectivity as a sensor. To overcome this drawback, many researchers have developed various biomolecule-immobilized CNT-FETs as a selective receptor. CNTs can be easily combined with various kinds of biomolecules, while maintaining their electrical properties. Also, biomolecules such as antibodies and receptors bind with specific biomolecules such as antigens and ligands. Thus, biomolecule-immobilized CNT-FETs have great advantages in the detection of target molecules with a high selectivity. For an example, Kim et al. [31] reported a highly sensitive biosensor based on antibody fragment-immobilized CNTs for the family-selective detection of antibiotics. The antibody fragment-immobilized CNT-FET biosensors were composed of CNT transducers, linker molecules, and antibody fragments as shown in Fig. 12.5a. When target molecules were bound to antibody fragments, the negatively charged domains of antibody fragments were decreased. Since CNT-FETs exhibited p-type characteristics, the reduced negative charges near the CNT channel resulted in the decrease of a source-drain current in the channel. In the experiments, the authors used two kinds of antibody fragments; A2 and F9. The A2 is the specific receptor of enrofloxacin which is one of antibiotics. The F9 is the general receptor of a fluoroquinolone family including enrofloxacin and norfloxacin. In A2-immobilized CNT sensors, $1 \mu\text{M}$ norfloxacin had no significant effect on the conductance of the CNT sensors, while a significant decrease in the conductance was detected after the injection of $1 \mu\text{M}$ enrofloxacin (Fig. 12.5b). This indicates that the A2-immobilized CNT sensors can selectively detect enrofloxacin in real-time. On the other hand, F9-immobilized CNT sensors showed significant changes in the conductance after the injection of the $1 \mu\text{M}$ solutions of enrofloxacin and norfloxacin (Fig. 12.5c). The F9 recognized both enrofloxacin and norfloxacin which are the same antibiotics family. This result shows that F9-immobilized CNT sensors can be used for the family-selective detection of antibiotics.

CNT-FET sensors using a biomolecule-immobilized electrode also enabled the detection of target molecules with a high sensitivity and a high selectivity. Lee et al. [32] reported an aptamer sandwich-based CNT sensor for the selective and sensitive detection of bisphenol-A (BPA) which is a kind of non-polar species. This sensor contained aptamer molecules which were immobilized on the electrodes of a CNT transistor (Fig. 12.5d). When aptamers on Au electrodes captured target molecules which had negative charges, the work function of the Au electrodes decreased. The work function change induced an increase in the Schottky barrier height between the CNTs and the Au electrodes. The increase of the Schottky barrier height in the CNT-FET sensor resulted in the decrease of a source-drain current. In this study, the authors could not observe significant conductance changes after the injection of BPA solutions up to the concentration of 100 nM (black line in Fig. 12.5e). Since BPA molecules are neutral, they could not affect the conductance of the CNT-FET sensors. For the detection of BPA, they functionalized BPA molecules with negatively

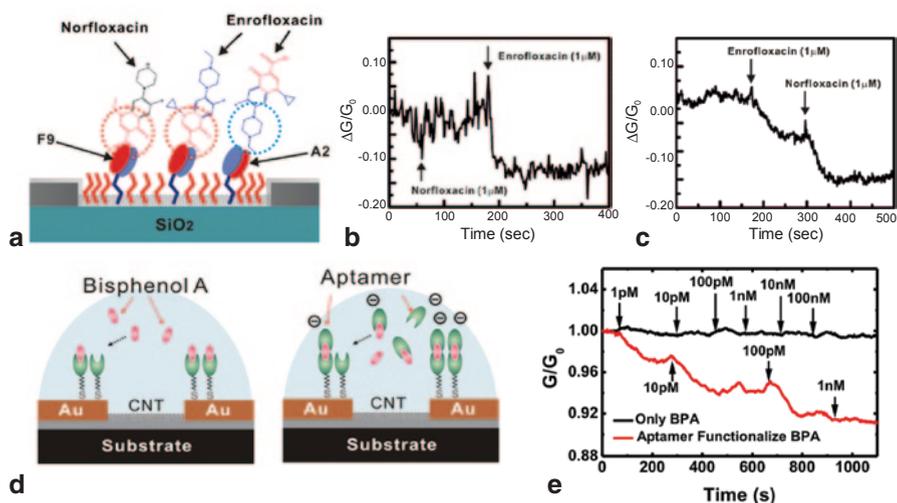


Fig. 12.5 Examples of basic sensors based on biomolecule-immobilized CNTs **a** Schematic diagram depicting the sensing experiment using antibody fragment-immobilized CNT-FET sensors. The antibody fragment A2 specifically recognizes enrofloxacin antibiotics, while the antibody fragment F9 recognizes fluoroquinolone family antibiotics including enrofloxacin and norfloxacin. **b** Real time conductance measurement using an A2-immobilized CNT-FET sensor. A2-immobilized CNT-FET sensors detected enrofloxacin only. **c** Real time conductance measurement using a F9-immobilized CNT-FET sensor. F9-immobilized CNT-FET sensors detected both enrofloxacin and norfloxacin. (Adapted with permission from Kim et al. [31]) **d** Schematic diagram showing the sensing experiment using aptamer-immobilized CNT-FET sensors. The *left* and *right* figures show the sensing experiments of bare bisphenol-A (BPA) and aptamer-functionalized BPA, respectively. **e** Real time conductance measurements using aptamer-immobilized CNT-FET sensors. The aptamer-immobilized CNT-FET sensors showed recognizable response only to the injection of aptamer-functionalized BPA. (Adapted with permission from Lee et al. [32]—Reproduced by permission of The Royal Society of Chemistry)

charged DNA aptamers. After the injection of the functionalized BPA solutions to an aptamer-immobilized CNT-FET sensor, significant changes in the conductance of the CNT-FET sensor were observed even at a concentration as low as ~ 1 pM (red line in Fig. 12.5e). This result indicates that the Schottky barrier modulation can enable the detection of specific target molecules with a high sensitivity and a high selectivity.

12.3 Carbon Nanotube-Based Bioelectronic Nose

12.3.1 Receptor-Based Bioelectronic Nose with a Human-Like Selectivity

Olfactory receptors have unique selectivity to specific odorants, and thus, they could be powerful candidates as the sensing elements for a bioelectronic nose based on CNT-based sensor transducer.

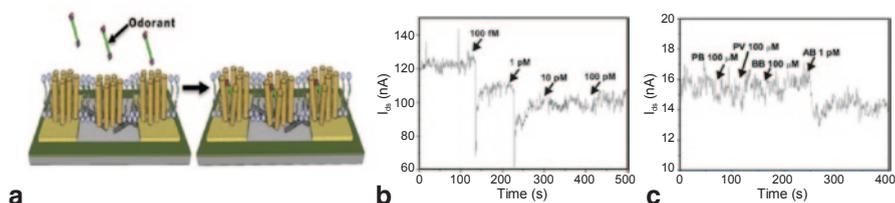


Fig. 12.6 Receptor-based bioelectronic nose mimicking a human nose. **a** Schematic diagram depicting the plausible mechanism of an olfactory receptor-based bioelectronic nose. **b** Real time current measurement using an olfactory receptor-based bioelectronic nose after the injection of amylobutyrate (AB) at various concentrations. **c** Real time current measurement using an olfactory receptor-based bioelectronic nose after the injection of propylbutyrate (PB), pentylvalerate (PV), butylbutyrate (BB), and AB. (Adapted with permission from Kim et al. [33])

Kim et al. [33] reported a bioelectronic nose based on a human olfactory receptor (hOR2AG1)-immobilized CNT-FET for the detection of odorant molecules with a single-carbon-atomic resolution. Figure 12.6a shows the structure of a receptor-based bioelectronic nose and the mechanism of specific odorant detection using the bioelectronic nose. The bioelectronic noses were composed of CNT transducers and immobilized olfactory receptors with a lipid layer. Here, the lipid layer provided cell membrane-like environments to olfactory receptors, which was essential for the receptor to maintain its functionality. When a specific odorant binded to its corresponding receptor, the state of the receptor shifted to an active state with *negative* charges [34, 35]. The negative charges in the receptor resulted in the increase of Schottky barrier heights in CNT-FET sensors. Then, the increase of the Schottky barrier heights induced a conductance decrease in the CNT channels.

Fig. 12.6b shows real-time conductance measurement data obtained from a hOR2AG1-based bioelectronic nose after the introduction of amylobutyrate (AB), which is one of fruity odorants, with different concentrations. The hOR2AG1-based bioelectronic nose was first placed in deionized water solution, and then, the AB solutions with different concentrations were introduced. The bioelectronic nose exhibited a significant change in a conductance with the injection of a 100 fM AB solution. This result shows the high sensitivity of a receptor-based bioelectronic nose.

Figure 12.6c shows real-time conductance measurement data obtained from a hOR2AG1-based bioelectronic nose after the introduction of various odorants. Note that non-target odorants such as propyl butyrate (PB), pentyl valerate (PV), and butyl butyrate (BB) have similar chemical structures to AB. The difference is just the number of carbon atoms. The addition of PB, PV, and BB did not affect the conductance of a hOR2AG1-based bioelectronic nose even at a high concentration (100 μ M) whereas the addition of the AB solution at 1 pM resulted in a sharp decrease in the conductance. This result shows that the bioelectronic nose based on hOR2AG1-immobilized CNT-FETs have high selectivity with a single-carbon-atomic resolution.

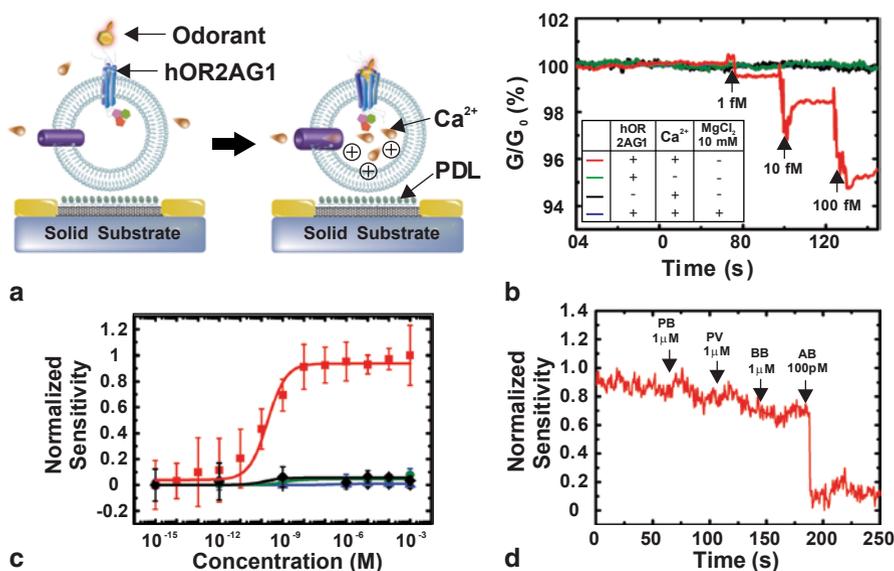


Fig. 12.7 Nanovesicle-based bioelectronic nose mimicking the signal pathways of a human nose **a** Schematic diagram depicting the plausible mechanism of the bioelectronic nose. When a target odorant binds to hOR2AG1 protein, the signal pathway in the nanovesicle is activated. This induces the influx of Ca^{2+} through a calcium ion channel. The increased positive charges in the nanovesicle give a field effect on an underlying CNT channel. Then, the conductance of the CNT channel decreases. **b** Real time conductance measurements. The CNT-FET sensors showed responses to the injection of 1 fM AB solution (red line). However, the two control experiments using nanovesicles without hOR2AG1 (black line) and PBS without Ca^{2+} (green line) showed no significant responses. **c** Graph of the concentration dependent responses to AB solution. **d** Real-time conductance measurement data after the injection of different odorants. The addition of 1 μM PB, PV, and BB solutions had no effect on the conductance of the sensor, while the addition of 100 pM AB solution caused a sharp decrease in the conductance of the sensor. (Adapted with permission from Jin et al. [36])

12.3.2 Nanovesicle-Based Bioelectronic Nose with a Human-Like Selectivity

Cell-derived nanovesicles have been proposed as an artificial cell which can partially mimic cell signal transductions and thus work as a sensing element for bioelectronic noses.

Jin et al. [36] developed a nanovesicle-based bioelectronic nose (NBN) platform which can mimic the signal pathways of human olfactory systems. The structure and the mechanism of nanovesicle-based sensors are depicted in Fig. 12.7a. NBNs were composed of CNT transistor transducers and nanovesicles containing olfactory receptors (hOR2AG1), adenylyl cyclases, and ion channels. When odorant solutions were injected to a NBN, the binding between olfactory receptors and odorant molecules triggered a calcium signal pathway. Then, the successive activation of

olfactory receptors, adenylyl cyclases, and ion channels induced the flux of calcium ions into the nanovesicles. The influx resulted in the accumulation of calcium ions. The accumulated calcium ions gave a positive field effect on the underlying CNT-FET, thus resulting in the decrease of conductance of the CNT-FET.

Based on this mechanism, the NBN detected amylbutyrate (AB), a specific odorant of hOR2AG1, down to 1 fM concentration (Fig. 12.7b). The validity of the mechanism can be supported by the following control experiments. One experiment was conducted using nanovesicles without hOR2AG1, whereas another experiment was performed in calcium-free phosphate buffered saline (PBS). The other experiment was conducted in PBS with $MgCl_2$ which blocks ion channels. In those experiments, there were no changes in the conductance of CNT-FETs. This indicates that olfactory receptors, calcium ions, and ion channels are critical components for the operation of the NBNs. Also, this shows that the NBN platform could mimic the olfactory signal transduction in cells.

Figure 12.7c shows the normalized sensitivity of NBNs after the introduction of odorant molecules with various concentrations. Here, the conductance change of a NBN was measured after the introduction of odorant solutions with different concentrations, and the conductance change was normalized by its maximum to calculate the normalized sensitivity. Note that the conductance change increased as the concentration of AB increased and saturated at a 10 nM concentration, while the others did not show any significant change.

Figure 12.7d shows a real time conductance measurement from an NBN device after the introduction of pentylbutyrate (PB), pentylvalerate (PV), butylbutyrate (BB), and AB. Conductance changes were negligible after injecting 1 μ M of non-target odorants such as PB, PV, and BB. On the other hand, after the injection of AB with 100 pM, the significant conductance change was observed. This result indicates the NBNs are highly selective to target molecules with a single-carbon-atomic resolution.

12.3.3 *Canine Receptor-Based Bioelectronic Nose*

Taking advantages of the high sensitivity and the high selectivity of canine olfactory systems, Park et al. developed a canine receptor-based sensor that mimicked canine nose responses for the sensitive and selective detection of hexanal, an indicator of the oxidation of food [37]. Figure 12.8a shows a scheme depicting the structure of a canine receptor-based sensor. This sensor was composed of a CNT-FET and canine olfactory nanovesicles immobilized on the CNT-FET. In this sensor, the binding of hexanal to canine olfactory receptors (cfOR5269) successively activated G proteins, adenylyl cyclases, and Ca^{2+} channels following the cAMP pathway in the nanovesicle. The activation of Ca^{2+} channels generated the influx of Ca^{2+} , which increased the potential of the nanovesicle in the vicinity of CNTs. Since CNT channels exhibited p-type characteristics under ambient conditions, the increased potential of the nearby nanovesicle resulted in the decrease of the CNT channel conductance. In this bioelectronic nose, the binding of hexanal onto canine

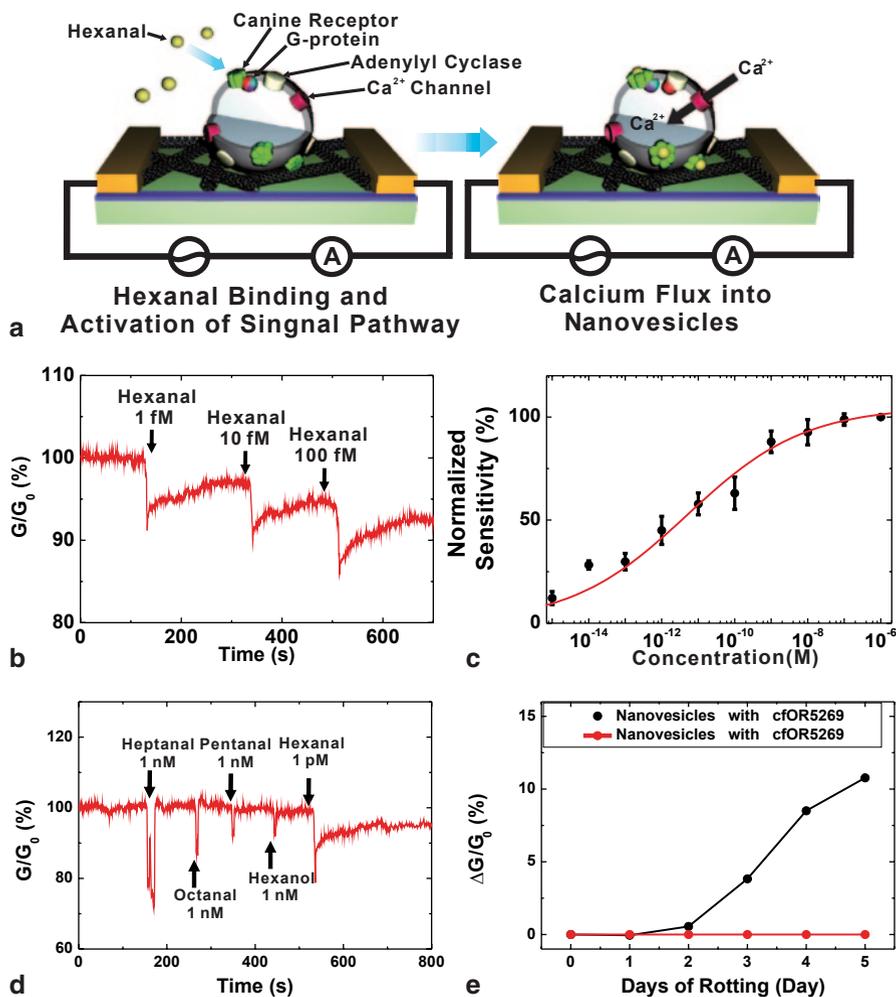


Fig. 12.8 Canine receptor-based bioelectronic nose. **a** Schematic diagram showing the sensing mechanism for the detection of hexanal using a canine receptor-based bioelectronic nose. The binding of hexanal to canine receptors results in a Ca^{2+} influx into the nanovesicles through Ca^{2+} channels. Here, the accumulated Ca^{2+} ions inside the nanovesicles create a positive gate-potential in the vicinity of underlying CNTs, and the increased potential results in the decrease of the conductance in the CNT channel. **b** Real-time conductance measurement data obtained from a canine receptor-based bioelectronic nose after the introduction of hexanal. The conductance decreased after the introduction of a hexanal solution with a femtomolar concentration. **c** Response curve of canine receptor-based bioelectronic nose to hexanal with different concentrations. The responses of the bioelectronic noses were fitted to the Langmuir isotherm curve (red solid curve). **d** Real-time conductance measurement data obtained from a canine receptor-based bioelectronic nose after the injection of different odorants. The addition of 1 nM heptanal, octanal, pentanal and hexanol solutions had no effect on the conductance of the sensor, while the addition of 1 pM hexanal solution caused a sharp decrease in the conductance of the sensor. **e** Graph showing the conductance changes in canine receptor-based bioelectronic noses after the introduction of spoiled milk. A canine receptor-based bioelectronic nose showed conductance changes after the introduction of spoiled milk (black line). No significant conductance change was observed in the case of a CNT-FET without canine receptors (red line). (Adapted with permission from Park et al. [37] —Reproduced by permission of The Royal Society of Chemistry)

olfactory receptors triggered the cAMP signal pathways in the nanovesicles, and the signal was measured by the CNT channels, which enabled the detection of target molecules with a high sensitivity and a high selectivity.

Figure 12.8b shows real-time conductance measurement data obtained from a canine receptor-based sensor after the introduction of hexanal with different concentrations. Here, a canine receptor-based sensor was first placed in a phosphate buffered saline (PBS) solution, and then, the hexanal solutions with different concentrations were introduced. The bioelectronic nose exhibited a significant change in a conductance with the injection of a 1 fM hexanal solution. This result shows the high sensitivity of canine receptor-based sensors.

Figure 12.8c shows the normalized sensitivity of canine receptor-based sensors after the introduction of hexanal with various concentrations. Here, the conductance change of a canine receptor-based sensor was measured after the introduction of hexanal solutions with different concentrations, and the conductance change was normalized by its maximum to calculate the normalized sensitivity. The conductance change increased as the concentration of hexanal increased. Finally, the conductance change was almost saturated at a 1 nM concentration. The data could be fitted using the Langmuir isotherm equation with the estimated equilibrium constant K of $5.0 \times 10^{10} \text{ M}^{-1}$.

Figure 12.8d shows real-time conductance measurement data obtained from a canine receptor-based sensor after the introduction of various odorants. The addition of 1 nM pentanal, heptanal, octanal, and hexanol did not affect the conductance of the canine receptor-based sensor, while the addition of 1 pM hexanal caused a sharp decrease in the conductance. The difference between hexanal and heptanal is just a single carbon atom in their alkane chains. Therefore, this result implicates that canine receptor-based sensors could detect hexanal with a high selectivity.

Figure 12.8e shows the conductance changes of canine receptor-based sensors after the introduction of spoiled milk to the sensors. Since milk contained lots of lipid, hexanal could be produced by a lipid oxidation process. A bioelectronic nose without canine receptors did not respond to the addition of the spoiled milk (red line). On the other hand, a canine receptor-based sensor exhibited measurable changes in its conductance after the introduction of the spoiled milk, and the response increased as the days of rotting increased (black line). Presumably, the hexanal in the spoiled milk bound to the canine receptors in nanovesicles and, as a result, caused the conductance change of a CNT channel. This result shows that canine receptor-based sensors can be applied to the fast on-site assessment of food quality.

12.4 Bioelectronic Tongue with a Human-Tongue-Like Selectivity

Human can recognize various tastants such as umami, sweet, and bitter tastants through gustatory receptors in tongues. Thus, the gustatory receptors can be powerful sensing elements for bioelectronic tongues.

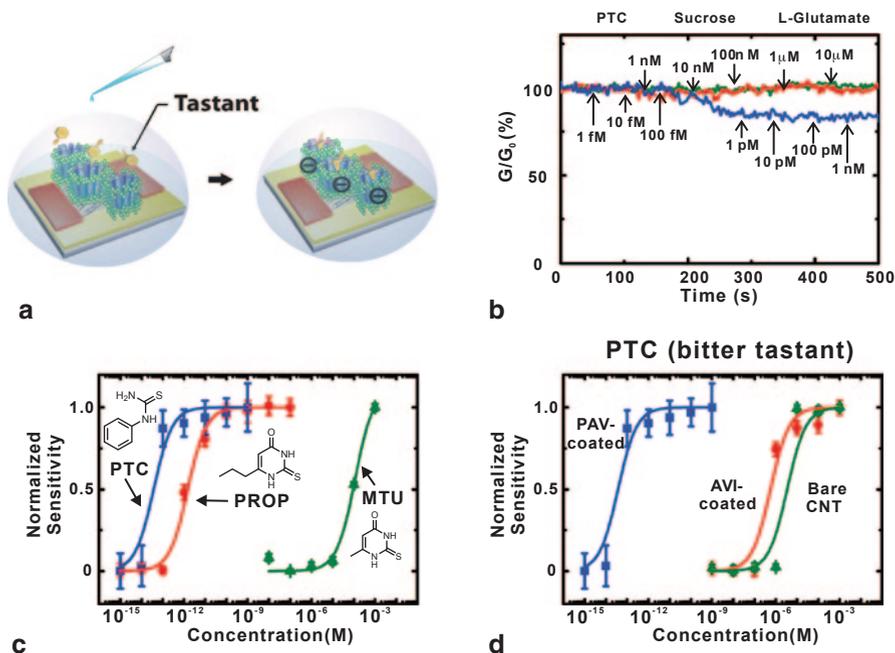


Fig. 12.9 Bioelectronic tongue. **a** Plausible mechanism for the response of a bioelectronic tongue. The binding of bitter tastant molecules with tastant receptors deforms the receptors and induces negative charges, which increases contact resistance between metal electrodes and CNTs. **b** Real-time response of a bioelectronic tongue to PTC (target bitter tastant), L-glutamate (umami tastant), and sucrose (sweet tastant). **c** Response curves of a bioelectronic tongue to PTC, PROP, and MTU. **d** Response curves of bioelectronic tongues with two different gene-type receptors (PAV and AVI) to PTC. (Adapted with permission of Kim et al. [38]—Reproduced by permission of The Royal Society of Chemistry)

Kim et al. [38] reported a bioelectronic tongue based on a human gustatory receptor (hTAS2R38)-functionalized CNT-FET for the detection of *bitter* tastant molecules with a *human-tongue-like* selectivity. The bioelectronic tongues were composed of CNT transistor transducers and immobilized gustatory receptors with a lipid layer. Figure 12.9a shows the structure of hTAS2R38-functionalized bioelectronic tongues and the mechanism of the specific tastant detection using the bioelectronic tongue. G-protein-coupled receptors including taste receptors usually contained ionizable cysteine residues which had active and inactive biophysical states. These states were related to the negatively charged form, and the neutral acid form of cysteine, respectively. The binding of a target molecule to the receptor changed the state of cysteine residues and resulted in negative charges on the receptor [34, 35]. When the hTAS2R38-functionalized CNT-FETs were exposed to specific bitter tastants, the tastant molecules reacted with the hTAS2R38 and induced a conformational change toward the active state with negative charges [34, 35]. This increased the Schottky barrier between the metal electrodes and CNTs, resulting in the reduction in the conductance of CNT channels.

The hTAS2R38-immobilized CNT-FETs showed the detection limit of 100 fM phenylthiocarbamide (PTC) which is a kind of bitter tastants (Fig. 12.9b). On the other hand, the addition of other taste substances such as L-glutamic acid and sucrose which is related to umami and sweet taste, respectively, did not affect the current level even at high concentrations (10 μ M). This indicates that the bioelectronic tongue could selectively detect bitter tastants in real-time with a high sensitivity.

Also, the bioelectronic tongue showed a *human-tongue-like selectivity* to various bitter tastants such as PTC, propylthiouracil (PROP) and methylthiouracil (MTU) (Fig. 12.9c). The unique responses of human tongues to taste molecules are originated from the unique binding properties of taste receptors. For example, though PROP and MTU have a very similar chemical structure, only PROP molecules are known to strongly bind to the hTAS2R38 taste receptor protein. On the other hand, the taste receptor protein reacts to the molecular species with quite different chemical structures such as PROP and PTC. Note that even though the chemical structures of PTC and PROP were somewhat different, both tastants produced a sensor response to the bioelectronic tongue. On the other hand, although the MTU had a similar chemical structure to PROP, the bioelectronic tongue responded to MTU only at high-concentrations ($\geq 100 \mu$ M). The bioelectronic tongue was sensitive to similar tastes rather than similar chemical structures. This implies that the bioelectronic tongue can be utilized as a taste sensor exactly mimicking the unique selectivity of human taste systems.

Furthermore, the bioelectronic tongue could mimic the response of human tongues with two frequent gene types, PAV- (*taster*) and AVI- (*non-taster*) types (Fig. 12.9d). The bioelectronic tongue functionalized with PAV-type receptors exhibited sensitive sensor responses to PTC. On the other hand, the bioelectronic tongue functionalized with AVI-type receptors showed only non-specific signals caused by the binding of PTC directly to CNT-FETs. These variations involved in taster and non-taster types of hTAS2R38 were exactly same as the human taste system.

Using this strategy, one could differentiate the activities of taster's PAV- and non-taster's AVI-type of hTAS2R38. Also, this strategy enables the detection of bitter tastants with a *human tongue-like selectivity* and a detection limit down to the femtomolar range.

12.5 Chemical Pain Sensor

In mammalian somatosensory systems, receptors play an important role in obtaining hazardous information such as pain. Thus, pain sensory receptors can be utilized as sensing elements for chemical pain sensors.

Jin et al. [39] developed a nanovesicle-based chemical pain sensor platform which mimics the signal pathways of human pain sensory systems. The structure and the mechanism of nanovesicle-based chemical-pain sensors are depicted in Fig. 12.10a. Chemical-pain sensors were composed of CNT-FETs and immobi-

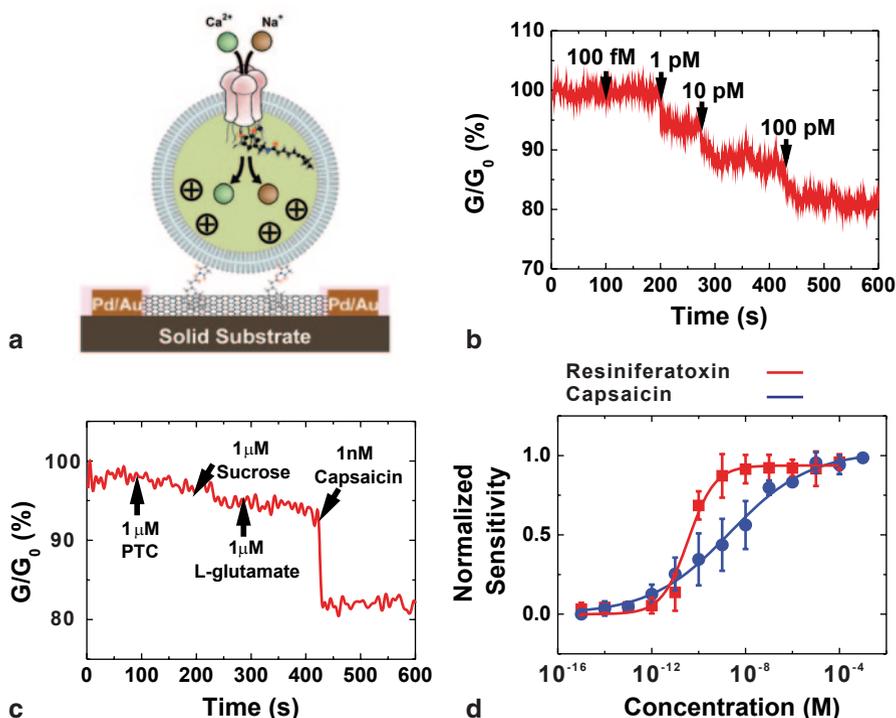


Fig. 12.10 Chemical pain sensor. **a** Plausible mechanism for the response of a chemical-pain sensor. After the injection of chemical stimuli, rTRPV1 protein captured the chemical stimuli molecules and induced the influx of cations, resulting in the conductance decrease of the CNT network channel. **b** Real-time conductance measurement data obtained from chemical pain sensors after the introduction of capsaicin. The conductance decreased after the introduction of capsaicin solution with picomolar concentration. **c** Real-time conductance measurement data obtained from chemical pain sensors after the injection of different chemical stimuli. **d** Dose-dependent responses of chemical pain sensors to resiniferatoxin and capsaicin. (Adapted with permission of Jin et al. [39])

lized chemical pain sensory nanovesicles containing a receptor protein (rTRPV1) for the detection of chemical pain stimuli. When a CNT-FET functionalized with rTRPV1-expressed-nanovesicles was exposed to chemical pain stimuli such as capsaicin and resiniferatoxin, the rTRPV1 on the nanovesicles captures the stimuli molecules, which eventually induced the influx of cations such as Ca²⁺ and Na⁺ into the nanovesicles through the rTRPV1 [40]. The increased concentration of positive ions inside the nanovesicles could give a field effect on the underlying CNT-FET, resulting in the decrease of a channel conductance because CNTs exhibit p-type behaviors under ambient conditions.

The injection of capsaicin solutions with concentrations higher than 1 pM induced a change in the conductance of the CNT channel (Fig. 12.10b). This result indicates the chemical pain sensor can respond to capsaicin in real time with a high sensitivity.

Figure 12.10c shows a real time conductance measurement from chemical pain sensors after the introduction of various chemical stimuli. Note that the conductance changed after injecting 1 μM of non-painful stimuli such as PTC, sucrose, and L-glutamate were minimal. However, after the injection of capsaicin with a rather low concentration (1 nM), a significant conductance change was observed. It indicates that the chemical pain sensors responded selectively to chemical pain stimuli just like an animal pain sensory system.

The response of chemical pain sensors to two different painful stimuli, capsaicin and resiniferatoxin, showed different characteristics, indicating that one could discriminate two different painful stimuli using the chemical pain sensor (Fig. 12.10d). Also, the response curves indicate that resiniferatoxin is a more potent agonist than capsaicin.

12.6 Summary and Vision

The unique one-dimensional structure of CNTs provides an extremely large surface-to-volume ratio, and thus, the electrical properties of CNTs are easily changed by the adsorption of small molecules. Due to this property, CNT-based devices can be ideal sensor transducers for various chemical and biomolecular sensors. Recently, bioelectronic noses based on CNTs have been developed by immobilizing olfactory receptors or nanovesicles on the surface of CNT-FETs. These bioelectronic noses could detect target odorants with a high sensitivity and exhibited highly selective responses to target odorants with a single-carbon-atomic resolution just like human or canine olfactory systems. Also, a CNT-based bioelectronic tongue and a chemical pain sensor showed a human-like selectivity and sensitivity in the detection of tastants and chemical pain stimuli molecules, respectively. Significantly, the “surface-programmed assembly” method allows one to mass-produce such bioelectronic nose devices with uniform characteristics, which makes CNT-based bioelectronic noses suitable for practical applications.

It also should be mentioned that there are still some hurdles to overcome for real-life applications. For example, one needs a pretreatment process to bring odorant molecules from air into a solution because receptors work only in aqueous solution. Also, we have to develop a method to keep the sensors under ambient condition for a long time period because the receptor proteins may degrade in time. However, considering the development history of other chemical or biological sensors with the similar issues at the beginning of its development, we can envision the current problems of bioelectronic nose devices should be solved in time by engineering efforts.

It is also interesting to imagine what might happen from now on. Let's consider the history of artificial sensory devices for other sensory systems: eyes, ears and touch senses. A while ago, researchers invented artificial sensory devices such as *photosensors*, *microphones*, and *touch screens* mimicking human *eyes*, *ears* and *touch senses*, respectively. Such inventions allowed one to quantify the stimuli for

the sensory systems based on the signals from the corresponding artificial sensors. For example, *lux* and *decibel* are the units to quantify *lights* and the *sounds*, respectively. Furthermore, such standard signals can be sent over a long distance and utilized to reproduce the corresponding stimuli. For example, one can take a picture using a camera comprised of photosensors, send it through the internet, and reproduce it on display devices, which is one of the key technology in the modern IT and entertainment devices such as smart phones and televisions. Thus, one of the key events which triggered modern IT and entertainment industries can be the development of artificial sensory devices for human eyes, ears and touch senses.

We can envision the development of bioelectronic noses and tongues may trigger the similar progresses in the future. The signals from the *bioelectronic noses* and *tongues* can be utilized to quantify the stimuli for *human noses* and *tongues*, respectively. For example, the standard signals from *perfumes* or *foods* (i.e. wine, coffee etc) measured by *bioelectronic noses* or *tongues* can be sent over a long distance and used to evaluate the *smells* or *tastes* of them, respectively. Eventually, we may be able to develop advanced devices to reproduce such smells or tastes from the standard signals, which may open up the new era of modern entertainment and IT industries. In this sense, we may say that the development of bioelectronic noses is a major breakthrough in modern technology and we are at the dawn of a new technological revolution.

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Chapter 13

Conducting Polymer Nanomaterial-Based Sensor Platform for Bioelectronic Nose

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Abstract Significant efforts in the fabrication of conducting polymer (CP) nanomaterials have enabled various electronic devices such as solar cells, memory devices, batteries, and field-effect transistors (FETs). Specifically, well-designed one-dimensional (1D) CP nanostructures have gained attention in various biosensing applications due to their 1D geometry, which can facilitate efficient charge-transfer behavior and signal amplification. Recently, researchers have demonstrated various nanomaterial-based odorant sensing geometries with sensitivity and selectivity. Although these conventional odorant sensing platforms provide significant and sensitive performance, limitations such as low sensitivity, slow response time, and an unstable platform in the liquid state remain as challenges. Herein, we developed a novel fabrication process for functionalized 1D CP nanomaterials, conjugated with human olfactory receptors (hORs), a so-called “bioelectronic nose” (B-nose), through an immobilization process. The sensing platforms using 1D CP nanomaterials were integrated into a liquid-ion gated FET system, resulting in the development of a high-performance FET-type B-nose. Real-time responses from the B-nose were monitored with ultrasensitive and selective responses at unprecedentedly low concentrations of the target odorant. The B-nose also showed single-atom-resolution for target odorants among similar non-target odorants. Moreover, the 1D CP nanomaterial-based B-nose can discriminate target odorants in the gaseous state, with sensing capability comparable to that of a human expert’s nose. The B-nose opens the possibility for efficient methodology for smell mechanism studies. Based on these results, the study of the B-nose using 1D CP nanomaterials opens up challenging research opportunities including these related to the food industry, disease diagnosis, and public safety.

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13.1 Introduction

During the past few decades, there has been enormous interest in the development of preventive safety systems for society [1–4]. Exposure to representative harmful factors, such as toxic molecules, explosive compounds, addictive drugs in food, and environmental hazardous material should be controlled and prevented [5–12]. Based on these demands, many studies have demonstrated sensitive and selective analytical methodologies, including gas chromatography (GC), mass spectrometry (MS), optical spectroscopy, and GC-MS. Owing to advanced electrical technologies using conductive materials, various electrical measurements have been constructed with attractive advantages, such as cost-efficiency, simple design, and real-time monitoring, compared with conventional methods [13–16]. For example, Cynkar et al. introduced a simple, efficient cost effective electronic nose, based on MS, for the wine industry and showed rapid screening of wines. Enormous efforts using electronic devices have created artificial electronic noses to detect specific smell odorants (e.g., usually small, single atoms containing alcohol, ether, ketone, and acids). The artificial electronic nose must have specific selectivity to interact with small single-atomic molecules, which become a driving force for diverse sensing platforms based on conductive or non-conductive materials such as metal oxides and organic semiconductors [17–23]. Although these artificial electronic noses showed significant measurement ability *via* resistance or conductance changes, advancements were needed to improve their low sensitivity and portability.

Artificial noses have generally been constructed with sensing components and platforms. To understand high-performance artificial noses, several critical factors should be considered: (i) chemical functionality for selectivity, (ii) structural ordering for efficient signal transfer, and (iii) the portable sensing platform. Significant achievements in nanotechnology have led to the development of new sensing platforms. Metal or ceramic nanomaterials have been integrated into the electrical substrate; this provides portable and efficient platforms for sensor applications [24, 25]. Li et al. demonstrated field-effect ammonia sensors, based on organic semiconductor films. They fabricated ultrathin, continuous, well-aligned microstripes using dendritic microstripes of organic semiconductors for organic field-effect transistor (OFET)-based sensors and showed high-performance ammonia gas detection. Fernandez et al. also produced FET-type microsensors based on a CY8C29466 microcontroller, which has the advantages of portability, low cost, and integration compatibility. Using these sensors the data were stored for further analysis by coupling the system to a PC serial port. Biosensors using one-dimensional (1D) geometry such as nanotubes (NTs), nanorods (NRs), and nanofibers, which allow efficient charge transport along the long-axis direction at the nanometer scale, have been introduced [26–34]. Due to advanced sensing 1D nanomaterial platforms, attractive biosensing platforms for electronic noses have been demonstrated by combining 1D nanomaterials with bioreceptors, i.e., the so called “bioelectronic nose (B-nose)”. The B-nose provides highly sensitive and selective responses toward specific odorants. Kim et al. reported the detection of odorant molecules with single-carbon-atom resolution using a B-nose created from the human olfactory receptor-(hOR)-adsorbed carbon

nanotube FET (CNT FET) and the G-protein-coupled receptors in cell membranes [35]. Although single-atom-resolution and highly sensitive responses were achieved by B-noses using carbon nanotubes (CNTs), critical limitations, such as complex in functionality and an unstable geometry in the liquid state, still remain as challenges.

Significant advance in conducting polymers (CPs) has prompted the development of devices related to energy and environmental applications [36, 37]. CPs have been developed as alternatives to inorganics and semiconductors, due to their great potential and advantages, such as flexibility, cost-efficiency, and facile processes. With the development of nanotechnology, there is a growing demand for advanced electronics based on functional nanomaterials. Various CP nanomaterials have been investigated from fundamental and practical perspectives, leading to an understanding of their unique chemical and physical characteristics [38–41]. The electrical and optical properties of CP nanomaterials are similar to those of metal or inorganic semiconductors, due to their conjugated π -electron systems [40, 42–45]. From these unique electrical and chemical properties, CP nanomaterials have shown excellent performance in electronic applications [46]. 1D CP geometry has provided outstanding charge-transfer characteristics in analytical methodologies. Specifically, the stable connections between 1D CP geometries and bioreceptors were demonstrated and integrated into an FET system, leading to advanced, reliable sensing platforms for B-nose [34, 35, 47, 48]. B-nose using 1D CP sensing platform shows great sensing performances and reliable sensitivities in liquid or air states.

Considerable progress has been made in the development of the B-using various sensing platforms with metal or ceramic nanomaterials. However, have several technological challenges such as low sensitivity and slow response times to be improved for general B-nose applications. Herein, we discuss key issues to be considered in the development of the B-nose, using 1D CP nanomaterials. Additionally, we introduce simple fabrication methods and the progression in performance improvement for functionalized 1D CP nanomaterials and their sensing platforms for B-nose applications.

13.2 Fabrication of 1D CP Nanomaterials

CP nanomaterials with enlarged surface areas have received highly attractive attention due to their inherent biocompatibility and facile functionalization, compared with carbon-based nanomaterials, metal-oxides, and ceramic nanomaterials. This has led to various applications, including organic light-emitting diodes, solar cells, memory devices, fuel cells, and sensors [40, 49]. In particular, 1D CP nanomaterials have demonstrated excellent electrical performance, due to their efficient charge transfer along the long-axis direction [36, 37, 50–52]. Thus, numerous processes for fabricating 1D CP nanomaterials have been introduced. Although excellent and unique manufacturing processes have been demonstrated, several technological challenges still remain for tailored and controlled methodologies. Here, we outline the versatile strategies that have been investigated for the fabrication of 1D CP nanomaterials: soft-template, hard-template, and template-free methods.

13.2.1 *Hard-Template Methods*

The hard-template method, one of the most facile synthesis methods, is advantageous for designing nanomaterials, such as core/shell materials, nanoparticle (NPs), and nano-capsules [53–56]. One-dimensional CP nanomaterials, including nanorods (NRs), nanotubes (NTs), and nanofibers (NFs), can be easily tailored by hard-templates, such as anodic aluminum oxide (AAO) and polycarbonate (PC) membranes. Compared with conventional structured or patterned templates, AAO membranes are widely used in various fields, due to their controllable pore and diameter sizes. For example, polypyrrole (PPy), polyaniline (PANi), polythiophene (PT), and poly(3,4-ethylenedioxythiophene) (PEDOT) NTs have been fabricated using hard templates for electronic devices. Moreover, these 1D CP nanomaterials can be designed not only with fixed diameters and lengths, but also with controlled wall-thicknesses. Park et al. fabricated 1D CP nanomaterials as a transducer in chemical sensor applications (Fig. 13.1a; [57]).

13.2.2 *Soft-Template Methods*

Recently, various 1D CP nanostructures have been constructed using soft-template methods, such as surfactant, liquid crystalline polymer, cyclodextrin, and functionalized polymer techniques [58–61]. Importantly, surfactants, which imply cationic, anionic, and non-ionic amphiphiles, are mostly used for the formation of micelles as a nanoreactor [40, 62]. The nanoreactors can be formed in micro-emulsions, which are macroscopically homogeneous mixtures of oil, water, and surfactant, leading to a useful medium for polymerization reactions. Thus, this technique allows the preparation of controllable 1D CP nanomaterials with a narrow size distribution, using cylindrical micelle and lamellar phases. We have synthesized various 1D CP nanomaterials, such as PEDOT NTs, PEDOT NRs, and PPy NTs, using soft-template methods. For example, Jang et al. demonstrated 1D PPy NTs using reverse micelle systems and provided their fabrication mechanism (Fig. 13.1b; [34, 63]). It is important to note that the polymerization process in soft-template methods is kinetically and thermodynamically unstable, due to Ostwald ripening, growth by collision between monomer droplets, and monomer consumption during polymerization.

13.2.3 *Template-Free Methods*

Template-free approaches have been studied extensively for the fabrication of 1D CP nanomaterials, because they are very straightforward, with no specific sacrificial template [64–66]. However, these approaches are limited to particular precursor materials. Compared with the other methods (i.e., soft and hard-templates), these methods can be used to fabricate simple, uniform, and high-quality nanomaterials. We have also demonstrated the fabrication of various shape-controlled

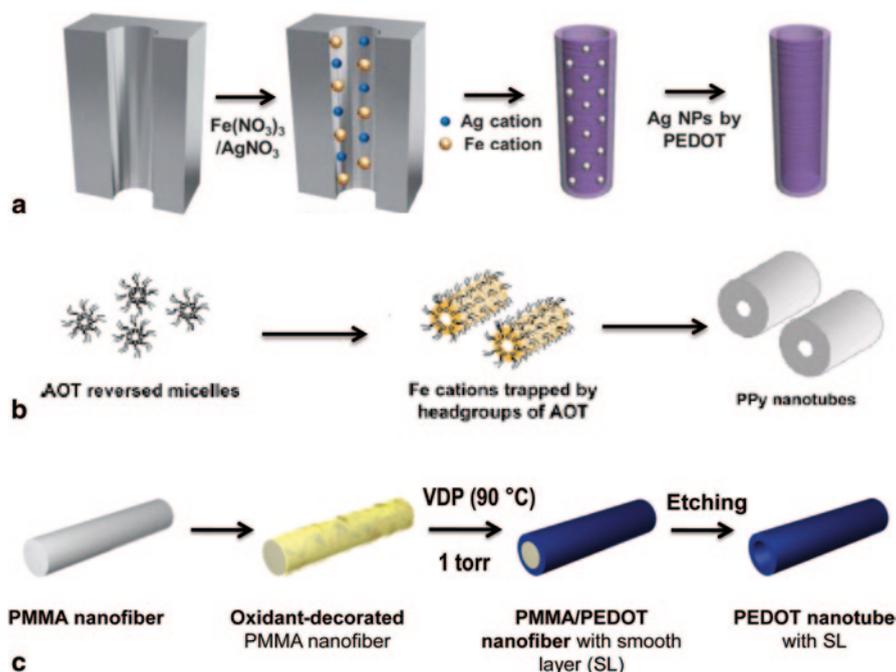


Fig. 13.1 **a** Synthetic route to Ag NPs/PEDOT NTs using hard-template (AAO). Reproduced from ref. 57. with permission of The Royal Society of Chemistry. **b** Fabrication process of polypyrrole NTs using reverse microemulsion polymerization (soft-template method). Reproduced from ref. 63. with permission of The Royal Society of Chemistry. **c** Multidimensional 1D PEDOT NTs using electrospinning and VDP process (template-free method). (Reproduced with permission from ref. 51. Copyright 2012 American Chemical Society)

nanomaterials *via* template-free methods. Electrospinning, one of the template-free methods, is a simple technique used to fabricate continuous 1D CP nanomaterials. One-dimensional multidimensional PEDOT NTs were fabricated by vapor deposition polymerization (VDP) mediated electrospun processes (Fig. 13.1c; [51]).

13.3 Design for B-nose Platform Using Functionalized CP NTs

13.3.1 Fabrication of Carboxylated PPy NTs via Reverse Microemulsion

Attractive methodologies and nanotemplates have been developed for fabricating 1D CP nanomaterials [26, 27–30, 50]. They showed novel physical, chemical, and optical properties, providing opportunities for advanced energy and environmen-

tal applications such as various electronics, energy storages, and sensors. It has a significant difference between tubular nanostructures and other forms (e.g., rods and fibers) due to the enhanced surface-to-volume ratio of the tubular structure. Most approaches for fabricating nanotubular structures, including CNTs, oxide NRs, metal NRs, and CP NFs, focused on the use of hard-templates. Although these methods permit controlled fabrication of the diameter and length, they are limited by high-cost and complicated synthetic steps. Recently, alternative strategies, such as soft-templates, have emerged, which simplify the fabrication process and facilitate large-scale production. Surfactant templates, a type of soft-template, can create various micelles, such as nanoreactors, for synthesizing 1D CP NTs. A reverse micelle, consisting of an aggregate of surfactant molecules containing a nanometer-sized water pool in the oil phase, was introduced using sodium bis(2-ethylhexyl) sulfocinate (AOT) [40, 67–73]. We demonstrated, for the first time, fabrication of PPy NTs using a reverse micelle system, based on AOT; the PPy NT system was characterized using polarizing optical microscopy (POM) [34]. Moreover, functionalized PPy NTs were developed using a co-polymerization process; the stabilized the electrical properties in the liquid state, providing efficient covalent bonding between the biomolecules and sensing platforms.

Carboxylated polypyrrole nanotubes (CPNTs) were prepared by copolymerizing the pyrrole monomer and pyrrole-3-carboxylic acid (P3CA) in an AOT reverse microemulsion system [32]. An AOT reverse cylindrical micelle phase is formed by combining an apolar solvent (hexane) and FeCl_3 solution. Also, appropriate temperature condition was calculated by a ternary phase diagram (Fig. 13.2a; [67]). In the first stage, a reverse cylindrical micelle phase was constructed by cooperative interaction (charge-charge interaction) between Fe cations and AOT molecules to produce a micelle having a diameter on the order of one nanometer. Metal salts in the solution enable the growth of reverse micelles through critical micelle concentration I and II. Second, a PPy and P3CA mixture was added a dropwise to the AOT/hexane mixed solution containing a reverse cylindrical micelle phase. The polymerization progressed rapidly using an oxidizing agent (iron cations) along the surface of the reverse cylindrical micelles. This reaction can be observed with the change of the colors from yellow to black during polymerization. Finally, CPNTs can be obtained by washing process with an ethanol to remove residual reagents and AOT.

13.3.2 Characterization of CPNTs

To confirm the CPNTs, X-ray photoemission spectroscopy (XPS) was observed. Figure 13.2b displays the identification of CPNTs and pristine PPy NTs. The survey scan spectrum showed the presence of the principal C 1s, O 1s, and N 1s core levels. The representative O 1s peak of the CPNTs was characterized by a chemical map of the XPS (Fig. 13.2c). The amount of O 1s peak was ca. 32% and it consisted of three components centered at 531, 532.2 and 533.3 eV, corresponding to alcohol, carboxyl, and ether-type oxygens respectively. Field-emission scanning

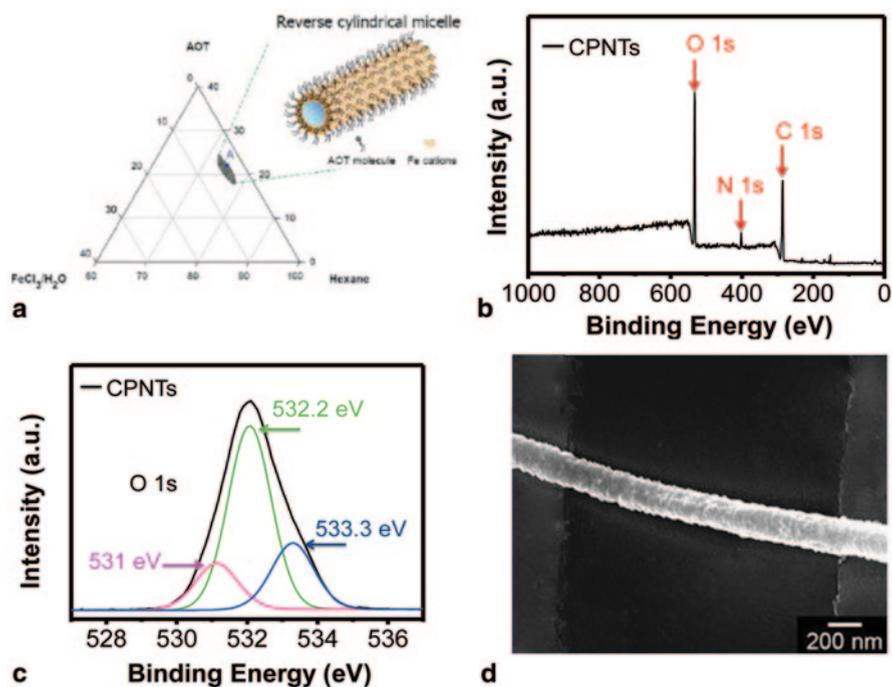


Fig. 13.2 **a** Partial ternary phase diagram for the hexane/AOT/ Fe cations system determined at 15°C. Reprinted with permission from ref. 67. Copyright 2005 American Chemical Society. **b** XPS spectra of the CPNTs. **c** XPS O 1s spectrum of the CPNTs. **d** Typical FE-SEM images of CPNTs on the microelectrodes. (Reprinted with permission from ref. 32. Copyright 2012 Elsevier)

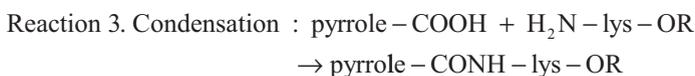
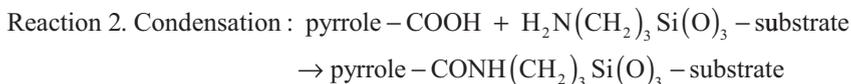
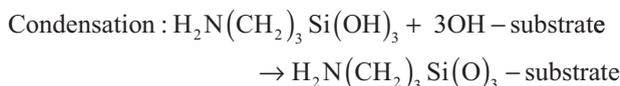
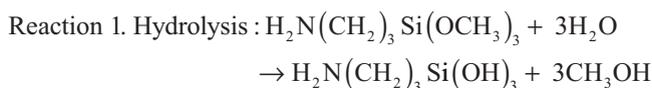
electron microscopy (FE-SEM) was used to characterize morphology of the CPNTs. Figure 13.2d shows the SEM image of the CPNTs on the microelectrodes, which had no impurities and a smooth surface [32].

13.3.3 Preparation of hORs

Two kinds of olfactory receptors as a fusion protein with a glutathione-*S*-transferase (GST) tag at its N terminus were prepared: hOR2AG1 and hOR3A1 were expressed in *Escherichia coli* (*E. coli*). The hOR2AG1 was used for the B-nose in liquid state; the other (hOR3A1) was introduced as a transducer for the B-nose in air. The GST-tag allows the information of olfactory receptor such as successful expression and Western blot analysis. The ORs can be separated from a membrane fraction of *E. coli* cells using Triton X-100, because the impurity proteins are soluble in Triton X-100. These ORs, which belong to a family of G protein-coupled receptors (GPCRs), are used for drug discovery and play a key role in odor discrimination.

13.3.4 Fabrication of OR Conjugated-CPNT Substrate as a B-nose Sensing Platform

An interdigitated microelectrode array (IMA) was patterned on a glass wafer using a photolithographic process and consisted of a pair of gold interdigitated microelectrodes (source and drain) with more than 50 fingers ($W/L=1000$, $L=2\ \mu\text{m}$ channel length). The IMA glass substrate was modified using aminosilane ((3-aminopropyl) triethoxysilane, APS) to introduce amine groups. The CPNTs were attached on the modified surface of IMA *via* the covalent bonding between the carboxylic acid groups of the CPNTs and the amine groups of IMA. To construct stable covalent bonding, an efficient condensing agent, 4-(4,6-dimethoxy-1,3,5-triazin-2-yl)-4-methylmorpholinium chloride (DMT-MM), was added. The DMT-MM facilitates the conjugation reaction at room temperature. The immobilization process of the CPNTs on the IMA stabilizes the electrical properties and induces mild reactions in the liquid state. Consecutively, the ORs were immobilized on the CPNTs *via* similar conjugation reactions. The overall reactions are given as follows (Fig. 13.3):



The FE-SEM image of OR-conjugated CPNTs deposited on the IMA was displayed, as shown Fig. 13.4a. It was clearly observed that the surface of CPNTs had considerably more rugged by the immobilized ORs compared to pristine CPNTs (Fig. 13.2d). Interestingly, no significant evidences of ORs on the surface of IMA, indicating the ORs were selectively immobilized on the surface of the CPNTs through covalent bonding. Compared with conventional physical adsorption, this immobilization process offers several advantages, including mild reaction conditions, stable electrical contact, and successful chemical bonding. Therefore, this sensing platform using immobilization will allow highly selective and sensitive sensing capabilities in B-nose.

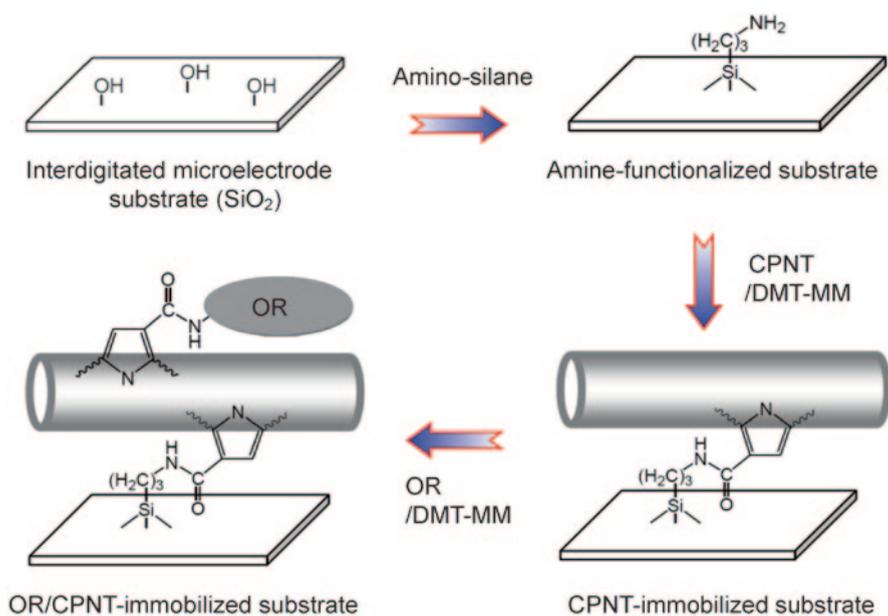
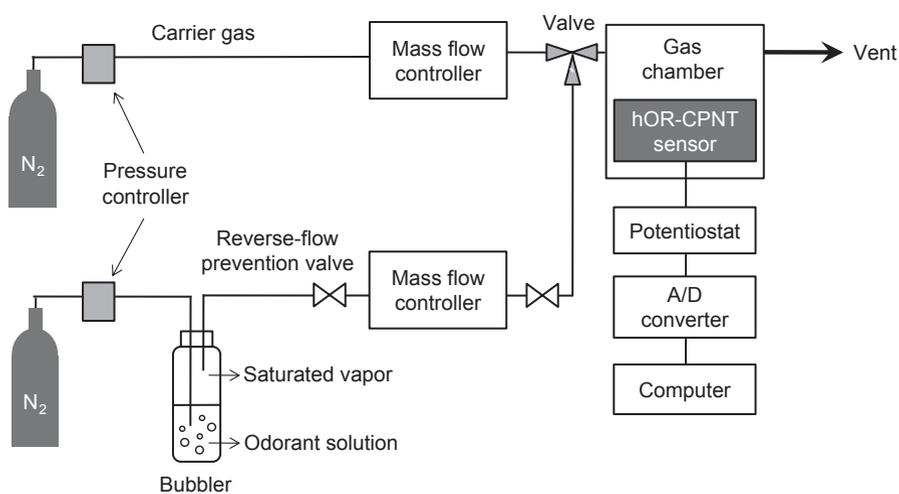


Fig. 13.3 Schematic illustration of fabricating sensing platform of B-nose using CPNT and OR. (Reproduced with permission from ref. [34]. Copyright 2009 Wiley-VCH Verlag GmbH & Co. KGaA)



- Carrier gas flow rate: 150 ml/min

Fig. 13.4 Schematic diagram of standard gas generation system using MFCs and potentiostat. (Reprinted with permission from ref. [32]. Copyright 2012 Elsevier)

13.4 Artificial B-Noses Using OR/CPNT Platform

13.4.1 Chemiresistive B-Nose to Detect Odorant Gases

13.4.1.1 Construction of the Chemiresistive B-Nose

The olfactory system is a highly, precise biological process. The human nose can detect gaseous odorants at low concentrations (sub-part per trillion [ppt] level: 10^{-9}). Thus, it is challenging to mimic the human olfactory system, which can discriminate thousands of odorant molecules [74–79]. Recently, progress in nanotechnology the development of the nanotechnology, B-noses were designed using carbon nanotube (CNT) [35]. However, the B-noses using the CNT platform exhibited limitations such as unstable interaction between receptors and transducers in liquid state and antagonism study. To overcome the critical disadvantages, we attempted to construct a chemiresistive B-nose, based on 1D CP nanomaterials. Chemiresistor-based sensors exhibit several attractive characteristics, such as facile process, label-free detection, and low power consumption. Chemiresistive sensors can be applied to simple resistance change in response to various small molecules, including volatile organic compounds (VOCs) and nerve agents. From their strong points, the B-nose based on a chemiresistive system was created for the APS-treated IMA *via* the immobilization of the human olfactory (hOR3A1) on the CPNT, as shown Fig. 13.3. To assess the chemiresistive sensing platform, a standard gas generation system with a mass flow controller (MFC) was also introduced (Fig. 13.4). The gas generation system consisted of MFCs and measurements sections. The odorant gases that moved through the carrier gas (N_2) were controlled by MFC system, resulting that the odorant gases were reached into the gas chamber.

13.4.1.2 Characterization and Real-Time Responses of the Chemiresistive B-Nose

To confirm the electrical properties of the B-nose, current-voltage (I - V) characterizations were carried out. Generally, chemiresistive sensors measure the potential difference across two points, leading to accurate detection *via* simple based on changes in resistance values. Figure 13.5a displays the linear I - V values of B-nose based on OR-conjugated CPNTs. The I - V values were maintained with continuous linearity after the introduction of the OR on the CPNT. Moreover, a significant change in the I - V values was observed, due to the attachment of the ORs. This indicates that excellent electrical contact between the CPNTs and IMAs can be achieved using the immobilization process, which provides reliable electrical resistance properties.

Significant resistance changes from the chemiresistive B-nose can be observed by the introduction of target odorant gas. Figure 13.5b presents the sensing capability of the chemiresistive B-nose upon periodic exposure to helional gas. Rapid

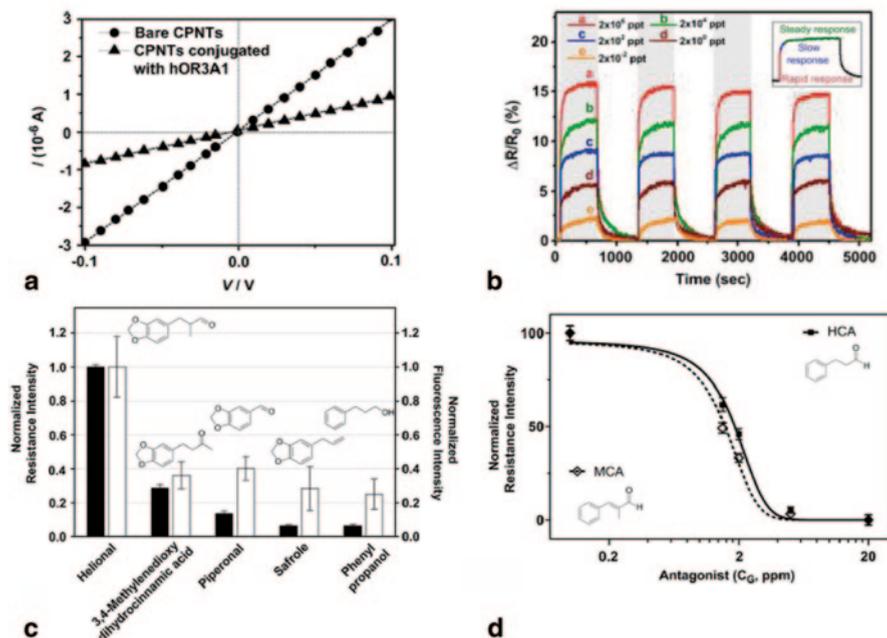


Fig. 13.5 **a** Current–voltage (I - V) curves of CPNTs on the IMA. **b** Real-time responses of B-noses. **c** Antagonism of B-nose. **d** Inhibition of odorant-induced B-nose responses by antagonists. (Reprinted with permission from ref. [32]. Copyright 2012 Elsevier)

responses from helional gas were exhibited with increasing resistance and saturation after 50 s; the more odorant concentrations have higher resistance changes. The maximum detectable level (MDL) of the B-nose was *ca.* 0.02 ppt, which means that the B-nose is highly sensitive compared with conventional gas-phase electronic noses (Table 13.1). This can be explained in terms of the interaction between the OR and odorant gases, which controls the p-type CPNT. In this study, the negative charges in ionization status of the OR bound to the odorant gases were transferred into the CPNT backbone. The carrier density (holes) of the p-type CPNT decreased with increasing negative charge, leading to a decrease in the conductance of the CPNT. The MDL value is similar to the noses of human experts. Moreover, the B-nose also showed high reversibility through various helional concentrations and excellent storage ability over long periods of time.

To observe antagonism in the olfactory system, an electronic nose with high sensitivity and selectivity should be constructed because the antagonism of the olfactory is complex. Two kinds of antagonists (methylcinnamaldehyde [MCA] and hydrocinamaldehyde [HCA]) were prepared. The responses from helional and antagonists were monitored with resistance changes. Although some antagonists showed significant responses, the responses from the helional can be clearly identified, due to their high fluorescence intensity (Fig. 13.5c). These results are also in

Table 13.1 Comparison data of conventional electronic noses

Sensing platforms	Analytes	M ^a	R ^b	Ref.
Mass spectrometry	Tempranillo wines	85 %	–	[13]
Mass spectrometry	Fungal growth	98 %	48 h	[14]
Mass spectrometry–gas chromatography	Grain samples	5 $\mu\text{g kg}^{-1}$ (range 0–80)	–	[15]
NIR spectra fluorescence	Foods	–	–	[16]
Silicon cantilever array	1-propanol	500 ppm ^c	–	[17]
Microspheres (silica/nile) arrays	Toluene	0.84 ppm ^c	–	[19]
Silicon cantilevers	1-butanol, 1-propanol	1 μL	<100 s	[20]
Cantilever array	Breath sample	30 ppm ^c	20–80	[21]
Fiber-optic chemosensor	Amyl alcohol Butyl alcohol	82 % 91 %	–	[23]
Organic semiconductor	Ammonia	10 ppm ^c	35 s	[25]
CNTs	H ₂ O/H ₂ S	–	–	[27]
CNTs	Proteases	0.5 pg/mL	–	[28]
ZnO nanorods	Humidity	<5 %	–	[29]
CNTs	Amyl butyrate	100 fM	<1 s	[35]
Graphene	Amyl butyrate	0.04 fM	<1 s	[67]
1D CP NTs	Helional	0.01 ppt ^d	10 s	[32]
1D CP NTs	Amyl butyrate	10 fM	<1 s	[34]

^a Minimum detectable level

^b Real-time response time (s)

^c ppm: part-per-million

^d ppt: part-per-trillion

agreement compared to conventional reports using olfactory neurons. Interestingly, the antagonistic behavior of the B-noses using OR-CPNTs was similar to the human noses (Fig. 13.5d). From these results, the B-noses based on OR-CPNTs were characterized with antagonistic behavior and high-performance sensing capabilities, opening the artificial B-nose similar to human nose.

13.4.2 Liquid-Ion Gated FET-Type B-Nose to Detect Odorants

13.4.2.1 Construction of Liquid-Ion Gated FET-Type B-Nose

Transistor-based biosensors, which combine of a sensing element (transistor) and an amplifier, have shown potential for miniaturization and portable sensing platforms. Various biosensors based on 1D CP nanomaterial transistors have been demonstrated with high-performance sensing capability. In this study, we designed a sensing platform based on 1D CP nanomaterials, which was integrated into the liquid-ion gated FET system for liquid odorant detection. The fabrication process of the liquid-ion gated FET-type B-nose was similar to the sensing platform of the

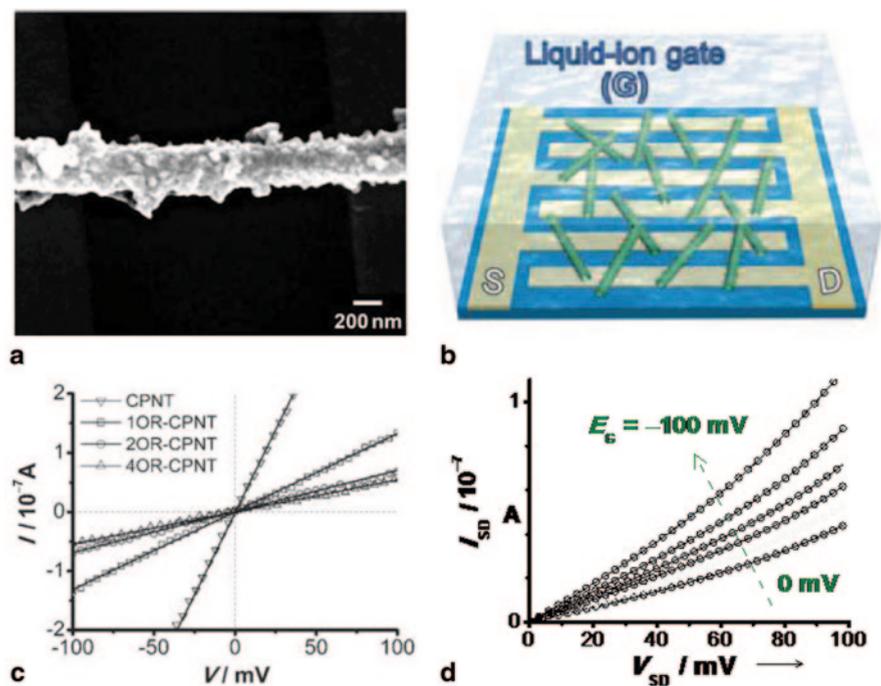


Fig. 13.6 **a** Typical FE-SEM image of a hOR-conjugated CPNT sensing platform. **b** Schematic diagram of a liquid-ion gated FET geometry immobilized hOR-CPNT. **c** Current-voltage curves of CPNTs, 1hOR-CPNT, 2hOR-CPNT, and 4hOR-CPNTs. **d** Out-put characterization of hOR-conjugated CPNT FET. (Reprinted with permission from ref. [34]. Copyright 2009 Wiley-VCH Verlag GmbH @ Co. KGaA)

chemiresistive B-nose previously introduced (Fig. 13.3). First, the IMA was patterned onto a glass substrate by a general lithographic process and engineered with APS to modify the surface properties. The amine-functionalized IMA substrate was combined with carboxylic acid groups of the CPNT *via* a condensation reaction. Compared to conventional lithographic methods, this immobilization approach provides attractive advantages such as a simple introduction process of chemical bonding and stable electrical properties in the liquid state. Continuously, the hOR (hOR2AG1) with terminal amine groups on cysteine (Cys) residues was attached to the CPNT surface through a similar condensation reaction. Figure 13.6a displays a typical FE-SEM image of hOR-conjugated CPNTs immobilized on an IMA substrate. The surface of CPNT was more rugged, and there were no hORs on the IMA substrate. This indicated that the attachment between the CPNTs and hORs was selective. Compared with the non-covalent approach, covalent anchoring allows high-performance analytical sensing in the liquid phase and is capable to design the chemical functionality of the CPNTs to be customized for specific purposes.

The liquid-ion gated FET geometry was constructed on the sensing platform using hOR-conjugated CPNTs (Fig. 13.6b); (i) the liquid-ion gate was consisted of a

phosphate-buffered solution (PBS, pH 7.4). (ii) three electrodes were introduced as source (*S*)/ drain (*D*) electrodes and gating electrodes (*G*). The gating electrodes were applied with the Ag/AgCl reference electrode and platinum counter electrode immersed in the PBS solution, leading to the efficient gating control. This liquid-ion gating can induce effects that enhance efficiency, including intimate contact on the CPNT surface and low-voltage operation, in the liquid state.

13.4.2.2 Characterization of the Liquid-Ion Gated FET-Type B-Nose

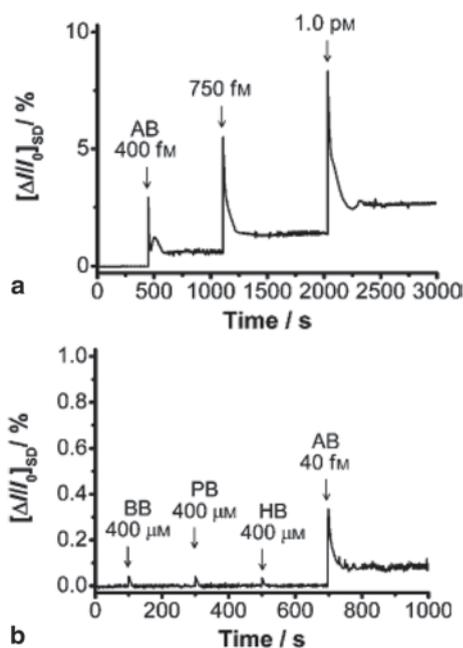
Before using the FET-type B-nose for liquid-phase odorant detection, the electrical properties were characterized. Figure 13.6c presents the I - V curves of hOR-conjugated CPNTs and pristine CPNTs without hOR deposited on the IMA substrate (scan rate = 10 mVs⁻¹). The dI/dV values of the B-nose sensing platform gradually decreased with increasing concentrations of hOR attachment. Although the gradient of dI/dV decreased slightly due to hOR attachment, the linearity of the B-nose sensing platform was continuously retained after the coupling reaction and washing process. This result indicates that covalent immobilization of the CNPTs provided stable electrical properties in the liquid state, leading to reliable electrical contact. Moreover, the loading amount of hOR on the CPNT could be controlled by adjusting the feed amount, which allowed to the maximum MDL for the B-nose using the hOR-conjugated CPNT. The observed dI/dV values from smallest to largest are as follows: hOR-to-CPNT weight ratios 1:4 (1hOR-CPNT) < 1:2 (2hOR-CPNT) < 1:1 (4hOR-CPNT).

To use the hOR-CPNT sensing platform as a signal transducing component of B-noses, we constructed a liquid-ion gated FET system with a PBS solution as the electrolyte. This was possible because the gating remote could be easily controlled. The FET-type sensing geometry was also applied to enhance the sensing performance through signal amplification. Figure 13.6b shows the FET system with three types of electrodes: source, drain, and gate electrodes. The gate potential (V_g) was applied between the reference electrode and the drain electrode through the liquid-ion solution. More than 100 sensing platforms were tested under ambient conditions. Figure 13.6d demonstrates the output curve characterization of the B-nose using an hOR-CPNT sensing platform at room temperature. The source-to-drain current (I_{ds}) negatively increased with negatively increasing gate voltage (V_g), indicating p-type (hole-transporting) behavior. From these experimental results, the binding of odorants to the B-nose was observed by monitoring the current changes *via* the liquid-ion gated FET system.

13.4.2.3 Real-Time Responses of Liquid-Ion Gated FET-Type B-Nose

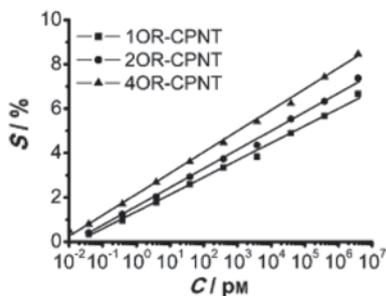
To investigate the real-time sensing characteristics of the liquid-ion gated hOR-CPNT FET, the I_{sd} was measured at $V_{sd}=50$ mV ($V_G=0$ mV, a low operating voltage) upon the addition of various odorant concentrations. Generally, odorant amyl

Fig. 13.7 Real-time responses of 1hOR-CPNT FET B-nose measured at $V_{sd} = 50$ mV: **a** target odorant (AB), **b** non-target (BB, HB, and PB) and target odorant (AB). The sensitivity was recalculated as normalized I_{sd} changes. (Reprinted with permission from ref. [34]. Copyright 2009 Wiley-VHC Verlag GmbH @ Co. KGaA)



butyrate (AB), a common reagent for fruit flavor, is particularly sensitive to the hOR, leading to a rapid response time. Figure 13.7a displays the real-time response of the B-nose to AB. The current values from the B-nose were achieved with a concentration-dependent increase in I_{sd} upon exposure to AB. To avoid electro-chemical oxidation of AB, the applied gate voltage was below the oxidation potential. The highly selective hOR interaction to AB leads to the lowest MDL (ca. 400 fM) for the B-nose compared with the conventional B-nose using 1D nanomaterials. The real-time responses of the B-nose were fast (<1 s) and clearly saturated. Pristine CPNTs were also introduced as an identical experiment: no significant current signals were observed. This was attributed to the ultrasmall olfactory receptor (diameter <4 nm) being expressed in the insoluble fraction of *E. coli*. It is believed that the sensing mechanism originates from a change in the charge-transport behavior of the CPNTs induced by the binding event of hOR-AB. Specifically, the hOR has both an uncharged (RSH) state and a negatively charged (RS^-) state through the acid-to-base transition of the sulfhydryl group. The structural rearrangement of hORs occurred, due to the interaction of AB, which induced negative point charge formation on the liquid-ion gate dielectric near the CPNTs. Finally, the accumulation of positive charge carriers in the CPNT channel allowed the increasing current to be monitored. Such a molecular gating effect is similar to a p-type doping effect acting indirectly on the liquid-ion gate dielectric, rather than directly affecting the semiconducting layer. Moreover, the B-nose has excellent selectivity with highly specific atomic-level resolution at femto-molar concentrations, as shown Fig. 13.7b. There were no changes in the current from the non-targeted molecules which only differed by the

Fig. 13.8 Calibration curves of the FET B-nose using various hOR-CPNT sensing platforms (1hOR-CPNT, 2hOR-CPNT, and 4hOR-CPNT). (Reprinted with permission from ref. [34]. Copyright 2009 Wiley-VHC Verlag GmbH @ Co. KGaA)



number of carbon atoms (butyl butyrate, BB; propyl butyrate, PB; hexyl butyrate, HB); whereas the target molecule (AB) exhibited a significant signal.

To confirm the loading effect of hOR on the CPNT surface, 1hOR-CPNT, 2hOR-CPNT, and 4hOR-CPNT samples were prepared. Figure 13.8 shows the calibration curves of the FET B-nose. The 4hOR-CPNT, which offers the highest degree of functionalization, showed the lowest MDL level (10 fM) as a result of the enhanced hOR-AB interaction. Moreover, the linear curves as normalized changes in I_{ds} , were observed after the addition of various AB concentrations. All of the samples remained stable, as indicated by the linearity of the log-scale x -axis. The sensitivity order of the samples over a wide concentration range was as follows: 1hOR-CPNT < 2hOR-CPNT < 4hOR-CPNT. In particular, the sensitivity of the 4hOR-CPNT was approximately twice that of 1hOR-CPNT or 2hOR-CPNT. From these results, a high-performance B-nose can be constructed using hOR-CPNT sensing platform, carefully controlled by the hOR loading amount.

13.5 Conclusion

Owing to attractive advantages, including easy functionality and facile fabrication process, of the 1D CP nanomaterials, we demonstrated the construction of B-nose based on 1D CP nanomaterials. The CPNT geometry, as a sensing platform for the B-nose, can be constructed using an immobilization process on an IMA substrate. His configuration exhibited stable electrical properties and mild reaction conditions in the air or liquid. The B-noses, using the hOR-CPNT geometry, were utilized as two kinds of sensing platforms: (i) as a chemiresistive B-nose using simple changes in resistance, (ii) as a liquid-ion gated FET-type B-nose based on changes in current flow. For discriminating odorant gases, the chemiresistive B-nose showed excellent sensing performance. The FET-type B-nose also exhibited high-performance odorant detection in the liquid state. Considering these results, the hOR-conjugated CPNT sensing platform offers a new direction for mimicking human sensors. Moreover, this methodology can also be utilized for fabricating high-performance biosensors with high sensitivity and selectivity.

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Chapter 14

Applications and Perspectives of Bioelectronic Nose

Hwi Jin Ko, Jong Hyun Lim, Eun Hae Oh and Tai Hyun Park

Abstract Detection and discrimination of odorants has great potential for applications in various fields, such as the food industry, fragrance and flavor industry, environmental monitoring, and biomedical diagnosis. For several decades, many efforts have been made to control the process of food production and fragrance and flavor of brands, and to monitor environmental pollutions through the use of comparable technology. There have been several classical methods for these purposes. Conventional methods, such as GC/MS or human sensory panels (olfactometry), have been conventionally used, but they are expensive, labor-intensive, time-consuming and affected by large variations according to the conditions of analysis. These drawbacks increased the requirement for new technique, substituting classical methods, and the electronic nose has been developed over the past couple of decades. However, the electronic nose has also many limitations to be overcome. Recently, the bioelectronic nose, using biological components, has been developed. The bioelectronic nose has a bright prospect as a powerful and effective biosensing system, capable of detecting and discriminating a huge variety of odorant molecules. The most meaningful characteristics of the bioelectronic nose are that it mimics the human olfactory system. The bioelectronic nose is expected to replace the sensory evaluation method. It can be used for standardization of smell, development of code for each smell, and visualization of smell. Consequently, the development of the

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Table 14.1 Examples of applications of bioelectronic noses

Industry	Application fields	Specific use types
Biomedicine	Pathogen or disease detection	Early diagnosis of sever diseases, patient condition care, metabolic disorders
Food and beverage	Quality control, fermentation process, bacterial contamination	Ingredient confirmation, off-flavor, spoilage, fermentation control
Fragrance and flavor	Consumer's expectation, ripeness, freshness	Cosmetics, perfumes, brand recognition (ex, coffee product)
Environmental monitoring	Air and water pollution monitoring, indoor air monitoring	Fugitive emission, malodor, greenhouse gas emission, toxic gas and spills

bioelectronic nose is expected to open up many new possibilities to improve the quality of our life.

14.1 Applications

The human nose can discriminate among hundreds of thousands of odorant molecules [1, 2]. The olfactory sense in all animals, including human, is used to evaluate food, drink and environmental toxic materials. Recently, many studies on sensing devices mimicking the human olfactory system have been reported, and the possibility for numerous applications has been suggested [3–6]. The bioelectronic nose, described in this book, has a similar function to human olfaction, and a huge variety of possible applications (Table 14.1)

14.1.1 *Diagnosis of Disease*

Generally, numerous volatile and non-volatile organic compounds, including odorous compounds, are emitted from various parts of human body, such as scalp, feet, oral cavity, axillae, genital, and skin [7]. The emission of volatile compounds is influenced by diet, stress, metabolic diseases, and immune status of the individual. Thus, the change of body odor potentially represents various diseases and even mental health (Table 14.2).

Ancient physicians considered that exhaled breath from human was associated with a certain disease, and might reflect the disorder in physiological and pathophysiological processes [36]. For example, diabetes gives a fruit-like smell of acetone in a patient's breath [16]. Diabetic patients cannot metabolize carbohydrates, including glucose, but catabolize fats into ketone bodies, such as acetone. Urine, sweat, and skin, as well as the exhaled breath are the major paths to emit odorous compounds, and they provide a lot of information about the condition of the human body. Many current researches focus on the analysis of the breath or urine odor to achieve a non-invasive and easy diagnosis.

Table 14.2 Key volatile compounds from patients' breath with diseases

Diseases	Body	Aromatic and volatile compounds	References
Alcohol-induced hepatic injury	Breath	Ethane, pentane (volatile alkanes)	[8]
Asthma	Breath	Hydrogen peroxide, nitrotyrosine, nitric oxide, leukotrienes	[9–11]
Breast cancer	Breath	2-Propanol, heptanal, 2,3-dihydro-1-phenyl-4, 1-phenyl-ethanone	[12]
Cystic fibrosis	Breath	Nitric oxide, leukotriene B(4), 8-isoprostane, interleukin-8	[13–15]
Diabetes mellitus	Breath	Acetone, other ketones	[16]
Liver cancer	Blood	Hexanal, 1-octen-3-ol, octane	[17]
Lung cancer	Breath	Heptanal, nitric oxide	[18, 19]
Pneumonia	Breath	Putrid	[20]
Sickle cell disease	Breath	Carbon monoxide	[21]
Sleep apnea	Breath	8-isoprostane	[22]
Trench mouth	Breath	Halitosis	[23]
Trimethylaminuria	Breath	Trimethylamine	[20]
Uremia and kidney failure	Breath	Dimethylamine, trimethylamine	[24]
Schizophrenia	Alveolar, sweat	Pentane, carbon disulfide, mildly acetic	[25–27]
Rheumatoid arthritis	Alveolar	Pentane	[28]
Hepatic encephalopathy	Blood	3-methylbutanol	[29]
Congestive heart failure	Heart	Dimethyl sulfide	[30]
Yellow fever	Skin	Butcher's shop	[23, 31]
Tuberculosis lymphadenitis	Skin	Stale beer	[20]
Pseudomonas infection	Skin, sweat	Grape	[20]
Isovaleric acidemia	Skin, sweat	Sweaty feet, cheesy	[20, 23, 32]
Diphtheria	Sweat	Sweet	[20, 23, 31]
Maple syrup urine disease	Sweat	Maple syrup, burnt sugar	[20, 31]
Rubella	Sweat	Freshly plucked feathers	[20]
Hyperhidrosis	Whole body	Unpleasant body odor	[31]
Urinary tract infection	Urine	Isovaleric acid, alkanes	[33]
Metabolic disorders	Urine	Isovaleric acid	[34]
Bladder Infection	Urine	Ammonia	[20]
Tubular necrosis (acute)	Urine	Stale water	[35]

Many studies using conventional sensing techniques have tried to analyze the breath in order to diagnose various diseases, such as a cancer, diabetes, liver failure, bacterial infection, etc. The correlation between the exhaled breath and diseases has been continuously suggested, since the first breath test as a medical assessment was tried in the eighteenth century [37]. Gas chromatography-mass spectroscopy (GC-MS) was developed for the separation and identification of volatile odor compounds in the 1960s, and breath test detecting volatile organic compounds (VOCs) from exhaled breath was used in the 1970s [38]. From the exhaled breath, many

compounds have been identified, from small inorganic molecules, such as nitric oxide and carbon monoxide, to organic compounds, such as acetone, methanol and isoprene [39–42]. However, GC-MS is a labor-intensive and time-consuming technique. In addition, the collection and pre-treatment processes of the breath sample is difficult. Thus, the extensive applications of conventional methods to the disease diagnosis are not practically possible.

Electronic noses for diseases diagnosis were developed to overcome the limitation of GC-MS. The electronic nose consists of several sensor arrays and recognizes specific odors through analyzing the response patterns generated by odor stimulation [43]. Many researches demonstrating the capability of the electronic nose on medical applications have been presented [44]. Electronic noses usually target odors from human exhaled breath or urine, and can be used for the diagnosis of a wide range of diseases, such as lung cancer [45–48], chronic obstructive pulmonary disease [47, 49], and asthma [49, 50]. Recently, a gold nanoparticle-based electronic nose, which can diagnose lung cancer using exhaled breath, has been reported [51]. Peng et al. demonstrated that the sensor can discriminate patients' breath with high accuracy. However, the electronic nose still has limitations in terms of selectivity and sensitivity. Most patients do not have a single disease. For instance, a person who has high blood pressure is also likely to have diabetes or lung cancer. In other words, the combinations of patients' diseases are countless, and the resultant chemical/odor changes are necessarily complex. Thus, high selectivity capable of discriminating specific diseases is required for precise diagnosis. Moreover, the most important point on medical applications is an early diagnosis. Therefore, sufficient sensitivity has to be guaranteed for practical diagnosis.

Bioelectronic noses mimicking human olfaction can achieve an extremely high sensitivity and selectivity. Various biological components, such as living cells, proteins, and even peptides, can be used for the development of bioelectronic noses. Lin et al. constructed a quartz crystal coated with a synthesized peptide which is derived from an olfactory receptor [52]. The peptide-based sensor was able to selectively detect trimethylamine, a biomarker of uremia. This study first showed that the bioelectronic nose was able to be used for the detection of the odorous biomarker.

Recently, Lim et al. developed the bioelectronic nose for the diagnosis of lung cancer [53]. The specific olfactory receptor that binds to heptanal was selected. In the blood from lung cancer patients, the concentration of heptanal is significantly higher than that in the blood from non-patients [54]. By functionalizing the single-walled carbon nanotube field-effect transistors (SWNT-FETs) with nanovesicles containing the selected olfactory receptors, a highly sensitive and selective bioelectronic nose was fabricated. The sensor can detect 100 fM of heptanal from human blood plasma, even though the plasma sample was not pre-treated (Fig. 14.1).

Most hormone receptors as well as olfactory receptors belong to a GPCR. Therefore, other types of GPCR-based biosensors for the diagnosis of diseases can be developed through a similar fabrication process to that of an OR-based bioelectronic nose. A biosensor which sensitively and selectively detects a human parathyroid hormone (hPTH) has been reported [55]. The sensor was fabricated with human parathyroid hormone receptors (hPTHs) over-expressed in *E. coli* and conducting

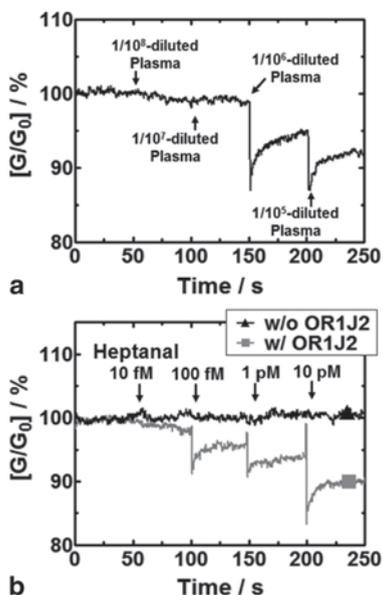


Fig. 14.1 Detection of heptanal from human blood plasma using a nanovesicle-based bioelectronic nose. **a** A real-time measurement for the influence of plasma on the conductance change in the bioelectronics nose. The bioelectronics nose was fabricated using nanovesicles with olfactory receptor, $G\alpha_{olf}$ and receptor-transporting protein 1S (RTP1S). Human blood plasma samples were diluted to different ratios. The $1/10^7$ -diluted plasma did not show any effect on the conductance, which means that no pretreatment process is required when $1/10^7$ -diluted plasma is used in the experiment. **b** The real-time detection of heptanal from $1/10^7$ -diluted plasma using a bioelectronic nose. A bioelectronic nose based on nanovesicles with olfactory receptor (OR), $G\alpha_{olf}$ and RTP1S was able to detect 1×10^{-13} M heptanal (*square*), whereas a bioelectronic nose without ORs was not (*triangle*). (Reprinted from Ref. [53] with permission from John Wiley & Sons Inc.)

polymer nanoparticles. The hPTHR and conducting polymer nanoparticles play roles in the selective discrimination of hPTHs and in the conversion of bio-signals into the sensitive electrical signals, respectively. The hPTHR-based sensor was able to detect hPTHs from real serum samples with a high sensitivity and selectivity. Human acetylcholine receptor was also used for the development of diagnostic devices [56]. The receptor was over-expressed in *E. coli* and immobilized on the SWNT-FETs. The sensor was able to easily and rapidly detect acetylcholine with high sensitivity and selectivity. These techniques, when applied to the development of biosensors, are expected to offer numerous applications in biomedical fields.

14.1.2 Assessment of Food Quality

Quality and spoilage control of foods are very important to many industries and consumers because a good quality is directly connected to a consumption of products,

consumers' choice of brands and even consumers' health. A consumers' repeat purchase behavior depends on whether the product can consistently satisfy the consumers' expectation of quality. With growing consumer expectation of quality and safety for foods, interest in the effective sensing system for measuring the quality of foods has increased. Various devices have been developed during a couple of decades to satisfy this interest. However, most methods require the destruction of samples and random sampling processes. Therefore, a novel concept of device to assess the quality of foods based on the sense of smell has been suggested.

Off-odor from foods is generated by the oxidation of foods or the contamination by bacteria or fungi [57–59]. Intake of spoiled or contaminated foods can cause severe health problems to human. Thus, it is important to determine the quality of foods before consumption. This is possible by detecting odorous compounds emitted from low-quality foods. In this respect, effective methods to analyze odor from foods have been intensively developed long ago.

In order to detect VOCs generated from foods, GC-MS has been commonly utilized. Especially, through a complementing solid-phase microextraction (SPME) technique, the sensitivity of GC-MS has been remarkably improved [60]. SPME and GC-MS techniques are still used to analyze VOCs from various spoiled or contaminated foods [61–63]. High-performance liquid chromatography (HPLC) and ion-exchange chromatography are also suitable for this purpose, and have been broadly used [64–66]. These techniques can precisely analyze all VOCs generated from foods; however, all kinds of standard molecules have to be procured. In the case of real foods, numerous chemical substrates are decomposed by many factors, such as oxidation, bacterial growth, and thermal degradation. Thus, it is impossible to analyze all VOCs generated in an actual condition rather than a controlled condition. Moreover, large-sized instruments and complicated pretreatment processes make the on-site and real-time analysis difficult.

Many electronic noses that measure the quality of foods have been developed [67–70]. The electronic noses classify food odors through recognizing the response patterns generated from a sensor array. Such devices have many advantages as practical sensors. First of all, their operation can be quite simple. The electronic nose recognizes the odor from foods in a similar manner to the human nose, and subsequent processes can be automated. In addition, the devices can be miniaturized; hence, several portable types of electronic nose have already been commercialized. However, the electronic nose still has limitations. In most cases, various kinds of foods are mixed and even cooked. The quality and combination of ingredients differ at all times. This means that the database of incalculable odor patterns has to be built so as to determine the quality of foods in actual conditions. The electronic nose can differentiate between spoiled and non-spoiled foods in ideal conditions, but cannot selectively recognize the odor from certain spoiled foods when various foods are mixed. Therefore, more advanced concepts of artificial smelling devices are required.

The bioelectronic nose is expected to play this role. Bioelectronic noses have excellent selectivity due to their primary sensing elements, an olfactory receptor [4]. They can recognize only their counterpart ligands, irrespective of mixed degrees or

physical conditions of foods. This is a very important characteristic of the sensing device when the sensor is applied within the food industry. It has been reported that the amount of linear aldehydes, especially hexanal, increases by the oxidation of unsaturated fatty acids [71]. Thus, hexanal is generally considered as an indicator of the degree of decomposition of foods which contain fatty acids. Park et al. developed a bioelectronic nose that can sensitively and selectively detect hexanal [72]. One of the canine olfactory receptors was utilized as a primary sensing element in the form of a nanovesicle. The nanovesicle containing the canine olfactory receptor on its membrane was combined with SWNT-FETs. This bioelectronic nose was able to detect hexanal at a concentration as low as 1 fM with excellent selectivity. Its sensitivity and selectivity facilitated the detection of hexanal from spoiled milk without any pretreatment processes. These results showed that the bioelectronic nose will be an excellent sensing device for the assessment of foods quality.

The remarkable selectivity and sensitivity of the bioelectronic nose resulted in another interesting application. Although many foods are mixed, the degree of decomposition of specific foods can be easily measured. Recently, Lim et al. developed a peptide receptor-based bioelectronics nose (PRBN) by using single walled-carbon nanotube field-effect transistors (SWNT-FETs) functionalized with olfactory receptor-derived peptides (ORPs) [73]. The peptide, which is derived from one of the canine olfactory receptors, specifically binds to trimethylamine, an indicator of seafood decomposition. Even though a small peptide rather than a whole protein was utilized, the selectivity of the olfactory receptor still remained. Thus, the sensor was able to detect trimethylamine from spoiled seafood samples without any pretreatment processes (Fig. 14.2a), and determine the degree of spoilage (Fig. 14.2b, c). Moreover, spoiled seafood was specifically discriminated among other kinds of spoiled foods (milk, tomato, broccoli, and beef) and fresh seafood (Fig. 14.2d). By virtue of its excellent sensitivity and selectivity of the bioelectronic nose, no pretreatment processes of food samples were required. In the near future, the freshness of each food ingredient in a household refrigerator may be automatically measured by the bioelectronic nose.

14.1.3 Determination of Fragrance and Flavor

The sense of smell in human is not considered to be a crucial function for survival, although odor perception plays an important role in the survival of all animals. Nevertheless, the olfactory sense in human is an important motivation factor inducing the development of a fragrance and flavor industry. Many industries are interested in scent, which attracts customers to their products. Depending on the product in question, a customers' propensity to consume products can easily rely on preference to a specific smell. Therefore, the importance of a good scent is emphasized in most products in our daily lives, such as wines, cuisine, cosmetics, perfume, and coffee. This indicates that the control of fragrance and flavor is very important to various industrial fields.

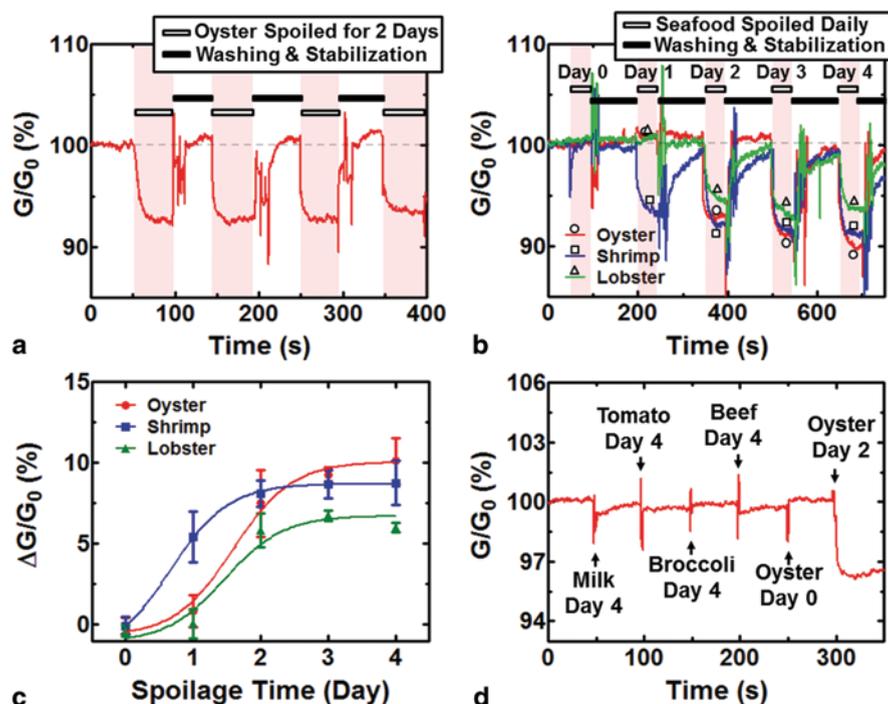


Fig. 14.2 Detection of trimethylamine (TMA) from spoiled seafood using peptide receptor-based bioelectronic noses. **a** A real-time measurement data exhibiting the conductance change generated by repeated treatments with a spoiled oyster sample, which was produced by storing the oyster sample at 25 °C for two days. Consistent and prompt responses were generated by the four treatments. The *gray dotted line* represents the initial base line. **b** Real-time measurements of conductance changes generated by treatments with oyster (*red line*), shrimp (*blue line*), and lobster (*green line*) samples spoiled for different periods of time. Significant decreases in the conductance were observed for the 2 day-spoiled oyster, 1 day-spoiled shrimp, and 2 day-spoiled lobster and the responses increased with an increase in the spoilage time. **c** Response patterns versus the degree of spoilage of the three spoiled seafood samples (oyster, shrimp, and lobster). The generated responses tended to increase with the degree of spoilage. **d** Real-time recognition and distinction of spoiled oyster from other types of spoiled foods (milk, tomato, broccoli, and beef) and fresh oyster. The sample solutions of milk, tomato, broccoli, and beef spoiled for four days and the fresh oyster had no significant effect on the conductance. However, the injection of the oyster sample that had been spoiled for two days caused a sharp decrease in conductance. For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article. (Reprinted from Ref. [73] with permission from Elsevier)

The determination of a good smell largely depends on personal disposition and experience, and can be very subjective. Therefore, to objectively judge the fragrance and flavor, well-trained experts play a role in the development of many products. For instance, a sommelier is a wine connoisseur and a perfumer develops scents for perfumes and cosmetics. However, the method that a person directly smells has many limitations. Many parameters, such as health, fatigue and the specific

conditions of a person can affect the smelling ability; therefore, reproducibility and repeatability cannot be guaranteed through this method. In the case of the fragrance- and flavor-related industries, a vast number of odorous components are used. Also, the actual smell may be extremely changeable depending on the mixing ratio of the used odorants. Thus, it is impossible to determine scent through analytical techniques such as GC-MS. Such techniques can only identify components comprising the smell [74, 75]. This is the most serious drawback of conventional techniques on the applications for the fragrance and flavor industry.

Electronic noses can provide a more objective value of odor. Several groups have reported results showing the capability of electronic noses on applications for the measurement of scent [76, 77]. Electronic noses have successfully discriminated perfumery compounds through a pattern recognition process. However, the patterns generated by the odor stimulation cannot fundamentally represent the real odor that a person perceives, because electronic noses do not use human olfactory receptors. The electronic nose detects volatile compounds including odorants. Thus, various odorless compounds, such as CO₂, CO, and even water vapor, can induce significant responses in the electronic nose. Hence, in the fragrance and flavor industry where the human perception has to be objectively analyzed, the electronic nose has limitations.

Bioelectronic noses utilize olfactory receptors which originally act as a primary sensing element for the recognition of odors. The biological processes for odor perception can be reconstructed *in vitro* using bioelectronic noses. Olfactory receptors of the bioelectronic nose recognize odorants with excellent selectivity. This recognition is identical to that of the human nose [78]. If the sensor is functionalized with all kinds of human olfactory receptors, it can perfectly represent the same response patterns as those which the human nose produces for the perception of odors. In order to apply the sensor to the fragrance and flavor industry, the sensor should objectively analyze the type and intensity of the smell based on the human olfactory sense. This is only possible when human olfactory receptors are incorporated to the sensor system. Eventually, the bioelectronic nose will provide a simple, fast and reliable analysis method to measure scent without the help of the human nose.

14.1.4 Monitoring of Environmental Pollutants

Recently, there has been a growing need to more consistently and specifically monitor environmental pollutants. In a narrow sense, environmental pollution means the emission of greenhouse gases or toxic compounds generated from industrial processes into the atmosphere, soil, or water. This is strictly regulated by law, because pollutants can be seriously harmful to human health. In the broader sense, there are a lot of pollutions that are not officially regulated, but can give rise to unpleasant feelings by malodor. For instance, garbage thrown in the street, spoiled fish in the wastebasket, or smoking in the public area can cause malodor. Thus, it is also a kind

of environmental pollutions although such odor is not restricted. All environmental pollutants, regardless of their regulation, need to be monitored to improve quality of life. For this purpose, the development of further effective methods to monitor various pollutants is required.

GC-MS has been generally used for the detection of environmental pollutants in the atmosphere [79–81]. HPLC is suitable to analyze the composition of water [82–84]. Such techniques can precisely quantify the amount of chemical compounds. Thus, they are effective to monitor restricted pollutants. However, they have disadvantages in terms of portability and immediacy. Because of the large-sized instrument, an on-site measurement is difficult, and sampling processes should be conducted. In addition, real-time measurements are difficult due to the complexity of analysis processes. Furthermore, equipment such as GC and HPLC is too expensive to be used for the broad and continuous detection of less severe pollutants, such as the odor from rotten foods or smoking.

Electronic noses can be effectively and extensively applied to the monitoring of such environmental pollutants. Many electronic noses have been developed for various purposes, such as the monitoring of urban pollution, water pollution, and the quality of indoor air [85–89]. Severe environmental pollutants are mainly toxic gases which are major targets of the electronic noses; thus, electronic noses are appropriate for the monitoring of pollutants. In addition, the sensing processes can be simplified. This is very important to detect hazardous chemicals such as CO, HF, and NO₂. However, the sensitivity of electronic noses is insufficient. The sensor should detect toxic gases before the amount of toxins reaches a dangerous level, and can also selectively recognize the existence of pollutants under any circumstances. However, electronic noses are easily affected by a variety of factors, such as humidity, electromagnetic fields, and temperature.

Bioelectronic noses are being regarded as a better sensor system to monitor a number of environmental pollutants in terms of high sensitivity and selectivity. There is, however, a potential complication as to whether a given biological element is active under dry conditions, because the sensors should be able to detect gas compounds. Wu and Lo addressed this problem by using a synthetic peptide which mimics the binding site of olfactory receptors [90, 91]. The sensor functionalized with peptide receptors was able to selectively detect trimethylamine and ammonia, which are well known as air pollutants due to their pungent odor. Lee et al. demonstrated that whole olfactory receptor proteins were active in the dry condition [78]. They functionalized a conducting polymer nanotube-based sensor with a human olfactory receptor which had been expressed in *E. coli*. This sensor not only detected specific odorants with high sensitivity and selectivity, but also showed human nose-like behaviors such as antagonism. In order to make a better tertiary structure of olfactory receptors, the whole proteins were trapped in a ‘nanodisc’, a self-assembling nano-scale membrane assembly [6]. The nanodiscs containing olfactory receptors were utilized for the functionalization of carbon nanotubes, and the sensor successfully detected gaseous odorants. All things taken together, the bioelectronic nose can fully supplement the weakness of the electronic nose, and will be widely used for the monitoring of a range of environmental pollutants.

14.1.5 Other Applications

There are various other fields where bioelectronic noses can be effectively utilized besides the examples that have been previously discussed. First of all, the bioelectronic nose can be a perfect alternative to direct smelling. To this day, trained dogs play major roles in the detection of narcotics and explosives, such as their use in customs and airports, as well as military institutions. Although dogs have an excellent smelling ability, they have critical limitations such as high costs for training and maintenance. Also, the natural olfactory system is easily and rapidly adapted to the repetitive exposure to odors; thus, the dogs cannot continuously search drugs and explosives [92]. Hence, bioelectronic noses may replace the role of dogs within such industries.

Bioelectronic noses can be utilized for the process monitoring using their excellent sensitivity and selectivity. For instance, they can selectively detect impurities that are contained in food and beverage products during mass production processes. Thus, the quality control of products can be improved. Also, a sensor which detects the smell generated from coffee roasting can accurately determine the degree of roasting. The volatile metabolites generated from bacterial fermentation processes can also be analyzed. Consequently, the progress of such processes can be easily monitored in real-time using the bioelectronic noses. Bioelectronic noses can be effectively applied to not only the examples described above, but also any cases where the process has a resultant smell or chemical release.

14.2 Perspectives

14.2.1 Standardization of Smell

In contrast to the senses of vision and hearing, the sense of smell does not have a method to precisely express the information of smell or flavors, even though it plays an important role in our daily life. It is very difficult to create a database and to standardize the information obtained from the olfactory sense, because it usually responds to complex components consisting of a vast variety of chemical elements. The classification and description of smell depends on quite subjective and abstract expressions and smell cannot be precisely described or quantified using these kinds of expressions.

In the early classification of smells, they were grouped based on the expertise of scholars, such as a chemist and botanist, and the classification of smells through experiments was begun in the 1900s [93]. The odor prism was proposed by Henning (1916) suggesting verbal odor descriptions using six odor qualities, which are spice, fragrant, resinous, ethereal, foul, and burnt [94]. From the middle of the 1930s, there have been many trials to classify odor quality by connecting the odor perception to its chemical structure [95–99], but it is still not possible to explain odor sensation

and perception. Prior to finding the number of human olfactory receptors, several scientists tried to quantify and classify odors based on the function and type of olfactory receptors [100–103], but this approach has been largely suspended, as it has been identified that the number of human olfactory receptors are at least 320 [104].

Standardization and classification of smell is important not only for the general odor, but also for the malodor. Since standardization of method for measuring malodor was begun by many countries in the 1970s, studies for standardization of malodor have mainly depended on a sensory test using human olfaction. Up to now, malodor is recognized by several detection methods like scentometer and olfactometer, which have been widely used, but these methods do not provide the exact information together with lots of variables, mainly due to dependence on human olfaction. The human-like smell sensing system is useful for these reasons.

Various studies are being currently carried out also for the display of olfaction, for example, the development of a device exuding flavor through the internet, and the development of a method and device for smell transmission. However, these kinds of trials have a limitation, in that the device does not deliver the exact information of a smell because there is no criterion for a variety of smells. Standardization of smell will be an important criterion for digitalization of emotional expression of smell, as well as for various applications of smell and flavor, and therefore, needs to be achieved by mimicking human olfaction as closely as possible.

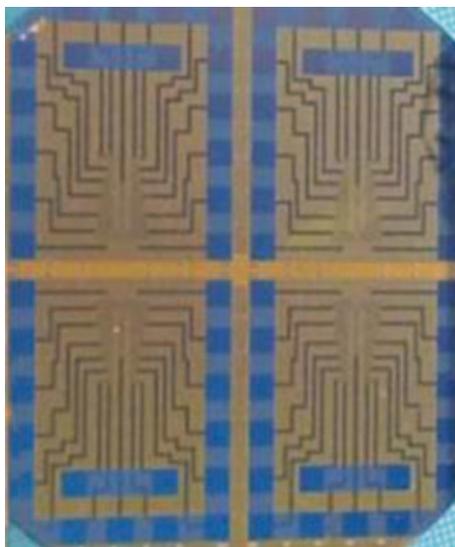
The bioelectronic nose may be the best device mimicking the human olfactory system, because it utilizes the human olfactory receptors as a sensing element and employs the olfactory signaling processes. It is expected that a method for the standardization of smell can be developed through the qualitative and quantitative measurement by bioelectronic nose, and eventually, that a relationship between data from bioelectronics nose and sensory evaluation data from human olfaction would be established. The established standard of smell can be widely utilized in various industries such as food, beverage, agriculture, flavor and perfume, as well as biomedicine and environmental monitoring.

14.2.2 Multi-channel Bioelectronic Nose

The human olfactory system has an array type recognition system, which consists of about 390 different olfactory neuron cells. Each type of olfactory neuron cell has one kind of its own olfactory receptor on the cell surface. The olfactory system efficiently recognizes even a wide range of odor mixtures, as well as single kind of odor molecule, by employing the array type recognition system. This suggests that multiple odor compounds can be precisely identified using array type sensing system, as in the case of the human olfactory system.

Therefore it is necessary to make an array type of sensor for identifying the profile of a mixture, as well as for enhancing the discriminative ability of the bioelectronic nose. The array type sensor is integrated with several different types of sensors, the number of which depends on the type of samples to be analyzed. The

Fig. 14.3 Multi-channel platform of bioelectronic nose. In each channel, each type of olfactory receptor is combined with a carbon nanotube FET sensor. Signals are generated from the interaction of various olfactory receptors with odor molecules



greater the number of sensor integrated in one platform, the better sensor system is able to identify analytes. In contrast to the conventional electronic nose, using limited number of chemical sensor array, bioelectronic noses, especially those using olfactory receptors as a sensing material, are the best example for developing a multiplexed sensing platform. The bioelectronic nose can utilize lots of biomaterials as a sensing material, for example, 390 different types of human olfactory receptors, which are about 40% out of approximately 1,000 olfactory receptor genes, are functional, and can be used as sensing materials.

Recently, researchers have tried to integrate a large number of olfactory receptors on a single platform to detect a variety of odor molecules simultaneously, and are developing data processing techniques for the analysis of electrical information produced from a bioelectronic nose consisting of multi-channel sensors (Fig. 14.3). This kind of multi-channel bioelectronic nose can be usefully applied to the analysis of a whole profile of an odorant mixture. For example, various severe diseases, including cancer and diabetes, which produce specific odor molecules as a biomarker, emit not one specific biomarker but many disease-specific biomarkers through the exhaled breath. It is very important to identify multiple biomarkers for the exact diagnosis of these complex diseases. Thus, most target samples to be identified are complex mixtures containing a variety of different odor components. So, many analytical methods have been used to recognize patterns of specific signals obtained from the response of electronic noses to complex mixtures, as well as in order to detect a specific biomarker. Nevertheless, there is a limit to the number of sensor elements which can be integrated in a single platform, and a vast array of potential data to be analyzed. A multi-channel bioelectronic nose would provide a powerful tool for a great advance in various fields of application of these analytical methods.

14.2.3 *Visualization of Smell*

In order to discriminate among thousands of odors, we do not have any reliable artificial sensing device, but still depend on our human sensory system. However, the information obtained through our natural sensory system does not provide us with the objective information about the odors. Up to now, complex experimental steps, large-scale equipment, well-trained experts, or electronic noses have partially fulfilled the need for the detection or analysis of odors. In addition, it is difficult to collect the data on the odorant response pattern to be used as an objective index. However, if the odor is visualized, the visualized pattern can be used as a code for the odor like a QR code.

Several approaches have been taken to visualize the olfactory signal transduction in the cell-based system. Calcium imaging, cAMP response element (CRE) reporter assay, and bioluminescence resonance energy transfer (BRET) assay are representative methods used for the visualization of odorant response. When the cells expressing ORs are stimulated with specific odorants, the cellular signaling cascade is generated and the ion-channels open. The calcium imaging detects the calcium ion which enters into the cells through the ion-channel, using calcium binding fluorescent dye, and this system is the most widely used odorant detection method [105]. However, its use is limited due to the fact that calcium imaging is time-consuming and labor-intensive, and thus, CRE reporter assay has been developed as an alternative method [106, 107]. In the CRE reporter system, the secondary signal messenger cAMP generated through the odorant stimulation binds to, and activates the signaling molecules, resulting in activation of the CRE promoter to express the luminescence or fluorescence protein as a reporter. For the protein-based visualization of odorant binding response, the BRET assay was carried out by the insertion of sequences encoding a bioluminescent donor and a fluorescent acceptor protein at the C-terminus of olfactory receptor ODR-10 and the third intracellular loop, respectively [108]. The conformational change of the olfactory receptor upon ligand stimulation induced the change of BRET signal. These methods were applied as useful visualization techniques, but have limited use in various olfactory receptors because of the labor-intensive and time-consuming processes involved.

Recently, a simple and low-cost miniaturized odorant screening platform was fabricated by a Micro-Electro-Mechanical system (MEMs) and a reverse transfection technique was used to visualize the odorant response in a high-throughput format [109]. Each different olfactory receptor DNA with transfection reagent was inserted into each PEG microwell, then HEK293 cells containing the CRE report system were spread over the PEG microwell array plate. Using this method, each different olfactory receptor was expressed in each well and the odorant response of each OR was detected using fluorescent CRE reporter protein simultaneously. Since this OR DNA printed-based microwell can be stored stably, a large quantity of the microwell can be fabricated and the odorant response can be visualized easily through seeding the cells containing the CRE reporter system.

Cell-based visualization systems can generate intuitive images of smell. However, a labor-intensive and skilled handling of cells is required to obtain reproducible

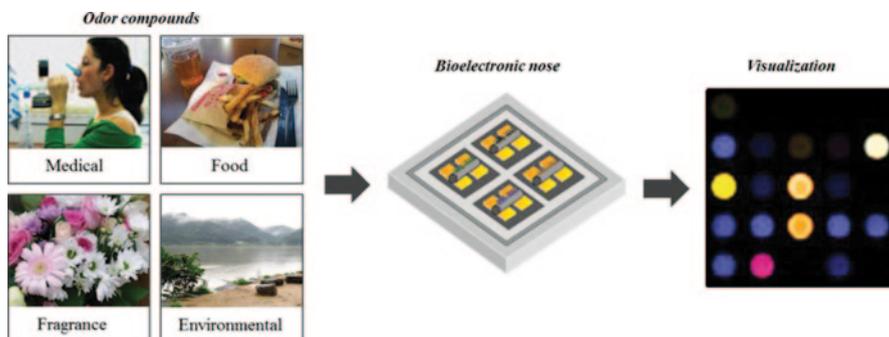


Fig. 14.4 Visualization of odor compounds originating from various sources using a bioelectronic nose. (Reprinted from Ref. [48] with permission from Nature Publishing Group)

and reliable results. Furthermore, the detection of odors in a gas-phase is difficult in the case of the cell-based systems, because a liquid environment is essential for the survival of cells. Bioelectronic noses can offer a perfect solution to these problems if several technologies are combined. First of all, the technique that integrates a lot of independent electronic modules into a single chip with a high density should be applied because all kinds of olfactory receptors have to be used in a multi-channel sensor array. Also, signal processing methods to analyze the parallel signals generated from the multi-channel sensor and to express the results in visual images must be established. In addition, conventional microfluidic systems that constantly supply a small amount of gas samples to the sensor platform can be effectively integrated. A bioelectronic nose that detects gaseous odorants has already been successfully demonstrated [78]. These integrations will facilitate the development of an effective sensor that easily converts smells into a visual representation (Fig. 14.4).

14.3 Conclusion

The bioelectronic nose consists of two major parts, which are primary and secondary transducers. The primary transducer is a biological recognition element, and the secondary transducer is a non-biological signal transducing element. The representative primary transducers are cells, nanovesicles, proteins and peptides. The cell acts as a live sensing material, and may be the best system for mimicking the human nose because it has all the components necessary for signaling induced by analytes. However, it is difficult for cells to be maintained on the sensor platform for a long period. Nanovesicles also can be a good candidate for biosensing material. Although they are not alive, they still have all the components necessary for the olfactory signal transduction like cells. They can be stored in a freezer for several months. Olfactory receptor proteins and peptides are most appropriate for the commercial biosensor, because they are quite stable even at room temperature. The representative secondary transducers are QCM, SPR, EIS, microelectrode, carbon

nanotube, conducting polymer nanotube, conducting polymer nanoparticles, and graphene. The integration of the olfactory biological recognition elements with these nano-devices enables the bioelectronics nose to have extremely high selectivity and sensitivity.

The unique characteristic of the bioelectronic nose is to mimic the human olfactory system in order to detect smell as accurately as a human nose. Eventually, the most ideal bioelectronics nose mimicking the human olfactory system will be developed through the integration of 390 types of human olfactory receptors on a single sensor platform because human olfactory system has 390 different functional olfactory receptors. It will be very meaningful to develop the bioelectronic nose consisting of 390 receptor elements in terms of the artificial realization of the human olfactory sense.

The bioelectronic nose can provide a powerful tool to detect and discriminate among smell compounds, which are emitted from almost everything, and exist everywhere. The change of smell in regard to type and concentration provides us with information on the objects and environments surrounding us. The bioelectronic nose can be applied in various fields, such as in the standardization of smell, development of code for each smell, medical diagnosis, quality assessment of food and beverage, as well as scent-related industries, including perfume, cosmetics, wine and coffee, agricultural application, environmental monitoring, process monitoring, and the detection of explosives, toxicants and drugs. Consequently, the development of a bioelectronic nose system is expected to play an important role in improving the quality of human life.

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